

Leapfrog Hospital Survey Hard Copy

QUESTIONS & REPORTING PERIODS
ENDNOTES
MEASURE SPECIFICATIONS
FAQS



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Welcome to the 2023 Leapfrog Hospital Survey

<https://leapfroggroup.org/hospital>

Important Notes About the 2023 Survey

1. The Leapfrog Hospital Survey webpages are located at <https://leapfroggroup.org/hospital>. Please bookmark this URL.
2. Note the word “hospital” used throughout this Survey refers to an individual hospital. If your hospital is part of a multi-hospital health care system or a multi-campus hospital, you will need to complete the Survey for each individual hospital. Please refer to [Leapfrog’s Multi-Campus Hospital Reporting Policy](#).
3. To submit a Survey via the Online Hospital Survey Tool, hospitals are required to complete and affirm the following six sections: Section 1: Basic Hospital Information, Section 2: Medication Safety, Section 4: Maternity Care, Section 5: ICU Physician Staffing (IPS), Section 6: Patient Safety Practices, and Section 7: Managing Serious Errors. However, hospitals are urged to submit all sections of the Survey and can indicate within a section if a measure does not apply. Hospitals that would like to be eligible for [Top Hospital](#) must submit all sections of the Survey.
4. Adult and general hospitals that indicate they have a CPOE system in at least one inpatient unit are asked to demonstrate, via a test, that the inpatient CPOE system can alert prescribers to at least 60% of frequent serious medication errors known to cause harm to patients. Hospitals will have access to the CPOE Evaluation Tool immediately after completing the Hospital Profile in the Online Hospital Survey Tool. Hospitals cannot submit the Survey, including results from the Adult Inpatient CPOE Test until all the following Survey sections have been completed and affirmed: Section 1: Basic Hospital Information, Section 2: Medication Safety, Section 4: Maternity Care, Section 5: ICU Physician Staffing (IPS), Section 6: Patient Safety Practices, and Section 7: Managing Serious Errors. Hospitals are urged to ensure that the Adult Inpatient CPOE Test is submitted along with the Survey (i.e., in the same month) to meet the deadlines for the Leapfrog Hospital Survey and Leapfrog’s other programs such as Top Hospital and the Leapfrog Hospital Safety Grade.
5. Adult and pediatric hospitals reporting on Section 7B: Healthcare-Associated Infections are required to join Leapfrog’s NHSN Group. Information about teaching status will also be pulled directly from NHSN. More information, including important deadlines, is available on the [Join NHSN Group webpage](#).
6. Leapfrog Hospital Survey Results will be available on the Hospital Details Page beginning July 12 and publicly reported on the [public reporting website](#) on July 25 for hospitals that submit a Survey by the June 30 Submission Deadline. After July, the Hospital Details Page and public reporting website will be refreshed monthly within the first five (5) business days of each month to reflect Surveys submitted or resubmitted between July 1 and November 30 and previously submitted Surveys that were corrected between December 1 and January 31. Survey Results are frozen from February to July 25.
7. All questions regarding the Leapfrog Hospital Survey should be submitted to the Help Desk at <https://leapfroghelpdesk.zendesk.com>. Questions submitted to the Help Desk will receive a response within 1-2 business days (see [Help Desk Holiday Schedule](#) for planned closures).
8. For hospitals that would like Leapfrog Hospital Survey Results included in their **Leapfrog Hospital Safety Grade**, please visit the “For Hospitals” section of the Hospital Safety Grade [website](#) for important information on Data Snapshot Dates. A Leapfrog Hospital Survey must be submitted by the Data Snapshot Date for Survey data to be used in the Hospital Safety Grade.
9. Leapfrog is committed to verifying the accuracy of Leapfrog Hospital Survey Results. Please review the information on the [Data Accuracy webpage](#).

10. The [Submission Deadline](#) for the 2023 Leapfrog Hospital Survey is **June 30, 2023**, and the Late Submission and Performance Update Deadline is **November 30, 2023**. Hospitals that do not submit a Survey or CPOE Evaluation Tool (adult and general hospitals only) before 11:59 pm Eastern Time on **November 30, 2023**, will have to wait until the launch of the 2024 Leapfrog Hospital Survey on April 1, 2024 to submit a Survey.

Overview of the 2023 Leapfrog Hospital Survey

The Leapfrog Hospital Survey is divided into nine sections and the Hospital Profile. A description of each section is listed below. For a more detailed overview of the 2023 Leapfrog Hospital Survey, including a crosswalk of nationally endorsed measures and a description of how measures are publicly reported, visit the [Survey Overview webpage](#).

Section Number	Section Title	Brief Description
	Hospital Profile	The Hospital Profile includes questions about demographic and contact information. The Profile can be accessed and updated anytime throughout the year via the Survey Dashboard after logging into the Online Hospital Survey Tool .
1	Basic Hospital Information	Section 1 includes questions about your hospital's bed size, admissions, ICU operations, and teaching status. This section also includes questions on billing practices and health equity. In 2023, the subsection on hospital information (1A) includes a new optional fact-finding question regarding your hospital's environmental services that will not be scored or publicly reported. The subsection on informed consent (1C) will be scored and publicly reported.
2	Medication Safety	Section 2 includes questions about your hospital's use of CPOE and BCMA, and (for adult and general hospitals) questions about your hospital's medication reconciliation process. The subsection on your hospital's EHR application (2B) is only applicable to adult and general hospitals and will not be scored or publicly reported. In 2023, the subsection on BCMA (2C) includes new optional fact-finding questions regarding your hospital's use of BCMA in pre-op units, PACUs, and the emergency department, and will not be scored or publicly reported.
3	Adult and Pediatric Complex Surgery	Section 3 includes questions about your hospital volume and process for privileging surgeons for eleven high-risk procedures, outcomes for mitral valve repair and replacement, and participation in The Society of Thoracic Surgeon's Congenital Heart Surgery Database for hospitals that perform the Norwood procedure. This section also includes questions about surgical appropriateness and the implementation of a safe surgery checklist.
4	Maternity Care	Section 4 includes questions about elective delivery, cesarean birth, episiotomy, newborn bilirubin screening, and DVT prophylaxis for women undergoing cesarean delivery. The section also includes questions about high-risk deliveries, including volume and outcomes. In 2023, the subsection on maternity care volume (4A) includes new questions about maternity care services that will be publicly reported. The subsection on cesarean birth (4C) includes new optional fact-finding questions about cesarean births stratified by racial and ethnic categories that will not be scored or publicly reported.
5	ICU Physician Staffing (IPS)	Section 5 includes questions about the management of critical care patients and the staffing structure of your hospital's pediatric and adult general medical and/or surgical ICUs and neuro ICUs.

Section Number	Section Title	Brief Description
6	Patient Safety Practices	Section 6 includes questions about your hospital's adherence to two National Quality Forum-endorsed Safe Practices, questions about your hospital's nurse staffing and skill mix, and questions about hand hygiene practices. In 2023, the subsection on nurse staffing and skill mix (6C) will be scored and publicly reported.
7	Managing Serious Errors	Section 7 includes questions about your hospital's response to Never Events. In addition, Leapfrog collects information via its NHSN Group about five healthcare-associated infections (CLABSI, CAUTI, MRSA, <i>C. diff.</i> , and SSI: Colon). Hospitals reporting on Section 7B: Healthcare-Associated Infections are required to join Leapfrog's NHSN Group. Important information and deadlines are available on the Join NHSN Group webpage .
8	Pediatric Care	Section 8 includes questions about patient experience (CAHPS Child Hospital Survey) and Computed Tomography (CT) radiation dose for pediatric patients. The subsection on CT dose (8B) includes a set of optional fact-finding questions that will not be scored or publicly reported in 2023.
9	Outpatient Procedures	Section 9 includes questions about the volume and safety of same-day procedures performed in hospital outpatient departments, as well as the experience of patients who had a same-day surgery performed.

Section 1: Basic Hospital Information, Section 2: Medication Safety, Section 4: Maternity Care, Section 5: ICU Physician Staffing (IPS), Section 6: Patient Safety Practices, and Section 7: Managing Serious Errors are required to submit a Survey via the Online Hospital Survey Tool. Hospitals are strongly urged to submit all sections of the Leapfrog Hospital Survey and can indicate within a section if a measure does not apply.

The hard copy of the Survey and the Online Hospital Survey Tool are organized in the same format for all nine sections:

- **General information** about The Leapfrog Group's standard (included in the hard copy only).
- **Reporting periods** to provide hospitals with specific periods of time for each set of questions.
- **Survey questions** which may include references to endnotes or FAQs. The Survey questions and endnotes match the Online Hospital Survey Tool exactly.
- **Affirmation of accuracy** by your hospital's CEO/Chief Administrative Officer or by an individual that has been designated by the hospital CEO. These statements affirm the accuracy of your hospital's responses and must be completed in the Online Hospital Survey Tool to submit a Survey.
- **Reference information** which includes "What's New" and "Change Summaries," important measure specifications, answers to frequently asked questions, and other notes that must be carefully reviewed before responding to any of the Survey questions (included in the hard copy only).

In addition to the Survey questions, adult and general hospitals that indicate they have a CPOE system in at least one inpatient unit are asked to demonstrate, via a test, that the inpatient CPOE system can alert physicians to at least 60% of frequent serious medication errors known to cause harm to patients. Adult and general hospitals can access the CPOE Evaluation Tool immediately after completing the Hospital Profile in the Online Hospital Survey Tool. Carefully review the information on the [Prepare for a CPOE Tool webpage](#).

Any changes made to the measure specifications after April 1 will be reflected in the hard copy of the Survey in the Reference Information sections under the "Change Summary" header (see [Table of Contents](#)). In addition, the updates to the specifications will be highlighted in yellow. If the changes are

substantial, we will email the Primary Survey Contact your hospital provided in the Hospital Profile of the Online Hospital Survey Tool. If the notification is sent before your hospital submits a 2023 Leapfrog Hospital Survey, the email will go to the Primary Survey Contact provided in the previous year's Survey.

The Leapfrog Group and its participating members are committed to presenting information that is as current as possible, therefore we allow hospitals to update and resubmit their Survey until the **November 30** Late Submission and Performance Update Deadline. Please carefully review the reporting periods in each section before updating your Survey. Leapfrog Hospital Survey Results are updated monthly beginning in July on Leapfrog's [public reporting website](#). Hospitals are required to [update](#) the information in their Survey within 30 days of any change in status. We reserve the right to decertify information that is not current. More information on updating your Survey is available on the [Updating Your Hospital Survey webpage](#).

Pre-Submission Checklist

Before you complete and submit the Survey via the Online Hospital Survey Tool, there are several steps you should complete:

- Visit the Hospital Survey webpages at <https://leapfroggroup.org/hospital>.**
- Make sure you have a 16-digit security code.** If you don't, download a [Security Code Request](#) form. If your hospital is part of a multi-hospital healthcare system, you will need a separate security code for each individual hospital within the system. Please refer to [Leapfrog's Multi-Campus Hospital Reporting Policy](#).
- Download a hard copy of the Survey** (PDF or Word document) on the [Survey and CPOE Materials webpage](#). Read through the entire document to ensure that you understand what information is required.
- Review the reference information** in each section of the Survey document and **download [other supporting materials](#)**. These documents and tools contain information that you will need to accurately respond to the Survey questions.
- Join Leapfrog's NHSN Group.** Hospitals reporting on Section 7B: Healthcare-Associated Infections are required to join Leapfrog's NHSN Group. More information, including important deadlines, is available on the [Join NHSN Group webpage](#).
- Accept the American Medical Association's Terms of Use and Download the CPT Code Workbook.** Hospitals reporting on Section 3 Adult and Pediatric Complex Procedures (that perform bariatric surgery for weight loss, total hip replacement surgery, or total knee replacement surgery) and Section 9 Outpatient Procedures (that perform outpatient procedures) must accept the American Medical Association's Terms of Use and download the CPT Code Workbook via the button on the [Survey Dashboard](#) in Section 9.
- Identify individuals from your hospital to help you** gather the data you will need to complete the various sections of the Survey.
- Complete a hard copy of the Survey before you log in to the Online Survey Tool.** This will expedite the data entry into the Online Survey Tool and help to avoid the Tool "timing out" after 20 minutes of idle time (a security precaution). Once all the information has been collected and recorded in the hard copy of the Survey, the CEO or the CEO's designee can typically complete the online data entry in less than an hour. Please note, responses must be entered into the Online Survey Tool to be submitted.
- Download and review a copy of the Online Survey Tool Guide** on the [Get Started webpage](#) and includes important instructions on how to navigate the Online Hospital Survey Tool, including instructions on how to verify your hospital has successfully submitted the Survey.
- Check Survey deadlines.** Carefully review Survey [deadlines](#) before you begin. Ensure that you have enough time to collect the data, complete a hard copy of the Survey, and complete and submit the Survey via the Online Hospital Survey Tool. In addition, for adult and general hospitals that have implemented CPOE in at least one inpatient unit, make sure you have enough time to take a [CPOE Evaluation Tool](#).
- Download and review the 2023 Leapfrog Hospital Survey [Scoring Algorithms](#).**
- Review Leapfrog's policies and procedures regarding data accuracy.** Detailed information can be found on the [Data Accuracy webpage](#).

Leapfrog Hospital Survey Binder: Hospitals should utilize the Leapfrog Hospital Survey Binder to assist in organizing the documentation used to complete the Survey. Download a copy of the binder on the [Survey and CPOE Materials webpage](#).

Instructions for Submitting a Leapfrog Hospital Survey

Important Notes:

Note 1: Please carefully review these instructions and the [Online Survey Tool Guide](#) before you begin.

Note 2: Each section of the Survey must be completed before it can be affirmed in the Online Hospital Survey Tool. Only sections that are affirmed can be submitted. Hospitals are responsible for ensuring that each submitted section is accurate.

1. Log into the [Online Hospital Survey Tool](#) using your 16-digit security code.
2. The first time you log into the 2023 Leapfrog Hospital Survey, you will need to complete and submit the Hospital Profile. The Hospital Profile includes demographic and contact information. The Hospital Profile should be updated throughout the year if any information changes and can be accessed at any time. **Failure to maintain current contact information could result in important, time-sensitive information being missed or sent to the wrong person.**
3. Once the Hospital Profile has been submitted, you will be taken to the Hospital Survey Dashboard.
4. You can navigate into sections of the Online Hospital Survey Tool using the linked section names on the Hospital Survey Dashboard. You can also access the CPOE Evaluation Tool immediately after completing the Hospital Profile using the Take CPOE Tool button on the Hospital Survey Dashboard. More information about navigating within the Online Hospital Survey Tool is available in the [Online Survey Tool Guide](#).
5. Within sections, you can enter responses to questions and/or update responses to previously submitted sections. The Online Hospital Survey Tool will automatically save your responses as you enter them. There is no “save” button.
6. Once you have completed each section, you will need to return to the Hospital Survey Dashboard to affirm each section of the Survey. Please remember that if you are making updates, all updated sections must be re-affirmed. Please note that affirmed sections are not yet submitted, please review Step 7 below to ensure successful submission.
7. Before you can submit the Survey (select the “*submit affirmed sections*” button on the Hospital Survey Dashboard), you will need to “*check for data review warnings*.” When you select the “*check for data review warnings*” button, the sections of your Survey that have been affirmed will be scanned for potential reporting errors. If any errors are identified, a data review warning message will be generated and will appear on the Hospital Survey Dashboard.
8. If any [data review warnings](#) are generated, you will still be able to submit your Survey. However, you will need to address the potential reporting errors identified during the scan or risk having the related sections of your Survey decertified (publicly reported as “Declined to Respond”). Please note this may not be a comprehensive list – you may still receive additional data verification messages via email.
9. Once you have checked for data review warnings, you can select the “*submit affirmed sections*” button to submit the Survey. Please review the Section Status column on the Hospital Survey Dashboard to verify which sections have been submitted.
10. Use the “*Print Last Submitted Survey*” button on the Hospital Survey Dashboard to print a copy of your Last Submitted Survey and review it for accuracy and completeness. Remember, sections that are not affirmed will not be submitted.
11. Review the 2023 Leapfrog Hospital Survey [Scoring Algorithms](#) to see how your Survey responses will be scored and publicly reported by Leapfrog.
12. Review your Survey Results on the [Hospital Details Page](#) or [public reporting website](#). Hospitals that submit by June 30 can preview their Survey Results on the Hospital Details Page beginning on July 12, before Leapfrog [publicly reports](#) Survey Results beginning on July 25. After July, the

Hospital Details Page and public reporting website will be refreshed monthly within the first five (5) business days of each month following your (re)submission.

13. Adult and general hospitals submitting a CPOE Evaluation Tool should carefully review the instructions, scoring information, and FAQs available on the [Survey and CPOE Materials webpage](#) (see CPOE Tool Instructions under Other Supporting Materials).
14. Leapfrog is committed to verifying the accuracy of Leapfrog Hospital Survey Results. Please review our data accuracy protocols on the [Data Accuracy webpage](#).
15. Responses can be updated or corrected, and the Survey can be resubmitted at any point during the Survey Cycle (April 1 – November 30). Please remember that if you are making updates, all updated sections must be re-affirmed. More information on updating your Survey is available on the [Updating Your Hospital Survey webpage](#).

Verifying Submission

Use the following steps to verify that your submission was completed and that the appropriate sections were submitted:

- **Check the Hospital Survey Dashboard:** Refer to the “Section Status” column on the Hospital Survey Dashboard. All submitted sections will be marked as “Submitted.”
- **Check your email:** You will receive a Survey submission confirmation email within five minutes of submitting a Survey. Please Note: This email will not specify which sections were submitted – you will need to use the other steps to determine which sections were submitted.
- **Print Last Submitted Survey:** The Survey submission date will be listed at the top of the page under the heading “Submitted Survey.” Be sure to check the submission date, review each section for accuracy and completeness, and check that each affirmation is complete (Sections 1-9).
- **Review the Hospital Details Page:** Your Survey Results will be available beginning July 12 via the Hospital Details Page link on the Hospital Survey Dashboard. Carefully review your results, including data from VON for high-risk deliveries, and your NHSN information for applicable healthcare-associated infections.
- **Check your publicly reported results:** Always check your Leapfrog Hospital Survey Results on the public reporting [website](#). Results are posted on July 25 and are updated within the first 5 business days of the month following your submission starting in August.

Updating or Correcting a Previously Submitted Leapfrog Hospital Survey

Hospitals can update or correct previously submitted Survey responses at any point during the Survey Cycle (April 1 to November 30). Please review the [Survey Deadlines webpage](#). Most updates or corrections are made:

- At the request of Leapfrog:
 - Following Leapfrog’s [Extensive Monthly Data Verification](#), the Primary Survey Contact, Secondary Survey Contact, and System Survey Contact will receive an email from the Help Desk detailing potential reporting errors.
- Following Virtual [On-Site Data Verification](#):
 - Hospitals selected for Virtual On-Site Data Verification will receive a report which will indicate any responses that need to be updated or corrected.
- At the discretion of the hospital:
 - To correct a data entry or reporting error.
 - To reflect a change in status or performance on a measure (e.g., closed a unit, stopped performing a procedure, implemented a new policy, etc.).
 - To provide more current responses based on the reporting periods outlined in the hard copy of the Survey.

Following any updates, hospitals should always use the steps for verifying submission provided above.

Updating a Survey after Receiving a Help Desk Email

Leapfrog conducts [Extensive Monthly Data Verification](#) of responses submitted to the Leapfrog Hospital Survey starting with Surveys submitted by the June 30 Submission Deadline and monthly thereafter until the Online Survey Tool is taken offline on January 31. Following the Extensive Monthly Data Verification, the **Primary Survey Contact, Secondary Survey Contact, and System Contact** are notified by email of any Survey responses that need to be reviewed and/or updated by the hospital.

If you receive a Data Verification email, you are required to document that your original responses were correct or update/correct your previously submitted Leapfrog Hospital Survey by the end of the same month using the **original** reporting period that was used for that section of the Survey in the original submission. For example, if a hospital submitted a Survey for the first time on August 20, then received a Data Verification email at the beginning of September, they would update their responses based on the reporting period used in the August 20 submission.

Hospitals that receive a [Category A](#) Data Verification message at the beginning of the month for any measure will have until the end of that same month to contact the [Help Desk](#) to either (1) document that the original response was correct or (2) correct the data entry or reporting error, or they will be publicly reported as “Pending Leapfrog Verification” for that measure. This term is used to indicate that the hospital has self-reported Survey responses that are under further review by Leapfrog.

If any Category A Data Verification messages are not resolved by January 31 (when the Online Hospital Survey Tool is taken offline), the entire Survey will be decertified, and the hospital will be publicly reported as “Declined to Respond” for the entire Leapfrog Hospital Survey.

Updating a Survey following Virtual On-Site Data Verification

Hospitals that are selected for Virtual On-Site Data Verification will receive a findings report. If the findings report details any responses that need to be updated or corrected, please contact the [Help Desk](#).

Making General Updates (for hospitals that have not received a Help Desk email)

Leapfrog offers hospitals multiple reporting periods so that they can report the most current data. Except for Section 4F: High-Risk Deliveries (VON data only), Section 7B: Healthcare-Associated Infections, and Section 9D: Patient Follow-up (OP-32), updating a Survey is optional. However, we do recommend that if your performance or if a structure has changed significantly, you update your Survey within 30 days. In addition, hospitals should update their Surveys if they become aware of any reporting errors or data inaccuracies in their previous submission.

Hospitals may update one or more sections of the Survey without updating the entire Survey. In addition, hospitals are not required to retake the CPOE Evaluation Tool if making updates to Section 2A: CPOE questions #3 and #4.

General updates and corrections can be made at any point during the Survey Cycle (April 1 – November 30). The months of December and January are reserved for correcting data entry (i.e., correcting data entry errors) or reporting errors (i.e., in response to Leapfrog’s [Extensive Monthly Data Verification](#)) to previously submitted sections of the Survey. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. **Updates made to reflect a change in performance after November 30 will not be scored or publicly reported. New sections of the Survey submitted after November 30 will not be scored or publicly reported.**

Hospitals that are submitting general updates should:

- Use the stated [reporting period](#) at the top of each section selected based on the date of your resubmission.
- For Section 4: Maternity Care and Section 6A-6B: NQF Safe Practice #1 and #2, update responses to ALL questions within the section they wish to update using the same reporting

period. For example, if a hospital submitted a Survey for the first time in June and then wanted to update the responses for the Elective Deliveries questions in subsection 4B in November, they would update the entire Section 4: Maternity Care based on the updated reporting period for November.

For information on Leapfrog's automatic updates to the VON data in Section 4F: High-Risk Deliveries, the NHSN data in Section 7B: Healthcare-Associated Infections, or the CMS data in Section 9D: Patient Follow-up, please review the [Section 4F: High-Risk Deliveries Measure Specifications](#), the [Join NHSN Group webpage](#), and the [Section 9D: Patient Follow-up Measure Specifications](#).

Quick Tip: Remember to re-affirm any section of the Survey that has been updated, check for data review warnings, and then resubmit the entire Survey. Always print a copy of your Last Submitted Survey and review it for accuracy and completeness. Check your updated Survey Results within the first 5 business days of the month following your resubmission on the [public reporting website](#) and the Hospital Details Page.

Deadlines

Deadlines for the 2023 Leapfrog Hospital Survey

The 2023 Leapfrog Hospital Survey, including the CPOE Evaluation Tool (if applicable), opens on April 1 and has a Submission Deadline of **June 30, 2023**. The Late Submission and Performance Update Deadline is **November 30, 2023**. Surveys and Adult Inpatient CPOE Tests must be submitted before 11:59 pm Eastern Time on **November 30**. The CPOE Evaluation Tool will not be available after **November 30**.

Corrections to Surveys submitted by **November 30** must be submitted by the **January 31, 2024** Corrections Deadline. The Online Hospital Survey Tool will not be available after **January 31, 2024**. Find detailed information about the 2023 Leapfrog Hospital Survey Deadlines, including deadlines for receiving free Competitive Benchmarking Summary Reports and consideration for Top Hospital Awards on the [Deadlines webpage](#).

Deadlines for Vermont Oxford Network Data

Hospitals participating in the Vermont Oxford Network (VON) may opt to report on the VON National Performance Measure in Section 4F: High-Risk Deliveries. Instructions, deadlines, and reporting periods can be reviewed in the [VON National Performance Measure Specifications](#).

Deadlines to Join Leapfrog’s NHSN Group

Hospitals reporting on Section 7B: Healthcare-Associated Infections are required to join Leapfrog’s NHSN Group. Please visit our [webpage](#) for instructions on how to join the group as well as information about important deadlines.

Deadlines Related to the Hospital Safety Grade

Hospitals that would like Leapfrog Hospital Survey Results used in their Leapfrog Hospital Safety Grade must submit a Survey and an Adult Inpatient CPOE Test by the [Data Snapshot Dates](#). The Leapfrog Hospital Survey and the Hospital Safety Grade are distinct programs administered by The Leapfrog Group. Though some measures from the Leapfrog Hospital Survey are used in the Hospital Safety Grade, the grade also utilizes publicly available data from other data sources. Find answers to Frequently Asked Questions in the “For Hospitals” section of the Hospital Safety Grade [website](#).

Technical Assistance

Help Desk

Leapfrog operates a free online Help Desk to provide hospitals with technical assistance and answers to content-related Survey questions. The Help Desk is staffed Monday-Friday from 9:00 am to 5:00 pm ET. Help Desk support staff typically respond to inquiries within 1-2 business days, but we do ask you to plan ahead and allow ample time to fulfill security code requests and other urgent tickets before Survey deadlines. Review the [Help Desk Holiday Schedule](#) for planned closures.

Hospitals can also submit feedback regarding the questions, measure specifications, and FAQs to the Help Desk.

Tickets can be submitted electronically at <https://leapfroghelpdesk.zendesk.com>. You will receive a confirmation email and response from support@leapfroghelpdesk.zendesk.com. **To ensure that you receive our emails, please work with your IT Team to add the following to your safe sender list:**

- @leapfrog-group.org
- @leapfroghelpdesk.zendesk.com
- @em8434.leapfrog-group.org
- IP address: 159.183.167.150

You may also create an account with Zendesk and log in there to correspond with the Help Desk.

Leapfrog Hospital Survey Webinar Series

The Leapfrog Hospital Survey Webinar Series is designed for Survey coordinators, hospital leaders, and others who would benefit from a more interactive presentation of Survey materials and information.

The Webinar Series is held monthly from March to December and includes monthly office hours in addition to monthly webinars. The one-hour webinars focus on specific topics related to the Survey, including new measure. Throughout each webinar, participants can ask questions via the Zoom Q&A function and take part in a live question-and-answer session at the end.

The monthly 30-minute office hours are designed to give participants another regular, interactive touch point with Leapfrog's Help Desk, so Survey coordinators always have the information they need, when they need it.

Join now and start benefiting from:

- **Monthly office hours with the Leapfrog Help Desk:** 30 minutes to get real-time technical assistance, answers to your questions, and help staying on top of upcoming deadlines. Staffed by Leapfrog's expert Help Desk.
- **Monthly webinars:** Timely and focused presentations on Survey and CPOE Evaluation Tool measures and specifications, scoring information, frequently asked questions, technical assistance, and public reporting. Each webinar concludes with a 20-minute open Q & A session.
- **Archive Library:** Slides and recordings from each webinar are archived in an online portal that participants can access from March to January.

The annual registration fee is \$500 per individual.

For a schedule of events and to register, please visit the Leapfrog [Hospital Survey Webinar Series webpage](#).

Reporting Periods

Important Note: Reporting periods should be selected based on the date of Survey or section submission. However, hospitals do not need to use the same reporting period throughout the Survey.

	Survey Submitted Prior to September 1	Survey (Re)Submitted on or After September 1
Survey Section/ Measure	Reporting Period	Reporting Period
1A Basic Hospital Information	12 months ending 12/31/2022	12 months ending 06/30/2023
1B Person-Centered Care: Billing Ethics and Health Equity	N/A	N/A
1C Informed Consent	N/A	N/A
2A Computerized Physician Order Entry (CPOE)	Latest 3 months prior to Survey submission	Latest 3 months prior to Survey submission
2B EHR Application Information	N/A	N/A
2C Bar Code Medication Administration (BCMA)	Latest 3 months prior to Survey submission	Latest 3 months prior to Survey submission
2D Medication Reconciliation	Latest 6 months prior to Survey Submission	Latest 6 months prior to Survey submission
3A Hospital and Surgeon Volume	Volume: 12 months or 24-month annual average ending 12/31/2022	Volume: 12 months or 24-month annual average ending 06/30/2023
	STS MVRR Composite Score: Latest 36-month report	STS MVRR Composite Score: Latest 36-month report
3B Surgical Appropriateness	Latest 12 months prior to Survey submission	Latest 12 months prior to Survey submission
3C Safe Surgery Checklist for Adult and Pediatric Complex Surgery	Latest 6 months prior to Survey submission	Latest 6 months prior to Survey submission
4A Maternity Care Volume and Services	12 months ending 12/31/2022	12 months ending 06/30/2023
4B Elective Deliveries	12 months ending 12/31/2022	12 months ending 06/30/2023
4C Cesarean Birth	12 months ending 12/31/2022	12 months ending 06/30/2023
4D Episiotomy	12 months ending 12/31/2022	12 months ending 06/30/2023
4E Process Measures of Quality	12 months ending 12/31/2022	12 months ending 06/30/2023

	Survey Submitted Prior to September 1	Survey (Re)Submitted on or After September 1
Survey Section/ Measure	Reporting Period	Reporting Period
4F High-Risk Deliveries*	Volume: 12 months ending 12/31/2022	Volume: 12 months ending 06/30/2023
	VON: 2021 report	VON: 2022 report
5 ICU Physician Staffing (IPS)	Latest 3 months prior to Survey submission	Latest 3 months prior to Survey submission
6A NQF Safe Practice #1 – Culture of Safety Leadership Structures and Systems	Latest 12 months prior to Survey submission	Latest 12 months prior to Survey submission
6B NQF Safe Practice #2 – Culture Measurement, Feedback, and Intervention	Latest 12 or 24 months prior to Survey submission (see individual safe practice for specific reporting period)	Latest 12 or 24 months prior to Survey submission (see individual safe practice for specific reporting period)
6C Nursing Workforce	Nurse Staffing and Skill Level: 12 months ending 12/31/2022	Nurse Staffing and Skill Level: 12 months ending 06/30/2023
	Percentage of RNs who are BSN-Prepared: N/A	Percentage of RNs who are BSN-Prepared: N/A
	NQF Safe Practice #9: Latest 12 months prior to Survey submission	NQF Safe Practice #9: Latest 12 months prior to Survey submission
6D Hand Hygiene	N/A	N/A
7A Never Events	N/A	N/A
7B Healthcare-Associated Infections**	June and August Data Downloads: 01/01/2022 – 12/31/2022	October and December Data Downloads: 07/01/2022 – 06/30/2023
8A Patient Experience (CAHPS Child Hospital Survey)	Latest 12 months prior to Survey submission	Latest 12 months prior to Survey submission
8B Pediatric Computed Tomography (CT) Radiation Dose	12 months ending 12/31/2022	12 months ending 06/30/2023
9A Basic Outpatient Department Information	12 months ending 12/31/2022	12 months ending 06/30/2023
9B Medical, Surgical, and Clinical Staff	Latest 3 months prior to Survey submission	Latest 3 months prior to Survey submission
9C Volume of Procedures	12 months ending 12/31/2022	12 months ending 06/30/2023

	Survey Submitted <u>Prior to</u> September 1	Survey (Re)Submitted <u>on or</u> <u>After</u> September 1
Survey Section/ Measure	Reporting Period	Reporting Period
9D Safety of Procedures***	Patient Follow-up: Latest 24 months prior to Survey submission	Patient Follow-up: Latest 24 months prior to Survey submission
	Patient Selection: N/A	Patient Selection: N/A
	Safe Surgery Checklist: Latest 6 months prior to Survey submission	Safe Surgery Checklist: Latest 6 months prior to Survey submission
9E Medication Safety for Outpatient Procedures	12 months ending 12/31/2022	12 months ending 06/30/2023
9F Patient Experience (OAS CAHPS)	Latest 12 months prior to Survey submission	Latest 12 months prior to Survey submission

*Leapfrog will update VON data 3 times per Survey Cycle for all hospitals that have provided an accurate VON Transfer Code in the Hospital Profile, submitted a [Data Sharing Authorization](#) letter to VON, selected “VON National Performance Measure” in Section 4F, and submitted the 2023 Leapfrog Hospital Survey.

**Adult and pediatric hospitals reporting on Section 7B: Healthcare-Associated Infections are required to join Leapfrog’s NHSN Group. More information, including important deadlines, is available on the [Join NHSN Group webpage](#). Leapfrog will update data 4 times for all members of our NHSN group that have provided an accurate NHSN ID in the Hospital Profile and submitted the 2023 Leapfrog Hospital Survey.

***Adult and pediatric hospitals reporting on Section 9D: Patient Follow-up are required to provide an accurate CMS Certification Number (CCN) in the Hospital Profile. Leapfrog will update data 3 times per Survey Cycle for all hospitals that have provided an accurate CCN in the Hospital Profile and submitted Section 9: Outpatient Procedures.

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HOSPITAL PROFILE

Hospitals must complete and submit a Hospital Profile via the Online Hospital Survey Tool before accessing the Hospital Survey Dashboard for the first time. The Profile is available year-round and should be updated as needed.

2023 Hospital Profile

The Hospital Profile includes questions about demographic and contact information. The Profile can be accessed and updated anytime throughout the year after logging into the [Online Hospital Survey Tool](#).

The Hospital Profile must be completed and submitted before you can access Sections 1-9 and the CPOE Evaluation Tool on the Survey Dashboard.

Hospital Profile

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: Leapfrog uses an administration system that links contacts shared by hospitals (i.e., CEOs, Survey Contacts, System Contacts, and Public Relations Contacts). Only one phone number and email address will be maintained for each contact, meaning that if this shared contact's information is updated in one hospital's Profile, it will be updated for all hospitals associated with the contact.

Note 2: The Primary Survey Contact, Secondary Survey Contact, and System Contact will be notified at the beginning of each month if Leapfrog finds any error in your Survey that needs to be corrected.

Facility Information

Organization Name This is the name that will appear on Leapfrog's public reporting website.	CMS Certification Number (CCN) ¹ If the CCN displayed in the Online Hospital Survey Tool is not correct, contact the Leapfrog Help Desk immediately.
	Does your hospital share this CCN with another facility? Yes No
	NHSN ID ²
	Vermont Oxford Network (VON) Transfer Code ³
	Federal Tax Identification Number (TIN) ⁴
	National Provider Identifier (NPI) ⁵ If the NPI displayed in the Online Hospital Survey Tool is not correct, contact the Leapfrog Help Desk immediately.
	Does your hospital share this NPI with another facility? Yes No

Demographic Information

Physical Address (used for public reporting)	Mailing Address (used to send important communications)
Street Address	Street Address or P.O. Box
City	City
State ⁶	State
Zip Code	Zip Code
Zip Code Suffix	Zip Code Suffix
Main Phone Number	

<p>Hospital Website Address⁷ (So consumers can learn more about your hospital's efforts in the area of patient safety and quality improvement)</p>

Contact Information

Chief Executive Officer (CEO)	Chairperson of the Board
First Name	First Name
Last Name	Last Name
Email Address (required for emailing of security codes and Top Hospital notification)	

Primary Survey Contact	Secondary Survey Contact
First Name	First Name
Last Name	Last Name
Title	Title
Phone Number	Phone Number
Phone Number Extension	Phone Number Extension
Email Address	Email Address

<p>Hospital Public Relations Contact (required so that Leapfrog may provide information on Leapfrog accolades, such as Top Hospital notification, and announcements)</p>
First Name
Last Name
Phone Number
Phone Number Extension
Email Address

<p>Opt-Out Opt-out of having information in the "Contact Information" subsection shared with third parties.</p>	<input type="checkbox"/> Opt-out
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Health System Information	
Is this hospital part of a healthcare system or Integrated Delivery Network^{8?}	System Public Relations Contact First Name
Yes No <i>If yes, provide contact information.</i>	
Name of the healthcare system or Integrated Delivery Network	System Public Relations Contact Last Name
System Contact First Name	System Public Relations Contact Phone Number
System Contact Last Name	System Public Relations Contact Phone Number Extension
System Contact Email Address	System Public Relations Contact Email Address

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SECTION 1: BASIC HOSPITAL INFORMATION

This section includes questions and reference information for Section 1: Basic Hospital Information. Please carefully review the questions, endnotes, and reference information (e.g., measure specifications, notes, and frequently asked questions) before you begin. Failure to review the reference information could result in inaccurate responses.

Section 1: 2023 Basic Hospital Information**Billing Ethics Bibliography and Fact Sheet:**

<https://ratings.leapfroggroup.org/measure/hospital/2023/billing-ethics>

Health Equity Bibliography:

<https://ratings.leapfroggroup.org/measure/hospital/2023/whats-new-2023>

Informed Consent Bibliography and Fact Sheet:

<https://ratings.leapfroggroup.org/measure/hospital/2023/informed-consent>

Section 1 includes questions about your hospital's bed size, admissions, ICU operations, and teaching status. This section also includes questions on billing practices and health equity. In 2023, the subsection on hospital information (1A) includes a new optional fact-finding question regarding your hospital's environmental services that will not be scored or publicly reported. The subsection on informed consent (1C) will be scored and publicly reported.

Each hospital achieving the standard for Billing Ethics:

- 1) Provides every patient with a billing statement within 30 days after final claims adjudication that includes all 10 required elements listed in question #1, **and**
- 2) Gives patients instructions for contacting a billing representative with access to an interpretation service to communicate in the patient's preferred language and has the authority to do all three required elements in question #2, **and**
- 3) Does NOT take legal action against patients for late or insufficient payment.

Each hospital achieving the standard for Informed Consent:

- 1) Has a training program on informed consent that tailors different training topics to different staff roles and has made the training required for newly hired staff and existing staff who were not trained, **and**
- 2) Ensures that as part of the process for obtaining informed consent, clinicians explain expected difficulties, recovery time, pain management, and restrictions after a test, treatment, or procedure, and give the patient an opportunity to ask questions, **and**
- 3) Ensures every consent form used by the hospital includes the name of the clinician performing the test, treatment, or procedure, whether the clinician is expected to be absent, and whether any assistants or trainees will be involved, **and**
- 4) Ensures every consent form is written at a 6th grade reading level or lower, **and**
- 5) Prior to conducting the informed consent discussion, identifies the patient/legal guardian's preferred language and provides a medical interpreter, and has a place in the consent form that indicates whether an interpreter was used, **and**
- 6) Requires clinicians at the hospital to use the "teach back method" with patients/legal guardians.

Download the 2023 Leapfrog Hospital Survey Scoring Algorithm on the [Scoring and Results webpage](#).

1A: Basic Hospital Information

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Specifications: See [Basic Hospital Information Measure Specifications](#) in the Reference Information beginning on page 44.

Reporting Period: 12 months

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) Reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
2) Total number of licensed acute-care ⁹ beds.	_____
3) Total number of staffed acute-care ¹⁰ beds.	_____
4) Total number of adult acute-care admissions ¹¹ to your hospital during the reporting period.	_____
5) Total number of pediatric acute-care admissions ¹² to your hospital during the reporting period.	_____
6) Does your hospital operate any adult or pediatric general medical and/or surgical or neuro ICUs? <i>If “no” to question #6, skip questions #7-9 and continue to question #10.</i>	Yes No
7) Total number of licensed ICU ¹³ beds in adult and pediatric general medical/surgical ICU(s) and neuro ICU(s).	_____
8) Total number of staffed ICU ¹⁴ beds in adult and pediatric general medical/surgical ICU(s) and neuro ICU(s).	_____
9) Total number of admissions to adult and pediatric general medical/surgical ICUs and neuro ICUs ¹⁵ during the reporting period.	_____
10) Does your hospital operate any of the following specialty ICUs: medical cardiac, respiratory, surgical cardiothoracic, burn, trauma, pediatric cardiothoracic, oncology, or any level neonatal ICU? <i>If “no” to question #10, skip question #11 and continue to question #12.</i>	Yes No
11) Total number of admissions to any level neonatal ICU ¹⁶ during the reporting period.	_____
12) Is your hospital a Major or Graduate teaching hospital for physicians and/or physicians-in-training?	<i>No response required here. Determined automatically based on NHSN 2022 Patient Safety Component – Annual Hospital Survey.</i>

<p>13) To help ensure that patients are cared for by well-trained physicians and other providers (e.g., certified registered nurse anesthetists, certified midwives, or certified nurse-midwives, etc.), do your medical staff by-laws or hospital-wide policies require all physicians and providers who have privileges to provide care at your hospital to be board certified or board eligible?</p>	<p>Yes No</p>
<p>14) Does your hospital include performance on the Leapfrog Hospital Survey, Leapfrog Hospital Safety Grade, or Leapfrog Top Hospital in performance reviews and/or compensation incentives for senior administrative leadership?</p>	<p>Yes No</p>

Additional Question (Optional – Fact Finding Only)

<p>15) How are environmental services (EVS) and facilities engineering integrated into your hospital's quality and safety structures?</p> <p><i>Select all that apply.</i></p>	<ul style="list-style-type: none"> <input type="checkbox"/> EVS leadership participates in and shares relevant data as part of the organization's quality and safety committees <input type="checkbox"/> Facilities engineering leadership participates in and shares relevant data as part of the organization's quality and safety committees <input type="checkbox"/> EVS and facilities engineering staff are surveyed as part of the hospital's culture of safety survey and leaders conduct debriefings with the EVS and facilities engineering staff around the team's survey results <input type="checkbox"/> EVS and facilities engineering staff are included in the hospital's daily patient safety huddles <input type="checkbox"/> Other <input type="checkbox"/> Not applicable, EVS and facilities engineering are not integrated into the hospital's quality and safety structures
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1B: Person-Centered Care: Billing Ethics and Health Equity

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: Health Equity (questions #6-18) will not be scored or publicly reported.

Note 2: Hyperlinks throughout this subsection refer to the [Person-Centered Care: Billing Ethics and Health Equity FAQs](#) beginning on page 45, not to endnotes. These hyperlinks are not included in the Online Survey Tool.

Reporting Period: Answer questions #1-18 based on the practices currently in place at the time you submit this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Billing Ethics

<p>1) Within 30 days of the final claims adjudication (or within 30 days from date of service for patients without insurance), does your hospital provide every patient, either by mail or electronically, with a billing statement and/or master itemized bill for facility services that includes ALL the following?</p> <ul style="list-style-type: none"> a. Name and address of the facility where billed services occurred b. Date(s) of service c. An individual line item for each service or bundle of services performed d. Description of services billed that accompanies each line item or bundle of services performed e. Amount of any principal, interest, or fees (e.g., late or processing fees), if applicable f. Amount of any adjustments to the bill (e.g., health plan payment or discounts), if applicable g. Amount of any payments already received (from the patient or any other party), if applicable h. Instructions on how to apply for financial assistance i. Instructions in the patient’s preferred language on how to obtain a written translation or oral interpretation of the bill j. Notification that physician services will be billed separately, if applicable <p><i>If any one of the elements above are only provided upon request, select “Only upon request.” If any one of the elements above are not ever provided, select “No.”</i></p>	<p>Yes No <i>Only upon request</i></p>
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<p>2) Does your hospital give patients instructions for contacting a billing representative with:</p> <ul style="list-style-type: none"> • access to an interpretation service to communicate in the patient’s preferred language, and • the authority to (a) initiate an investigation into errors on the bill, (b) offer a price adjustment or debt forgiveness based on hospital policy, and (c) offer a payment plan do all of the following within 10 business days of being contacted by the patient or patient representative? <p><i>If “no” to question #2, skip question #4.</i></p>	<p>Yes No</p>
<p>3) Does your hospital take legal action against patients for late payment or insufficient payment of a medical bill?</p> <p><i>This question does not include patients with whom your hospital has entered into a written agreement specifying a good faith estimate for a medical service.</i></p>	<p>Yes No</p>

Additional Questions (Optional – Fact Finding Only)

<p>4) Based on a quantified analysis of response times, do the billing representatives from question #2 (a) initiate investigations into errors on the bill, (b) offer a price adjustment or debt forgiveness based on hospital policy, and (c) offer payment plans within 10 business days at least 95% of the time?</p>	<p>Yes No <i>Not applicable, our hospital did not conduct a quantified analysis of response times</i></p>
<p>5) Does your hospital notify patients when their outstanding unpaid balance is closed (e.g., due to the hospital’s charity care program or the bill having been written off as unrecoverable debt) within 30 days?</p>	<p>Yes No <i>Not applicable, our hospital does not close outstanding unpaid balances</i></p>

Health Equity

<p>6) Which of the following patient self-identified demographic data does your hospital collect directly from its patients (or patient’s legal guardian) prior to or while registering a patient for a hospital visit?</p> <p><i>Select all that apply.</i></p> <p><i>If “none of the above,” skip the remaining questions in Section 1B and continue to the next subsection.</i></p>	<p><input type="checkbox"/> Race</p> <p><input type="checkbox"/> Ethnicity</p> <p><input type="checkbox"/> Spoken language preferred for healthcare (patient or legal guardian)</p> <p><input type="checkbox"/> Written language preferred for healthcare (patient or legal guardian)</p> <p><input type="checkbox"/> Sexual orientation</p> <p><input type="checkbox"/> Gender identity</p> <p><input type="checkbox"/> None of the above</p>
<p>7) Which of the following methods does your hospital use to collect the demographic data in question #6 directly from patients (or patient’s legal guardian)?</p> <p><i>Select all that apply.</i></p>	<p><input type="checkbox"/> Online Patient Portal</p> <p><input type="checkbox"/> Paper Registration Forms</p> <p><input type="checkbox"/> Over the Phone</p> <p><input type="checkbox"/> At Registration (in-person)</p>
<p>8) Does your hospital train staff responsible for registering patients either in-person or over the phone on how to collect self-identified demographic data in question #6 from its patients (or patient’s legal guardian) at both:</p> <ul style="list-style-type: none"> • the time of onboarding, and • annually thereafter? 	<p>Yes No</p>

<p>9) Does your hospital routinely take any of the following steps to ensure the accuracy of the patient self-identified demographic data collected directly from its patients (or patient’s legal guardian) in question #6?</p> <p><i>Select all that apply.</i></p>	<input type="checkbox"/> Ensure appropriate data collection fields are available in EHR <input type="checkbox"/> Use analytic tools to assess completion rates of data collection fields in EHR <input type="checkbox"/> Compare data collected from patient experience surveys with EHR data <input type="checkbox"/> Compare data collected through patient portals with EHR data <input type="checkbox"/> Compare data collected with community data provided by state or county or Community Health Needs Assessment (CHNA) <input type="checkbox"/> Compare data collected to census data for the hospital’s service area <input type="checkbox"/> Other <input type="checkbox"/> None of the above
<p>10) Does your hospital use the patient self-identified demographic data it collects directly from patients (or patient’s legal guardian) in question #6 to stratify <u>any</u> quality measure(s) with the aim of identifying health care disparities?</p> <p><i>If “no” to question #10, skip questions #11-15 and continue to question #16.</i></p>	<p style="text-align: center;">Yes No</p>
<p>11) Which type(s) of quality measure(s) does your hospital stratify?</p> <p><i>Select all that apply.</i></p>	<input type="checkbox"/> Clinical process measures <input type="checkbox"/> Clinical outcome measures <input type="checkbox"/> CAHPS measures (i.e., Adult HCAHPS, OAS CAHPS, CAHPS Child Hospital Survey, etc.) <input type="checkbox"/> Other patient experience measures <input type="checkbox"/> Other
<p>12) What types of patient self-identified demographic data selected in question #6 did your hospital use to stratify the quality measures selected in question #11?</p> <p><i>Select all that apply based on items that were also selected in question #6.</i></p>	<input type="checkbox"/> Race <input type="checkbox"/> Ethnicity <input type="checkbox"/> Spoken language preferred for healthcare (patient or legal guardian) <input type="checkbox"/> Written language preferred for healthcare (patient or legal guardian) <input type="checkbox"/> Sexual orientation <input type="checkbox"/> Gender identity

<p>13) Prior to using the patient self-identified demographic data selected in question #12 to stratify quality measures, did your hospital do any of the following to ensure the quality of the data?</p> <p><i>Select all that apply.</i></p>	<p><input type="checkbox"/> Periodically (e.g., monthly for first six months, and quarterly thereafter) review data set to identify critical issues with data quality</p> <p><input type="checkbox"/> Set targets for missing data (e.g., 10%) to use as a benchmark for performance</p> <p><input type="checkbox"/> Monitor “other” response rates to identify issues with existing data collection categories or methods</p> <p><input type="checkbox"/> Include the data collection team (i.e., registration team) when addressing data quality issues</p> <p><input type="checkbox"/> None of the above</p>
<p>14) By stratifying the measure(s) selected in question #11, has your hospital identified any disparities among its patients based on the demographic data selected in question #12?</p> <p><i>If “no, disparities were not identified” or “inadequate data available to determine if disparities exist,” skip question #15 and continue to question #16.</i></p>	<p><i>Yes, disparities were identified</i> <i>No, disparities were not identified</i> <i>Inadequate data available to determine if disparities exist</i></p>
<p>15) In the past 12 months, has your hospital used the data and information obtained through question #14 to update or revise its policies or procedures?</p> <p>OR</p> <p>In the past 12 months, has your hospital developed a written action plan that describes how it will address at least one of the health care disparities identified through question #14?</p>	<p>Yes No</p>
<p>16) Does your hospital share information on its efforts to identify and reduce health care disparities based on <i>race, ethnicity, spoken language preferred for healthcare (patient or legal guardian), written language preferred for healthcare (patient or legal guardian), sexual orientation, or gender identity</i> and the impact of those efforts on its public website?</p>	<p>Yes No</p>
<p>17) Does your hospital report out and discuss efforts related to identifying and addressing disparities with the Board at least annually?</p>	<p>Yes No</p>
<p>18) Does your hospital make unconscious and implicit bias training available to all hospital staff?</p>	<p>Yes No</p>

1C: Informed Consent

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: In this subsection, questions regarding the informed consent process and consent forms concern ALL tests, treatments, and procedures for which your hospital obtains consent, EXCEPT anesthesia.

Note 2: Hyperlinks throughout this subsection refer to the [Informed Consent FAQs](#) beginning on page 48, not to endnotes. These hyperlinks are not included in the Online Survey Tool.

Reporting Period: Answer questions #1-6 based on the practices currently in place at the time you submit this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Policies and Training

<p>1) Does your hospital have a training program on informed consent that tailors different training topics to different staff roles, including hospital leaders, MD/NP/PA, nurses and other clinical staff, administrative staff, and interpreters, and has your hospital made the training:</p> <ul style="list-style-type: none"> • a required component of onboarding for the appropriate newly hired staff, and • required for the appropriate existing staff who were not previously trained? 	<p>Yes No</p>
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Content of Informed Consent Forms

<p>2) As part of your hospital's process for obtaining informed consent, does:</p> <ul style="list-style-type: none"> • the clinician explain expected difficulties, recovery time, pain management, and restrictions after a test, treatment, or procedure, in the facility and post-discharge, if applicable, • the patient have the opportunity to ask questions, and • the consent form document that these two elements of the process have taken place? 	<p>Yes No</p>
<p>3) Do ALL your hospital's consent forms include:</p> <ul style="list-style-type: none"> • the name(s) of the clinician(s) performing the test, treatment, or procedure, • whether the clinician is expected to be absent from portions of the test, treatment, or procedure (e.g., opening, closing), and • whether any assistants or trainees will be involved in the test, treatment, or procedure? 	<p>Yes No</p>

<p>4) Are ALL your hospital's consent forms written at a 6th grade reading level or lower?</p> <p><i>The procedure name and description can be excluded from the reading level assessment.</i></p>	<p><i>Yes, all forms are written at a 6th grade reading level or lower</i></p> <p><i>At least one form is written at a 6th grade reading level or lower</i></p> <p><i>No forms are written at a 6th grade reading level or lower</i></p>
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Process for Gaining Informed Consent

<p>5) Prior to the informed consent discussion, does your hospital:</p> <ul style="list-style-type: none"> ask what the patient/legal guardian's preferred language for medical decision-making is, where needed, provide the patient/legal guardian access to a qualified medical interpreter, use a consent form or notation in the medical record to document whether a qualified medical interpreter was used to conduct the informed consent process, and have the medical interpreter sign the consent form (either in-person, electronically, or by documenting the use of an interpreter in the medical record)? <p><i>If anyone other than a qualified medical interpreter is ever used to translate (e.g., caregiver or family member), select "No."</i></p>	<p>Yes No</p>
<p>6) As part of the informed consent discussion, do clinicians at your hospital use the "teach back method" with patients/legal guardians, where patients/legal guardians are asked to describe, in their own words, what they understand will be performed, why it will be performed, and what are the primary risks?</p>	<p>Yes No</p>

Additional Questions (Optional – Fact Finding Only)

<p>7) Does your hospital's written policy on informed consent reference a list, or a defined set of guidelines, so the appropriate staff know which tests, treatments, and procedures require patient/legal guardian consent, with any exceptions noted?</p>	<p>Yes No</p>
<p>8) As part of your hospital's process for obtaining informed consent, does:</p> <ul style="list-style-type: none"> the clinician explain all the patient's test or treatment choices (including the choice of declining to go through with the test, treatment, or procedure), including the severity and probability of the risks and benefits of each choice, if applicable, the patient have the opportunity to ask questions, and the consent form document that these two elements of the process have taken place? 	<p>Yes No</p>

<p>9) As part of your hospital's process for obtaining informed consent, does:</p> <ul style="list-style-type: none"> • the clinician explain the clinical rationale (i.e., condition-specific justification) for why the test, treatment, or procedure is being performed, • the patient have the opportunity to ask questions, and • the consent form document that these two elements of the process have taken place? 	<p style="text-align: center;">Yes No</p>
<p>10) Which clinician is responsible for conducting the informed consent process at your hospital?</p>	<p style="text-align: center;"><i>The clinician primarily responsible for performing the procedure</i> <i>Another clinician on the procedure team</i> <i>A clinician not involved with performing the procedure</i> <i>Other</i></p>
<p>11) As part of the informed consent discussion, do clinicians at your hospital tell patients/legal guardians how many times a year, on average, they perform the test, treatment, or procedure?</p>	<p style="text-align: center;">Yes No</p>
<p>12) For tests, treatments, and procedures that are scheduled a week (i.e., seven calendar days) or more in advance, is the consent form shared with the patient at least three calendar days before the patient's test, treatment, or procedure?</p>	<p style="text-align: center;">Yes No</p>
<p>13) For tests, treatments, and procedures that are scheduled a week (i.e., seven calendar days) or more in advance, do clinicians discuss the consent form with the patient/legal guardian at least one calendar day before the patient's test, treatment, or procedure, and is the patient/legal guardian provided with an opportunity to ask questions?</p>	<p style="text-align: center;">Yes No</p>
<p>14) At least once a year, does your hospital solicit feedback from patients/legal guardians about your hospital's informed consent process to understand how it can be improved over time?</p>	<p style="text-align: center;">Yes No</p>
<p>15) At least once a year, does your hospital complete an audit of the informed consent process to evaluate its efficacy and provide feedback to staff on opportunities for improvement?</p>	<p style="text-align: center;">Yes No</p>

Section 1: 2023 Basic Hospital Information Reference Information

What's New in the 2023 Survey

Section 1A: Basic Hospital Information

In recognition of the published evidence and guidelines documenting the importance of environmental hygiene on infection prevention, Leapfrog is exploring the development of a new standard around environmental hygiene and will be consulting with experts in advance of the 2024 Leapfrog Hospital Survey. Environmental hygiene topics under consideration include cleaning and disinfecting surfaces, air-handling, ventilation, and water quality. For the 2023 Leapfrog Hospital Survey, we are adding an optional, fact-finding question to Section 1A: Basic Hospital Information to assess how hospitals are integrating environmental services and facilities engineering into their quality and safety structures. Based on public comments and feedback received from 2023 Leapfrog Hospital Survey pilot participants, the response options to this question have been updated. This optional, fact-finding question will not be used in scoring or public reporting in 2023.

Section 1B: Person-Centered Care

Billing Ethics

In response to hospital feedback, an analysis of responses submitted to the 2022 Leapfrog Hospital Survey, and feedback from researchers in the field, Leapfrog is making the following updates to Section 1B: Billing Ethics:

- Updating question #1, regarding a master itemized bill, to clarify that hospitals must provide instructions on how to obtain a written translation or oral interpretation of the bill in the patient's preferred language.
- Updating question #2, regarding access to billing representatives and timely resolution of billing issues, to give billing representatives 10 days, rather than 5 days, to initiate an investigation into errors on a bill, offer a price adjustment or debt forgiveness based on hospital policy, or offer a payment plan. We are also updating question #2 to require that billing representatives have access to a translation service to help communicate information in the patient's preferred language.
- Leapfrog is also adding two optional fact-finding questions regarding additional aspects of hospital billing practices that will not be used in scoring or public reporting in 2023.

Additionally, we are adding Frequently Asked Questions (FAQs) regarding alternatives to taking legal action against patients and defining a "good faith estimate." There are no changes to the scoring algorithm.

Health Equity

To date, Leapfrog has focused questions in this subsection on the collection of patient self-reported demographic information. Based on feedback from Leapfrog's National Advisory Committee and an analysis of responses submitted to the 2022 Leapfrog Hospital Survey, Leapfrog is revising the questions to focus on methods that hospitals are using to stratify measures by race, ethnicity, preferred language, sexual orientation, and gender identity. These questions are required, but responses will not be scored or publicly reported in 2023.

Section 1C: Informed Consent

Based on an analysis of responses submitted to the 2022 Leapfrog Hospital Survey and consultation with Leapfrog's [Patient and Family Caregiver Expert Panel](#), Leapfrog will score and publicly report Section 1C: Informed Consent in 2023. We are updating Section 1C as follows:

First, the following six questions, identified by the expert panel as being the most relevant to patients and family caregivers, will be scored and publicly reported in 2023:

- One (1) question from the Policies and Training domain that focuses on staff training on the hospital's informed consent policies.
- Three (3) questions from the Content of the Informed Consent Forms domain that focus on: detailing expected difficulties with the procedure; naming individuals who will be involved with the procedure, including trainees and assistants; and ensuring consent forms are at a 6th grade reading level.
- Two (2) questions from the Process for Gaining Informed Consent domain that focus on providing medical interpretation in the patient/legal guardian's preferred language, where needed, when discussing informed consent and using the "teach back method" with patients to ensure they understand what is being explained to them.

Based on public comments and feedback received from 2023 Leapfrog Hospital Survey pilot participants, Leapfrog is making several updates to the originally proposed questions.

Second, we are removing questions focused on the hospital having a written policy on informed consent, the hospital explicitly offering patients the opportunity for a care partner to participate in the informed consent process, and the use of high-quality decision aids when discussing treatment options. Finally, we are retaining several questions from the 2022 Leapfrog Hospital Survey but making them optional and for fact finding purposes only; they will not be scored or publicly reported in 2023. As we conduct additional research on these and other important, evidence-based practices related to the informed consent process, additional questions may be scored and publicly reported in the future.

Change Summary Since Release

None. If substantive changes are made to this section of the Survey after release on April 1, 2023, they will be documented in this Change Summary section.

Basic Hospital Information Measure Specifications

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Teaching Status is obtained directly from CDC's National Healthcare Safety Network (NHSN) using a hospital's response to the following questions within the "Hospital Facility" section of the 2022 Patient Safety Component – Annual Hospital Survey:

Is your hospital a teaching hospital for physicians and/or physicians-in-training? [Yes, No]
If Yes, what type: [Major, Graduate, Undergraduate]

The screenshot shows the NHSN 'Add Annual Survey' form. The 'Survey Year' is set to 2022. The 'Hospital Facility' section contains the following question and options:

Is your hospital a teaching hospital for physicians and/or physicians-in-training? *

If Yes, what type: MAJOR GRADUATE UNDERGRADUATE

For the purposes of the 2023 Leapfrog Hospital Survey and Leapfrog's Top Hospital program, Leapfrog will consider the following types a "teaching hospital." Major and Graduate. NHSN's definitions for types of teaching hospitals may be reviewed [here](#). This designation will also be used for the purposes of assigning hospitals to a teaching or non-teaching hospital cohort for the purposes of scoring the Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, and Nursing Skill Mix measures in [Section 6C: Nursing Workforce](#).

In order for Leapfrog to obtain teaching status from NHSN, hospitals must complete the following steps:

- 1) Join Leapfrog's NHSN Group and review/accept Leapfrog's Data Rights Template by the published [deadlines](#)*
- 2) Enter a valid NHSN ID in the Profile of their 2023 Leapfrog Hospital Survey, and
- 3) Submit a 2023 Leapfrog Hospital Survey by the published [deadlines](#).

*Hospitals are not required to "re-join" Leapfrog's NHSN Group if they joined and conferred rights in previous Leapfrog Hospital Survey Cycles. However, all hospitals in Leapfrog's NHSN Group must review their Rights Acceptance Report at least annually by the published [join-by deadlines](#).

Instructions for joining or verifying that you are in Leapfrog's NHSN Group are available [here](#) (See "NHSN Guidance: Join the Group, Data Rights Template, and Downloading Reports"). These instructions also include information for verifying the teaching status response to the 2022 Patient Safety Component – Annual Hospital Survey.

Basic Hospital Information Frequently Asked Questions (FAQs)

Basic Hospital Information

1. How does Leapfrog define board certified and board eligible?

For physicians:

- **Board certified** means that the physician has been awarded certification from the American Board of Medical Specialties (ABMS) or the American Osteopathic Association (AOA).
- **Board eligible** indicates that the physician has completed their initial training/fellowship but has not yet passed an existing board-certifying exam in a specialty. Leapfrog adheres to the ABMS and AOA Board Eligibility Policy for all specialties, which may be reviewed here: <https://www.abms.org/board-certification/board-certification-requirements/board-eligibility/> and <https://certification.osteopathic.org/about/>, respectively. These eligibility periods provide the physician with an adequate window to take their boards and re-take if necessary.

For CRNAs:

- **Board certified** means that the RN has been awarded certification from The National Board of Certification and Recertification for Nurse Anesthetists (NBCRNA).

Billing Ethics

2. To meet the criteria for item “i” in question #1, does our hospital have to translate the billing statement and/or master itemized bill to every language spoken by our patients?

Hospitals must provide instructions, in the patient’s preferred language, on how to obtain a written translation or oral interpretation of the bill if the language constitutes 5% (and at least 50 patients) or 1,000 patients (whichever is less) of the population eligible to be served or likely to receive care at the hospital.

3. What does Leapfrog mean by “legal action” in question #3?

Legal action can include, but is not limited to, a lawsuit, wage garnishment, filing to take a patient’s money out of their tax return, seizing or placing a lien on a patient’s personal property, and selling or transferring a patient’s debt to a debt collection agency that will take legal action against the patient. If the debt collection agency is prevented from taking legal action against patients by their contract with the hospital, selling or transferring a patient’s debt to that debt collection agency would not be considered legal action.

Patients with whom your hospital has entered into a written agreement specifying a good faith estimate for a medical service would not be included in this question. A patient’s insurance being accepted by the hospital, or publicly available prices for a procedure, do NOT constitute a written agreement specifying a set price for a procedure.

In addition, other legal proceedings where patients may be named as defendants for causes other than late or non-payment of a medical bill are not included in this standard (e.g., filing a lien after an auto accident, or misappropriation of an insurance reimbursement).

4. What are alternatives to legal action against patients?

To ensure that patients are not being pursued when they no longer have the means to pay, some healthcare providers partner with nonprofits such as RIP Medical Debt, a nonprofit that uses philanthropically raised funds to acquire bad debt from health systems solely for the purpose of debt relief. They use credit analytics to locate patients with financial hardship and help notify the patient that the debt is abolished. Hospitals can contact RIP Medical Debt here: <https://ripmedicaldebt.org/hospitals/>.

5. What is a “good faith estimate” as referred to in question #3?

A good faith estimate includes an itemized list of expected charges for the primary item or service the patient will receive, and any other items or services provided as part of the same scheduled episode of care. The final bill must be no more than \$400 over the amount of the good faith estimate. The

Centers for Medicare and Medicaid Services have published an example template for providing good faith estimates: <https://www.cms.gov/files/document/good-faith-estimate-example.pdf>.

6. What procedure should we follow to conduct the quantified analysis of response times for billing representatives as described in question #4?

Although this question is not scored or publicly reported this year, Leapfrog has identified preliminary parameters for conducting the audit of response times. First, a minimum of 30 patient contacts with the hospital billing department should be evaluated against the standard. Hospitals can begin logging patient contacts (either by phone or email) with the billing department until at least 30 contacts from patients have been identified. Exclude patient contacts that are unrelated to errors on the bill, or where the patient is not asking for a payment plan or a price adjustment or debt forgiveness. Also exclude contacts where the patient was determined to be ineligible for any price adjustment or payment plan, or if the patient withdrew their request. To calculate whether the timeframe was met, count the number of business days in between when the patient first contacted the billing department, and when an investigation was initiated into errors on the bill, or when a payment plan, price adjustment, or debt forgiveness was first offered to the patient, as applicable. If the interval is under 10 days, the billing representative met the required timeframe.

Health Equity

7. Our hospital is just starting to explore the collection and use of demographic data. What are some resources or tools we can use to collect demographic data?

Hospitals that are starting their data collection journey can refer to the Toronto Measuring Health Equity website which includes training videos, manuals, and presentations on how to collect demographic data from patients, including the modeling of interactions between health-care staff and patients. Additional resources such as scripting for staff and addressing patient concerns can be found here: <https://ifdhe.aha.org/hretdisparities/how-use-hret-disparities-toolkit>.

8. What types of demographic data should hospitals be collecting?

Regarding patient self-identified race and ethnicity, at a minimum, hospitals should collect ethnic and racial categories as outlined by the Office of Management and Budget (OMB) in their Standards for the Classification of Federal Data on Race and Ethnicity. Ethnic categories include Hispanic or Other Latino. Racial categories include American Indian or Alaska Native, Asian, Black or African American, Native Hawaiian or Other Pacific Islander, and White. If your hospital has its own categories that go above and beyond OMB classifications, you can still respond to question #6.

In addition, race and ethnic categories should be presented as separate questions, and patients should be able to select multiple racial categories. More information is available at <https://www.govinfo.gov/content/pkg/FR-1997-10-30/pdf/97-28653.pdf>.

Regarding patient self-identified gender identity and sexual orientation, the Centers for Disease Control and Prevention has issued helpful guidance for providers and facilities, including a list of questions that can be asked at registration. More information is available at <https://www.cdc.gov/hiv/clinicians/transforming-health/health-care-providers/collecting-sexual-orientation.html>.

9. To select any of the patient self-identified demographic data in question #6, does a hospital need to collect the data in a particular way?

Hospitals should be collecting the information directly from the patient or, for pediatric and other patients who cannot communicate the information themselves, the legal guardian present. Patients or their legal guardians should have the opportunity to provide the information either verbally (in-person or over the phone) or via a paper form or online portal. Information should NOT be collected through observation or other documents (i.e., state issue ID).

10. Our hospital staff collects some of the demographic data in question #6 outside of registration, and during the nursing admission assessment/process. Can we still select the demographic data in question #6 if it is collected during a nursing assessment?

Yes, hospitals may select the appropriate patient self-identified demographic data that is collected during a nursing admission assessment. Hospitals should ensure they are collecting the self-identified demographic data directly from the patient or legal guardian present, as applicable. See more information about collecting the demographic data in FAQ #9.

11. Does Leapfrog have a definition of sexual orientation or an example of what needs to be reflected in the data to select “sexual orientation” in question #6?

If your hospital does not have an established set of questions to use to collect patient self-identified sexual orientation information at registration or during the visit, we would recommend that you refer to information on the CDC's webpage - Collecting Sexual Orientation and Gender Identity Information at <https://www.cdc.gov/hiv/clinicians/transforming-health/health-care-providers/collecting-sexual-orientation.html>. This webpage includes a list of questions that hospitals can ask to obtain the information, and some helpful tips on collecting and using the data.

12. What are some ways in which hospitals can ensure the accuracy of the demographic data they collect from patients?

One method to ensure the accuracy of demographic data is to compare a patient's self-identified demographic information in the “About You” of the HCAHPS to the patient's demographic data in the hospital's EHR (e.g., via online patient portal or paper forms) and see if the patient agrees. Hospitals that offer self-check-in available through “kiosks” can ask the patient to confirm their demographic data and offer the opportunity to update it. In addition, CAHPS vendors such as Press Ganey offer free tools and resources to their clients. More information is available at <https://info.pressganey.com/cahps-regulatory>.

13. How does Leapfrog define “health care disparities?”

Leapfrog defines health care disparities as differences in the quality of health care that are not due to access-related factors or clinical needs, preferences, and appropriateness of intervention. More information is available at <http://www.ihl.org/communities/blogs/making-sense-of-health-equity-terminology#:~:text=Health%20care%20disparity%3A%20%E2%80%9CRacial%20or,by%20the%20Institute%20of%20Medicine>.

14. Our hospital uses demographic data it collects from patients to stratify quality measures for research purposes. Can we respond “yes” to question #10?

Yes, hospitals doing this work for research purposes or internal quality measurement/ improvement can respond “yes” to this question.

15. Can our hospital report on measures that CMS is stratifying based on dual eligibility in question #10?

No, hospitals should report on measures that they are stratifying themselves using the patient self-identified demographic data they are collecting directly from patients. For example, to select “Clinical process measures” a hospital could explore disparities in their cancer screening measures based on patient race.

16. In question #14, what does Leapfrog mean by “inadequate data available to determine if disparities exist”?

Hospitals may find that they cannot determine if a health care disparity exists due to small sample sizes (i.e., fewer than 25 patients able to be measured) or not enough additional data such as data on income or education.

17. Can we report on health system level data in question #14 if we do not have adequate data to determine if disparities exist?

Yes, individual hospitals that do not have enough data to identify disparities among their patients based on the demographic data selected in question #12, can answer “yes” to question #14 if they

are aggregating their data for the purposes of analysis with other facilities that are part of their system.

18. In question #16, is Leapfrog asking whether our hospital is publicly reporting the measures we stratify in question #11 on our website?

No. We are trying to assess the extent to which hospitals are sharing any information on their efforts to identify and reduce health care disparities (based on race, ethnicity, spoken language preferred for healthcare (patient or legal guardian), written language preferred for healthcare (patient or legal guardian), sexual orientation, and gender identity) and the impact of those efforts. This may take the form of sharing quantitative or qualitative data. It may also include a description of the types of demographic data collected and the analyses performed, which in some cases demonstrated no apparent health care disparities. One example can be found on the Massachusetts General Hospital website, available at <https://www.massgeneral.org/quality-and-safety/about/care-equity>.

19. What does Leapfrog mean by unconscious and implicit bias training in question #18?

Unconscious or implicit bias is generally understood to mean the unintentional and unconscious bias in your behaviors, attitudes, or beliefs towards a group of people. More specifically, implicit or unconscious bias is defined as “the bias in judgment and/or behavior that results from subtle cognitive processes (e.g., implicit attitudes and implicit stereotypes) that often operate at a level below conscious awareness and without intentional control”, as defined in [Achieving Health Equity: A guide for Health Care Organizations](#). Your facility should offer some form of training to staff that addresses an implicit bias such as race, gender, sexual orientation, or gender identity. Please see examples of unconscious and implicit bias training in FAQ #21.

20. Can we answer “yes” to question #18 even if unconscious and implicit bias training isn’t mandatory for all hospital staff?

Yes. Leapfrog is not asking if hospitals require unconscious and implicit bias training. Hospitals should, however, provide staff with an opportunity or option to take unconscious and implicit bias training. Please see more information in FAQ #21 for an example of unconscious and implicit bias training.

21. What is an example of unconscious and implicit bias training?

An example of unconscious and implicit bias training can be found on the American Hospital Association Institute for Diversity and Health Equity (IFDHE) website at <https://ifdhe.aha.org/unconscious-bias-training-two-approaches-equity-care-honorees>. The IFDHE includes a webinar that highlights various methods to train staff on how to identify and address unconscious bias in the workplace.

Informed Consent

22. In cases where hospitals do not have information about informed consent processes that take place at a clinician’s (e.g., surgeon’s) office, or if informed consent processes are conducted by clinicians that are not employed by the hospital, or in other cases where the hospital does not have visibility into the informed consent process, how should hospitals respond to questions in this section?

Hospitals that do not have input over the consent form or visibility into the informed consent process for tests, treatments, and procedures performed at a hospital, should select “No” for the questions in this section.

If the hospital does have input over the consent form and visibility into the informed consent process for tests, treatments, and procedures performed at the hospital, but the consent forms and the consent process are being completed at the clinician(s)’s office, the hospital can work with those offices to implement the requirements outlined in the questions and, via an annual audit, verify that the forms and process meet the criteria to respond “Yes” to the questions in this section. All documentation should be maintained throughout the Survey Cycle.

23. Are there any examples of patients for whom the informed consent questions would not apply?

When responding to the questions in this subsection, you can exclude patients who are unable to communicate and for whom no family member or medical proxy has been identified at least one week prior to the test, treatment, or procedure being performed.

24. When answering questions that ask about “all your hospital’s consent forms,” are any consent forms excluded?

Hospitals can exclude their general consent to treat and anesthesia consent forms when responding to questions regarding “all your hospital’s consent forms.”

25. What roles and staff levels need to be included in the training program on informed consent included in question #1? What types of training can we use?

As described on page 98 of the [AHRQ’s Making Informed Consent an Informed Choice – Training for Health Care Leaders](#), the appropriate roles for training include all the following: hospital leaders, physicians/independent nurse practitioners/independent physician assistants, nurses or other clinical staff, administrative staff, and interpreters. The training may be tailored to only include relevant materials based on the staff role. The goal is for each responsible staff person to be trained in their applicable domains. For example:

- For hospital leaders, training on the definition and principles of informed consent and specifics on the hospital’s informed consent policy is appropriate.
- Clinical staff such as physicians and nurses should also be trained in strategies for clear communication, for presenting choices, and for documentation.
- For administrative staff and interpreters, participating in the informed consent process should also be trained in documentation.

Staff that are not directly employed by the hospital (e.g., medical interpreters who are employed by a contractor) do not need to be trained by the hospital.

Trainings do not need to be exclusive to informed consent and can be included as components or modules in other trainings. Examples of trainings include computer-based training, one-on-one precepting, webinars, and staff meeting presentations, as well as other modalities where learning can be assessed after the content is delivered to the trainee.

26. Why has Leapfrog selected a 6th-grade reading level target for consent forms, and what are some strategies we can use to meet this?

Just over half of U.S. adults have a reading level that permits them to understand and synthesize information from a complex text. According to [a Gallup analysis](#), 54% of Americans between the ages of 16 and 74 read below the equivalent of a sixth-grade level. Leapfrog has included an exemption in the standard for the procedure name and description, that may include highly technical language that would affect the reading level.

Leapfrog hosted two Town Hall Calls last year led by AHRQ describing techniques for reducing the written complexity of consent forms. The slides are available on Leapfrog’s [Town Hall Calls webpage](#); please refer to slide 40-47 for more information in the “Informed Consent” slide deck and slides 40-45 in the “Health Literacy” deck. Additional resources include:

- [AHRQ Training Module](#)
- The Patient Education Materials Assessment Tool ([PEMAT](#))
- Clear Communication Index ([CCI](#))
- [CMS Toolkit for Making Written Material Clear and Effective](#)

27. Should each consent form be customized to include patient- and procedure-specific details to explain expected difficulties and recovery time (question #2), treatment choices (question #8), or the clinical rationale (question #9)?

No. Instead, the consent form must document that the conversation between the patient and the clinician that covered these topics took place, and that the patient had the opportunity to ask questions. For example, such language might read: “I acknowledge my treatment choices (including

the severity and probability of the risks and benefits of each choice) were explained to me, and that expected difficulties as a result of undergoing the Procedure were explained to me, including recovery time, pain management, and restrictions in the hospital and after I leave the hospital. I also acknowledged that I understand why the Procedure is being performed.”

28. How should the reading level of the consent form be assessed?

There are software tools available to assess reading level. For example, consent forms can be edited in Microsoft Word, where a readability tool can be used to make this assessment by: (1) on the “File” tab, click the “Options” button; (2) on the “Proofing” tab, under “When correcting spelling and grammar in Word”, make sure “Check grammar with spelling” is selected; (3) under “When correcting spelling and grammar in Word”, select the “Show readability statistics” check box. After the grammar check is complete, Word displays a message box showing you the readability grade-level.

29. Regarding the process for soliciting patient feedback in question #14, or the audit included in question #15, what parameters should these processes follow? Are there a minimum number of instances that should be audited, or a patient feedback evaluation form that should be used?

Leapfrog has not established any specific requirements or forms for either the process audit, or the solicitation of patient feedback. Results from both activities can be used to improve processes. Note that although Leapfrog may specify a minimum sample for audits in subsequent Survey Cycles, Leapfrog does not have plans at this time to designate a threshold rate for patient satisfaction or consistent implementation of the informed consent policies assessed in the audit.

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SECTION 2: MEDICATION SAFETY

This section includes questions and reference information for Section 2: Medication Safety. Please carefully review the questions, endnotes, and reference information (e.g., measure specifications, notes, and frequently asked questions) before you begin. Failure to review the reference information could result in inaccurate responses.

Section 2: 2023 Medication Safety

Computerized Physician Order Entry (CPOE) Fact Sheet and Bibliography:

<https://ratings.leapfroggroup.org/measure/hospital/2023/safe-medication-ordering>

Bar Code Medication Administration (BCMA) Fact Sheet and Bibliography:

<https://ratings.leapfroggroup.org/measure/hospital/2023/safe-medication-administration>

Medication Reconciliation Fact Sheet:

<https://ratings.leapfroggroup.org/measure/hospital/2023/medication-reconciliation>

In Section 2, pediatric hospitals should only respond to questions in Sections 2A and 2C. Sections 2B and 2D do not apply to pediatric hospitals. Additionally, pediatric hospitals are not required to complete the CPOE Evaluation Tool.

Section 2 includes questions about your hospital's use of CPOE and BCMA, and (for adult and general hospitals) questions about your hospital's medication reconciliation process. The subsection on your hospital's EHR application (2B) is only applicable to adult and general hospitals and will not be scored or publicly reported. In 2023, the subsection on BCMA (2C) includes new optional fact-finding questions regarding your hospital's use of BCMA in pre-op units, PACUs, and the emergency department, and will not be scored or publicly reported.

Each hospital achieving the standard for Computerized Physician Order Entry (CPOE):

1. Assures that prescribers enter at least 85% of inpatient medication orders via a computer system that includes decision support software to reduce prescribing errors, **and**
2. For adult and general hospitals, demonstrates, via a test, that its inpatient CPOE system can alert physicians to at least 60% of frequent serious medication errors known to cause harm to patients.

Each hospital achieving the standard for Bar Code Medication Administration:

1. Has implemented the use of BCMA at the bedside in 100% of applicable units, **and**
2. Has achieved at least 95% compliance with scanning patients and medications during administration in applicable units where BCMA is implemented, **and**
3. Has a BCMA system that includes all of the following types of decision support: wrong patient, wrong medication, wrong dose, wrong time, and second nurse check needed, **and**
4. Has structures in place to monitor and reduce workarounds, which include having a formal committee that meets routinely to review data reports on BCMA system use, having back-up systems for hardware failures, having a help desk that provides timely responses to urgent BCMA issues in real-time, conducting real-time observations of users using the BCMA system, and engaging nursing leadership at the unit level on BCMA use. Additionally, information from these structures is used to implement quality improvement projects or monitor previous quality improvement projects focusing on the hospital's BCMA system. Results from the quality improvement projects are evaluated and demonstrate that these projects have resulted in higher adherence to standard medication administration processes. Finally, resolution of system deficiencies and/or problems that may have contributed to the workaround are communicated back to the end user.

Each hospital achieving the standard for Medication Reconciliation:

1. Uses a nationally endorsed protocol to collect data on the accuracy of its medication reconciliation process for at least 30 sampled patients and reported the data collected to Leapfrog, **and**
2. Has a rate of unintentional medication discrepancies that is lower than the 50th percentile (where lower performance is better).

Download the 2023 Leapfrog Hospital Survey Scoring Algorithm on the [Scoring and Results webpage](#).

2A: Computerized Physician Order Entry (CPOE)

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: “Prescribers” used throughout this subsection refers to all licensed clinicians who are authorized by the state in which the hospital is located to order medications for patients. This includes residents and interns who are authorized to order medications under their own authority.

Note 2: Adult and general hospitals must complete an Adult Inpatient Test at least once per Survey Cycle (April to November). Hospitals are encouraged to ensure that the Adult Inpatient CPOE Test is submitted along with the Survey to meet the deadlines for Leapfrog’s Programs such as Top Hospital, Leapfrog Hospital Safety Grade, etc.

Note 3: Hospitals will have access to the CPOE Evaluation Tool immediately after completing the Hospital Profile in the Online Hospital Survey Tool.

Specifications: See [Computerized Physician Order Entry \(CPOE\) Measure Specifications](#) in the Reference Information beginning on page 65.

Reporting Period: 3 months

Answer questions #1-5 for the latest 3-month period prior to the submission of this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) What is the latest 3-month reporting period for which your hospital is submitting responses to this section? 3-month reporting period ending:	_____ <i>Format: Month/Year</i>
2) Does your hospital have a functioning CPOE system in one or more <u>inpatient</u> units of the hospital that: <ul style="list-style-type: none"> includes decision support software to reduce prescribing errors; and, is linked¹⁷ to pharmacy, laboratory, and admitting-discharge-transfer (ADT) information in your hospital? <p><i>If “no” to question #2, skip the remaining questions in Section 2A and Section 2B, and continue to Section 2C. The hospital will be scored as “Limited Achievement.”</i></p>	Yes No
3) Total number of inpatient medication orders , including orders made in units that do NOT have a functioning CPOE system.	_____
4) Total number of inpatient medication orders in question #3 that licensed prescribers entered via a CPOE system that meets the criteria outlined in question #2.	_____

If “yes” to question #2 and you are an **adult or general hospital**, use the **Take CPOE Tool** button on the Survey Dashboard to access the **CPOE Evaluation Tool**. Question #5 does not apply to pediatric hospitals.

<p>5) Hospital's score when it tested its CPOE system using the Leapfrog CPOE Evaluation Tool:</p> <p>Adult Inpatient Test must be completed between April 1 and November 30, 2023.</p>	<p><i>No response required here. Determined automatically based on separately completing a test using the Leapfrog CPOE Evaluation Tool.</i></p>
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2B: EHR Application Information

This section is not applicable to pediatric hospitals.

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Note: This subsection will not be scored or publicly reported. The information collected in this subsection is used by the CPOE Evaluation Tool developers for research and CPOE Evaluation Tool improvements.

Reporting Period: Answer questions #1-6 based on the EHR application currently in place at the time you submit this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

<p>1) Which EHR source is your hospital currently using?</p> <p><i>If your hospital purchased a third-party vendor system and substantially altered it on implementation, select “Homegrown App,” skip questions #2-4, and continue to question #5.</i></p>	<p><i>Vendor Application</i> <i>Homegrown Application</i></p>
<p>2) Which EHR vendor is your hospital currently using?</p>	<p><i>Allscripts/Eclipsys</i> <i>CareCast</i> <i>Cerner</i> <i>CPSI</i> <i>Epic</i> <i>MEDHOST</i> <i>MEDITECH</i> <i>Quadramed</i> <i>Other (please specify): _____</i></p>
<p>3) What EHR version is your hospital currently using?</p>	<p>_____</p>
<p>4) What is the name of the EHR product that your hospital is currently using?</p>	<p>_____</p>
<p>5) When was the EHR initially installed at the hospital?</p> <p><i>Enter the month and year the EHR was installed at the hospital.</i></p>	<p>_____</p> <p><i>Format: Month/Year</i></p>
<p>6) Which EHR Medication Reference Database is your hospital currently using?</p>	<p><i>Homegrown</i> <i>First DataBank (FDB)</i> <i>Gold Standard / Elsevier</i> <i>Lexicomp</i> <i>Medi – Span</i> <i>Multum</i> <i>Other (please specify): _____</i></p>

2C: Bar Code Medication Administration (BCMA)

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Specifications: See [Bar Code Medication Administration \(BCMA\) Measure Specifications](#) in the Reference Information beginning on page 67.

Reporting Period: 3 months

Answer questions #1-15 for the latest 3-month period prior to the submission of this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) What is the latest 3-month reporting period for which your hospital is submitting responses to questions #2-15? 3-month reporting period ending:	_____ Format: Month/Year
2) Does your hospital use a Bar Code Medication Administration (BCMA) system that is linked to the electronic medication administration record (eMAR) when administering medications at the bedside in at least one inpatient unit? <i>If "no" to question #2, skip questions #3-30 and continue to the next subsection. The hospital will be scored as "Limited Achievement."</i>	Yes No
3) Does your hospital operate Intensive Care Units ¹⁸ (adult, pediatric, and/or neonatal)? <i>If "no" to question #3, skip questions #4-5 and continue to question #6.</i>	Yes No
4) If "yes," how many of this type of unit are open and staffed in the hospital?	_____
5) How many of the units in question #4 utilized the BCMA/eMAR system when administering medications at the bedside?	_____
6) Does your hospital operate Medical and/or Surgical Units (including telemetry/step-down/progressive units) ¹⁹ (adult and/or pediatric)? <i>If "no" to question #6, skip questions #7-8 and continue to question #9.</i>	Yes No
7) If "yes," how many of this type of unit were open and staffed in the hospital?	_____
8) How many of the units in question #7 utilized the BCMA/eMAR system when administering medications at the bedside?	_____
9) Does your hospital operate a Labor and Delivery Unit ²⁰ ? <i>If "no" to question #9, skip questions #10-11 and continue to question #12.</i>	Yes No
10) If "yes," how many of this type of unit were open and staffed in the hospital?	_____

11) How many of the units in question #10 utilized the BCMA/eMAR system when administering medications at the bedside?	_____
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If “no” to questions #3, #6, and #9 above, skip questions #12-15 and continue to question #16. Your hospital will be scored as “Does Not Apply.”

12) The number of scannable inpatient medication administrations during the reporting period in those units that utilize BCMA as indicated in questions #5, #8, and #11 above:	_____
13) The number of medication administrations from question #12 that had both the patient and the medication scanned during administration with a BCMA system that is linked to the electronic medication administration record (eMAR):	_____

14) What types of decision support does your hospital’s BCMA system provide to users of the system?	
a) Wrong patient	Yes No
b) Wrong medication	Yes No
c) Wrong dose	Yes No
d) Wrong time (e.g., early/late warning; warning that medication cannot be administered twice within a given window of time)	Yes No
e) Second nurse check needed	Yes No

15) Which of the following mechanisms does your hospital use to reduce and understand potential BCMA system “workarounds”?	
a) Has a formal committee that meets routinely to review data reports on BCMA system use	Yes No
b) Has back-up systems for BCMA hardware failures	Yes No
c) Has a Help Desk that provides timely responses to urgent BCMA issues in real-time	Yes No
d) Conducts real-time observations of users at the unit level using the BCMA system	Yes No
e) Engages nursing leadership at the unit level on BCMA use	Yes No
f) In the past 12 months used the data and information obtained through items a-e to implement quality improvement projects that have focused on improving the hospital’s BCMA performance OR	Yes No

	In the past 12 months used the data and information obtained through items a-e to monitor a previously implemented quality improvement project focused on improving the hospital's BCMA performance <i>Cannot respond "yes" to this question, unless "yes" to either 15d or 15e.</i>	
g)	In the past 12 months evaluated the results of the quality improvement projects (from f) and demonstrated that these projects have resulted in higher adherence to your hospital's standard medication administration process OR In the past 12 months evaluated the results of the quality improvement projects (from f) and demonstrated continued adherence to your hospital's standard medication administration process <i>Cannot respond "yes" to this question, unless "yes" to 15f.</i>	Yes No
h)	Communicated back to end users the resolution of any system deficiencies and/or problems that may have contributed to workarounds <i>Cannot respond "yes" to this question, unless "yes" to either 15d or 15e.</i>	Yes No

Additional Questions (Optional – Fact Finding Only)

16) Does your hospital operate pre-operative units? <i>If your hospital has a combined pre-operative and post anesthesia care unit (PACU), select "yes" to question #16 and report the data for the combined unit in questions #17-20. If "no" to question #16, skip questions #17-20 and continue to question #21.</i>	Yes No
17) If "yes," how many of this type of unit are open and staffed in the hospital?	_____
18) How many of the units in question #17 utilized the BCMA/eMAR system when administering medications at the bedside?	_____
19) The number of scannable medication administrations during the reporting period in those pre-operative units that utilize BCMA as indicated in question #18 above:	_____
20) The number of medication administrations from question #19 that had both the patient and the medication scanned during administration with a BCMA system that is linked to the electronic medication administration record (eMAR):	_____
21) Does your hospital operate post anesthesia care units (PACUs)? <i>If your hospital has a combined pre-operative and post anesthesia care unit (PACU), select "no" to question #21 and instead report on questions #16-20. If "no" to question #21, skip questions #22-25 and continue to question #26.</i>	Yes No

22) If “yes,” how many of this type of unit were open and staffed in the hospital?	_____
23) How many of the units in question #22 utilized the BCMA/eMAR system when administering medications at the bedside?	_____
24) The number of scannable medication administrations during the reporting period in those PACUs that utilize BCMA as indicated in question #23 above:	_____
25) The number of medication administrations from question #24 that had both the patient and the medication scanned during administration with a BCMA system that is linked to the eMAR:	_____
26) Does your hospital operate an emergency department? <i>If “no” to question #26, skip questions #27-30 and continue to the next subsection.</i>	Yes No
27) If “yes,” how many of this type of unit were open and staffed in the hospital?	_____
28) How many of the units in question #27 utilized the BCMA/eMAR system when administering medications at the bedside?	_____
29) The number of scannable medication administrations during the reporting period in those emergency departments that utilize BCMA as indicated in question #28 above:	_____
30) The number of medication administrations from question #29 that had both the patient and the medication scanned during administration with a BCMA system that is linked to the eMAR:	_____

2D: Medication Reconciliation

This section is not applicable to pediatric hospitals.

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Specifications: See [Medication Reconciliation Measure Specifications](#) in the Reference Information beginning on page 69.

Reporting Period: 6 months

Answer questions #1-8 for the latest 6-month period prior to the submission of this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Sufficient Sample: See [Medication Reconciliation Measure Specifications](#) for instructions on identifying a sufficient sample for question #3.

1) What is the latest 6-month reporting period for which your hospital is submitting responses to this section? 6-month reporting period ending:	_____ Format: Month/Year
<p>2) During the 6-month reporting period, did your hospital conduct a random sample of adult patients and have a pharmacist or certified pharmacy technician²¹ complete the following steps for each patient included in the sample:</p> <ul style="list-style-type: none"> • Interview Patient and Obtain the Gold Standard Medication History (pharmacist or certified pharmacy technician²¹) • Complete a Medication Reconciliation Worksheet for each sampled patient (pharmacist or certified pharmacy technician²¹) • Compare Gold Standard Medication History to Admission Orders (pharmacist only) • Compare Gold Standard Medication History to Discharge Orders (pharmacist only) <p><i>If “no” to question #2, skip the remaining questions in Section 2D and go to the Affirmation of Accuracy. The hospital will be scored as “Limited Achievement.”</i></p> <p><i>If “no, had fewer than 30 admissions to medical or med/surg units” to question #2, skip the remaining questions in Section 2D and go to the Affirmation of Accuracy. The hospital will be scored as “Does Not Apply.”</i></p>	<p style="text-align: center;">Yes No No, had fewer than 30 admissions to medical or med/surg units</p>

For questions #3-8, if the sample is less than 30 patients from the 6-month period, the hospital will be scored as “Some Achievement” and the rate of unintentional discrepancies will not be publicly reported.

3) Number of adult patients that your hospital sampled ²² .	_____
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<p>4) Number of patients from question #3 who had zero (0) Gold Standard Medications.</p> <p><i>If more than 10 out of 30 patients (or one-third) included in the sample had zero (0) Gold Standard Medications, the hospital will be scored as “Unable to Calculate Score.”</i></p>	<p>_____</p>
<p>5) Total number of medications obtained by the pharmacist or certified pharmacy technician²¹ from the Gold Standard Medication History²³ for the adult patients included in the sample.</p>	<p>_____</p>
<p>6) Total number of unintentional discrepancies in admission and discharge among the gold standard medications²⁴ in question #5 identified by the pharmacist.</p>	<p>_____</p>
<p>7) Total number of unintentionally ordered additional medications²⁵ for the adult patients included in the sample on admission and/or discharge identified by the pharmacist.</p>	<p>_____</p>
<p>8) Total number of discrepancies due to unintentionally ordered additional medications²⁶ in question #7 identified by the pharmacist.</p>	<p>_____</p>

Section 2: 2023 Medication Safety Reference Information

What's New in the 2023 Survey

We are combining Section 8: Medication Safety, which includes Section 8A: Bar Code Medication Administration (BCMA) and Section 8B: Medication Reconciliation, with Section 2: Medication Safety. Section 2: Medication Safety now includes the following subsections:

- Section 2A: Computerized Physician Order Entry (CPOE)
- Section 2B: EHR Application Information
- Section 2C: Bar Code Medication Administration (BCMA)
- Section 2D: Medication Reconciliation

Because Section 2 is required for submission, hospitals are required to complete subsections 2C: Bar Code Medication Administration (BCMA) and 2D: Medication Reconciliation to submit the Survey.

Section 2B: EHR Application Information

Leapfrog is removing questions regarding Medicare Promoting Interoperability Program scores. As a reminder, the remaining questions in this subsection are not scored or publicly reported.

CPOE Evaluation Tool

Leapfrog is making the CPOE Evaluation Tool available immediately upon completion of the Hospital Profile. This allows adult and general hospitals to complete a CPOE Test at their earliest convenience starting on April 1, without having to first complete and affirm Section 2. CPOE Test results will continue to be scored and publicly reported only once the Survey has been submitted.

Additionally, Leapfrog is making several content updates for the Adult Inpatient Test. First, we are updating the Test Order library as appropriate based on the latest published literature. Second, we are removing the Drug Allergy Order Checking Category due to sustained high performance in this category across all hospitals over multiple years. Finally, we are combining the Drug Dose (Single) and Drug Dose (Daily) Order Checking Categories into a single Order Checking Category renamed Excessive Dose which includes both single and daily dose testing scenarios.

Although the total number of Test Orders is decreasing, there are no changes to the scoring algorithm for the Adult Inpatient Test.

Section 2C: Bar Code Medication Administration

There are no changes to the questions used in scoring or the scoring algorithm for this subsection. However, based on feedback received from hospitals as well as guidance from Leapfrog's Bar Code Medication Administration Expert Panel, Leapfrog is updating the optional, fact-finding questions included in this subsection to focus on BCMA utilization and compliance in the following areas: pre-operative units, post anesthesia care units (PACUs), and emergency departments. Based on questions received during the public comment period, Leapfrog is adding clarifying information to instruct hospitals that have a combined pre-operative and post anesthesia care unit to report their combined data in the pre-operative unit questions. These new questions will not be scored or publicly reported in 2023.

Change Summary Since Release

None. If substantive changes are made to this section of the Survey after release on April 1, 2023, they will be documented in this Change Summary section.

Medication Safety Measure Specifications

Computerized Physician Order Entry (CPOE)

Important Notes:

Note 1: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Note 2: "Licensed prescriber" refers to all licensed clinicians who are authorized by the state in which the hospital is located to order medications for patients. This includes residents and interns who are authorized to order medications under their own authority.

Source: The Leapfrog Group
Reporting Period: The latest 3-month period prior to the submission of this section of the Survey.
<p>Medications: For the purposes of this measure, a medication is a substance that is taken into, or placed onto, the body of a person for one or more of the following reasons:</p> <ul style="list-style-type: none"> • as a placebo • to prevent a disease (e.g., flu vaccine) • to make a diagnosis (e.g., contrast dye) • to test for the possibility of an adverse effect • to modify a physiological, biochemical, or anatomical function or abnormality (e.g., heparin/heparin flushes, statins, antihypertensives, etc.) • to replace a missing factor (e.g., blood product) • to ameliorate a symptom (e.g., aspirin) • to treat a disease or condition (including topicals, nasal sprays, eye drops, compounds, inhalants, injectables, patches, etc.) • to induce anesthesia • to stabilize or hydrate during medical treatment or procedures (e.g., IV fluids, normal saline, lactated ringers, etc.) • to provide nutrition (e.g., enteral nutrition products, parenteral nutrition products, breast milk [breast milk applies to BCMA measure only]) • as a supplement (e.g., iron for a patient with iron deficiency anemia or calcium/vitamin D for a patient with osteoporosis) <p>For the purposes of this measure, the following are not considered medications:</p> <ul style="list-style-type: none"> • Pre-filled saline flushes and pre-filled heparin flushes
<p>Question #3 (denominator): Total number of inpatient medication orders, including medication orders made in inpatients units that do NOT have a functioning CPOE system.</p> <p>Include:</p> <ul style="list-style-type: none"> • Medications ordered for any patient with an inpatient status, including those with an inpatient status who may be in an outpatient unit during an overflow situation. • Medications ordered for any neonatal ICU patient. • Medications ordered by any individual, including nurses and pharmacists. • Medications ordered electronically, verbally, or via paper. • Medications ordered verbally during a rapid response (prevention of serious injury, cardiac arrest, and respiratory arrest). <p>Exclude:</p> <ul style="list-style-type: none"> • Medications ordered for any newborn in a nursery or mother's room.

- New medication orders that have been modified from the original order but maintain the intent of the original order (e.g., “new” medication orders generated when a dose, route, frequency, or brand are changed).
- Medications ordered verbally during a “code blue” (i.e., medications ordered verbally when a patient requires resuscitation or needs immediate medical attention, most often as the result of a respiratory arrest or cardiac arrest).
- Medications ordered in an operating room or procedural area.

Question #4 (numerator): Total number of inpatient medication orders in question #3 that [licensed prescribers](#) entered via a CPOE system that meets the criteria outlined in question #2.

Include:

- Medication orders entered in to the CPOE system by a [licensed prescriber](#)
- In addition, the following types of medication orders entered in to a CPOE system by a [non-licensed prescriber](#), such as a nurse or pharmacist, can also be included:
 - [Per protocol medication orders](#) (i.e., medication orders with detailed guidance on how to administer, dose or adjust a medication) approved by a medical committee where the implementation of the protocol was authorized by a [licensed prescriber](#) and orders were initiated in the CPOE system.
 - [Standing medication orders](#) (i.e., orders which can be initiated based on medical staff approval of a screening criteria and indication for all patients that meet the screening criteria) approved by a medical committee that were initiated in the CPOE system.
 - Medication orders entered in to the CPOE system that required either verbal read back of alerts to the licensed prescriber or a co-signature by a licensed prescriber prior to administration to ensure that the licensed prescriber was made aware of all advice/information generated by the CPOE system.

Exclude:

- Medications ordered verbally without readback of alerts to a licensed prescriber or medications ordered via paper.
- Medication orders entered into the CPOE system by a non-licensed prescriber, such as a nurse or pharmacist, that were NOT per protocol medication orders or standing medication orders.
- Medication orders entered in to the CPOE system by a non-licensed prescriber, such as a nurse or pharmacist, that DID NOT require either verbal read back of alerts or a co-signature of a licensed prescriber prior to administration.

See [FAQs](#) for additional information about responding to the questions in this section.

Bar Code Medication Administration (BCMA)

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

<p>Source: The Leapfrog Group</p>
<p>Reporting Period: The latest 3-month period prior to the submission of this section of the Survey.</p>
<p>Medications: For the purposes of this measure, a medication is a substance that is taken into, or placed onto, the body of a person for one or more of the following reasons:</p> <ul style="list-style-type: none"> • as a placebo • to prevent a disease (e.g., flu vaccine) • to make a diagnosis (e.g., contrast dye) • to test for the possibility of an adverse effect • to modify a physiological, biochemical or anatomical function or abnormality (e.g., heparin/heparin flushes, statins, antihypertensives, etc.) • to replace a missing factor (e.g., blood product) • to ameliorate a symptom (e.g., aspirin) • to treat a disease or condition (including topicals, nasal sprays, eye drops, compounds, inhalants, injectables, patches, etc.) • to induce anesthesia • to stabilize or hydrate during medical treatment or procedures (e.g., IV fluids, normal saline, lactated ringers, etc.) • to provide nutrition (e.g., enteral nutrition products, parenteral nutrition products, breast milk [breast milk applies to BCMA measure only]) • as a supplement (e.g., iron for a patient with iron deficiency anemia or calcium/vitamin D for a patient with osteoporosis) <p>For the purposes of this measure, the following are not considered medications:</p> <ul style="list-style-type: none"> • Pre-filled saline flushes and pre-filled heparin flushes
<p>Question #12 (denominator): Total number of scannable inpatient medication administrations during the reporting period in those units that utilize BCMA as indicated in questions #5, #8, and #11.</p> <p>Include: All scannable inpatient medication administrations in intensive care units (adult, pediatric, and/or neonatal), medical and/or surgical units (including telemetry/step-down/progressive units) (adult and/or pediatric), and labor and delivery units that utilize a BCMA system that is linked to the electronic medication administration record (eMAR)</p> <p>Exclude:</p> <ul style="list-style-type: none"> • Medication administrations in units that are not specified in question #5, #8, or #11 • Medication administrations in units that do not utilize a BCMA system that is linked to the eMAR • Medication administrations in units that have not been open or staffed for the entire 3-month reporting period • Administrations of medications that are not “scannable,” i.e., medications that do not have a bar code and could not be scanned if BCMA were in use
<p>Question #13 (numerator): Total number of medication administrations from question #12 that had both the patient and the medication scanned during administration with a BCMA system that is linked to the eMAR.</p> <p>Include: Medication administrations where both the patient and medication were scanned during administration with a BCMA system that is linked to the eMAR</p> <p>Exclude: Medication administrations where neither patient nor medication were scanned during administration or only the patient or only the medication were scanned with a BCMA system that is linked to the eMAR</p>

Question #19, #24, and #29 (denominator): Total number of scannable medication administrations during the reporting period in those units that utilize BCMA as indicated in questions #18 (pre-operative units), #23 (post anesthesia care units), or #28 (emergency department units)

Include: All scannable medication administrations in the specific unit identified in the question (#19: pre-operative care units, #25: post anesthesia care units, #29: emergency department units) that utilize a BCMA system that is linked to the electronic medication administration record (eMAR)

Exclude:

- Medication administrations in units that are not specified in question #18, #24, or #28
- Medication administrations in units that do not utilize a BCMA system that is linked to the eMAR
- Medication administrations in units that have not been open or staffed for the entire 3-month reporting period
- Administrations of medications that are not “scannable,” i.e., medications that do not have a bar code and could not be scanned if BCMA were in use

Question #20, #25, and #30 (numerator): Total number of medication administrations from question #19, #24, or #29 that had both the patient and the medication scanned during administration with a BCMA system that is linked to the eMAR.

Include: Medication administrations where both the patient and medication were scanned during administration with a BCMA system that is linked to the eMAR

Exclude: Medication administrations where neither patient nor medication were scanned during administration or only the patient or only the medication were scanned with a BCMA system that is linked to the eMAR

See [FAQs](#) for additional information about responding to the questions in this section.

Medication Reconciliation

Important Notes:

Note 1: This section does not apply to pediatric hospitals.

Note 2: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Note 3: A hospital pharmacist or [certified pharmacy technician](#)²¹ plays two important roles in data collection for this measure. First, the pharmacist or certified pharmacy technician is responsible for obtaining the Gold Standard Medication History from each sampled patient. Second, the pharmacist is responsible for identifying the unintentional discrepancies by comparing the Gold Standard Medication History to admission orders and discharge orders.

Source: Brigham and Women's Hospital (NQF #2456)
Reporting Period: The latest 6-month period prior to submission of this section of the Survey.
<p>Materials for Data Collection: To complete the data collection for this subsection and respond to questions #3-8 hospitals should download four important tools:</p> <ul style="list-style-type: none"> • The Medication Reconciliation Workbook (Excel) includes 3 tabs: Instructions, Sampling, and Data Entry. This can be used to identify patients to sample and collect data from, as well as calculate the responses to enter into the Online Hospital Survey Tool from the completed Worksheets. • The Medication Reconciliation Worksheet (Word) can be used by the pharmacist to identify the number of unintentional discrepancies at admission and/or discharge for each sampled patient (question #6). The Medication Reconciliation Worksheet can also be used to track additional medications that were ordered unintentionally at admission and/or discharge (questions #7-8). • The MARQUIS 2 Best Possible Medication History: Quick Tips tri-fold (PDF) includes important information on how the pharmacist or certified pharmacy technician²¹ should obtain the Gold Standard Medication History • Identifying Discrepancies Flow Chart (PDF) includes important information on how the pharmacist should identify discrepancies between the Gold Standard Medication History and admission and discharge orders. <p>All of these tools are available on the Survey and CPOE Materials webpage and should be used when reporting on this measure.</p>

The intent of this measure is to calculate your hospital's rate of unintentional medication discrepancies per medication. Data collection requirements for this measure can be met in two ways:

1. Hospitals that started and continued to sample 15 patients on a quarterly basis using the 2022 Leapfrog Hospital Survey measure specifications, can use those data when reporting on this section of the Survey (i.e., 30 patients over a 6-month reporting period).
2. Hospitals that did not start sampling patients in 2022, can sample in real-time (i.e., sampling occurs after April 1) and start data collection anytime during the Survey Cycle (April 1 – November 30). Sampling can be performed over the entire reporting period, or within a shorter reporting period (i.e., a few weeks).

Follow the steps below to complete data collection for this measure:

Step 1: Identify Patients to Include in the Sample (Survey Coordinator)

- a) To be scored on the rate of unintentional medication discrepancies, hospitals are required to sample at least 30 patients from a 6-month reporting period. Hospitals that sample fewer than 30 patients during the reporting period will be scored and publicly reported as “Some Achievement.”
- b) All hospitals should exclude patients under 18 years old, patients who were discharged or expired before the Gold Standard Medication History could be obtained, and patients that do not have discharge orders written during the reporting period.
- c) Hospitals should sample patients from medical and med-surg units to reduce the number of sampled patients with zero (0) Gold Standard Medications. However, hospitals may expand their sampling to patients in additional units of the hospitals.
- d) Hospitals should sample patients from different days of the week, including patients admitted on the weekend.
- e) On the day of data collection, obtain a list of patients that were admitted the day before to medical and/or med-surg units, in the order that they were admitted.
- f) Follow the instructions in the Medication Reconciliation Workbook to randomly sample admitted patients.
- g) Send the list of sampled patients to the pharmacist, pharmacy resident, or pharmacy technician so they can schedule an in-person, video, or phone interview with each patient to obtain their Gold Standard Medication History.

Step 2: Interview Patients and Obtain the Gold Standard Medication History (Pharmacist or Certified Pharmacy Technician²¹)

- a. A trained pharmacist, pharmacy resident, or [certified pharmacy technician](#)²¹ must interview the patients from Step 1 and obtain the Gold Standard Medication History within 24 hours after admission, typically the morning after admission. Note that this is in addition to, and separate from, any pre-admission medication list that was created as part of normal care.
- b. The pharmacist, pharmacy resident, or [certified pharmacy technician](#)²¹ can customize the following script to explain to the patient the reason for the interview: “Hi, I’m (*pharmacist’s name*), a pharmacist at (*name of hospital*). I know (*care team member who may have collected a pre-admission medication list or PAML*) already asked you about the medications you were taking before you were admitted to the hospital. I’m here to ask you about these medications again. Our hospital has asked me to collect this information again so I can use it to measure how well we are doing in gathering medication histories. What we learn will help us improve our processes of care in the future and make sure we manage patients’ medications safely when they come into and after they leave the hospital.”
- c. The Gold Standard Medication History is the list of medications that the patient was taking prior to admission. Best practices for collecting the Gold Standard Medication History can be found in the “Other Supporting Materials” for Section 2 on the [Survey and CPOE Materials webpage](#).
 - i. Pharmacists, pharmacy residents, and [certified pharmacy technicians](#)²¹ should try to use two sources of information (see [MARQUIS 2 Best Possible Medication History: Quick Tips](#) and [Medication Reconciliation Implementation Toolkit](#) for examples) and explore any discrepancies (e.g., errors related to dose, route, timing, etc.) before finalizing the Gold Standard Medication History. Once the pharmacist, pharmacy resident, or [certified pharmacy technician](#)²¹ has completed the patient interview, they can utilize support from non-certified pharmacy technicians, medical assistants, or nurses to investigate second or third information sources (e.g., EHR list, pharmacy list, primary or specialty provider information, etc.). However, the pharmacist, pharmacy resident, or [certified pharmacy technician](#)²¹ must be the one to obtain and finalize the Gold Standard Medication History.
 - ii. Medications that a patient is completely non-adherent to, meaning the patient has not taken the medication for at least 30 days, should be excluded from the Gold Standard Medication History.
 - iii. If a patient has been taking a medication differently to how it was prescribed, then the Gold Standard Medication History should list the medication as the patient was taking it.
 - iv. Exclude PRN medications unless they are clinically relevant. This includes topical locations and creams, saline nasal spray and artificial tear eye drops, herbals and supplements, and vitamins. Two examples of clinically relevant medications that should not be excluded from

- the gold standard pre-admission medication list would be iron for a patient with iron-deficiency anemia or calcium/vitamin D for a patient with osteoporosis.
- v. Include PRN medications that are clinically relevant. This includes inhalers, nitroglycerin, analgesics (opioid and non-opioid), muscle relaxants, and sedatives, including medications where sedation is a common side effect (e.g., sedation occurs in more than 10% of individuals). This also includes topical medications with systemic actions (e.g., lidocaine, triamcinolone, sulfadiazine, etc.).
 - vi. Clinically relevant is defined as any PRN medication that treats a medical condition on the patient’s problem list and/or condition they are being treated for during the visit (i.e., reason for the hospital stay).

Step 3: Complete a Medication Reconciliation Worksheet for each sampled patient (Pharmacist or Certified Pharmacy Technician²¹)

- a. Once the Gold Standard Medication History is complete, print out the Medication Reconciliation Worksheet for each sampled patient.
- b. Page 1 needs to be printed out for each sampled patient. Page 2 needs to be printed for each Gold Standard Medication the patient was taking.
- c. Complete the “Gold Standard Medication” column highlighted in yellow for each Gold Standard Medication on the list:

Gold Standard Medication	
<u>Name:</u>	
<u>Dose/Route/ Frequency:</u>	
<u>Drug Class:</u>	
<input type="checkbox"/> PRN	
<input type="checkbox"/> OTC	
<u>Pt Adherence:</u>	
<input type="checkbox"/> Completely non-adherent*	<input type="checkbox"/> Systematically non-adherent**
<input type="checkbox"/> Sporadically non-adherent^	<input type="checkbox"/> Adherent
<u>Comments:</u>	
<u>All Sources Used:</u>	
<input type="checkbox"/> Patient	<input type="checkbox"/> Patient’s family/Caregiver
<input type="checkbox"/> Pill Bottles	<input type="checkbox"/> Patient’s Own Med List
<input type="checkbox"/> Outpatient EMR	<input type="checkbox"/> Outpatient Provider(s)
<input type="checkbox"/> Transfer Records	<input type="checkbox"/> Past DC Summary
<input type="checkbox"/> Pharmacy(s)	<input type="checkbox"/> Pharmacy Database
<input type="checkbox"/> Other:	

- d. If possible, wait until after the patient has been discharged to complete the next steps.

Step 4: Compare Gold Standard Medication History to Admission Orders (Pharmacist Only)

- a. After the patient has been discharged, obtain the admission and discharge orders for the patient (instructions for comparing the discharge orders are in Step 5 below). Admission orders include all orders written from the time of admission until 8:00 a.m. the following morning or up until 12 hours after the time of admission, whichever comes first.
- b. Compare the admission orders to each Gold Standard Medication on the Medication Reconciliation Worksheet. Note any differences.
 - i. Review the records for the patient to determine if the differences were intentional or unintentional. Use the [Identifying Discrepancies Flow Charts](#) to help with this step.

- ii. If the discrepancy was unintentional, then check the box next to “Yes” highlighted in orange. Otherwise, check “No.”

Admission Comparison										
<p><u>Note Differences:</u> (select all that apply)</p> <table style="width: 100%; border: none;"> <tr> <td><input type="checkbox"/> Dose</td> <td><input type="checkbox"/> Route</td> </tr> <tr> <td><input type="checkbox"/> Frequency</td> <td><input type="checkbox"/> Substitution</td> </tr> <tr> <td><input type="checkbox"/> Duplication</td> <td><input type="checkbox"/> Formulation</td> </tr> <tr> <td><input type="checkbox"/> Duration</td> <td><input type="checkbox"/> Other:</td> </tr> <tr> <td><input type="checkbox"/> Omission</td> <td></td> </tr> </table> <p><u>Reason:</u> <input type="checkbox"/> Unintentional (History or Reconciliation Error) <input type="checkbox"/> Intentional (Clinical Reason)</p>	<input type="checkbox"/> Dose	<input type="checkbox"/> Route	<input type="checkbox"/> Frequency	<input type="checkbox"/> Substitution	<input type="checkbox"/> Duplication	<input type="checkbox"/> Formulation	<input type="checkbox"/> Duration	<input type="checkbox"/> Other:	<input type="checkbox"/> Omission	
<input type="checkbox"/> Dose	<input type="checkbox"/> Route									
<input type="checkbox"/> Frequency	<input type="checkbox"/> Substitution									
<input type="checkbox"/> Duplication	<input type="checkbox"/> Formulation									
<input type="checkbox"/> Duration	<input type="checkbox"/> Other:									
<input type="checkbox"/> Omission										
<p>Were there any <u>unintentional</u> discrepancies between the gold standard and the admission order?</p> <p style="background-color: #ffcc99; padding: 5px;"><input type="checkbox"/> Yes</p> <p><input type="checkbox"/> No</p> <p><i>If “yes,” count as 1</i></p>										

- c. Review the admission orders for any medications that were not listed in the Gold Standard Medication History. If any of the additional medications were ordered **unintentionally**, list it on the first page of the Medication Reconciliation Worksheet in the blue-highlighted column. You may need to contact the Provider to determine if the medication was ordered unintentionally or not.

Additional Medications that were Ordered Unintentionally:

Additional Medication:	Unintentionally Ordered on:	Comments:
<u>Name:</u> <u>Dose/Route/Frequency:</u>	<input type="checkbox"/> Admission (count as 1) <input type="checkbox"/> Discharge (count as 1) <input type="checkbox"/> Both (count as 2)	
<u>Name:</u> <u>Dose/Route/Frequency:</u>	<input type="checkbox"/> Admission (count as 1) <input type="checkbox"/> Discharge (count as 1) <input type="checkbox"/> Both (count as 2)	
<u>Name:</u> <u>Dose/Route/Frequency:</u>	<input type="checkbox"/> Admission (count as 1) <input type="checkbox"/> Discharge (count as 1) <input type="checkbox"/> Both (count as 2)	

- d. If the pharmacist identifies an unintentional discrepancy in the admission orders prior to discharge and alerts the physician so that the unintentional discrepancy can be corrected prior to discharge, this should be recorded on the Medication Reconciliation Worksheet as an unintentional discrepancy in the Admission Comparison and Discharge Comparison columns of the worksheet.

Step 5: Compare Gold Standard Medication History to Discharge Orders (Pharmacist Only)

- a. Obtain the discharge orders for the patient.
- b. Perform the comparison between the Gold Standard Medications and the discharge orders using the same steps as the admission comparison. Remember to use the [Identifying Discrepancies Flow Charts](#) to help with this step.
- c. Review the discharge orders for any medications that were not listed in the Gold Standard Medication History. If any of the additional medications were ordered **unintentionally**, list them on the first page of the Medication Reconciliation Worksheet in the blue-highlighted column.

- i. If an unintentionally ordered additional medication was only ordered on admission, then check the “Admission” box in the pink-highlighted column.
- ii. If an unintentionally ordered additional medication was only ordered on discharge, then check the “Discharge” box in the pink -highlighted column.
- iii. If the unintentionally ordered additional medication was ordered on both admission and discharge, then check the “Both” box in the pink-highlighted column.

Step 6: Sum the number of medications and discrepancies (Survey Coordinator)

- a. The top of the first page of the Medication Reconciliation Worksheet contains four spaces for you to list the data for the patient.

Total # Unintentional Additional Medications: _____

(Enter into column F in the Med Rec Excel Workbook)

Total # of admission and discharge discrepancies due to Unintentional Additional Meds: _____

(Number of medications that were ordered unintentionally at admission (count as 1), discharge (count as 1), or both admission and discharge (count as 2). Enter into column H in the Med Rec Excel Workbook.

Total Number of Gold Standard Meds: _____

(Enter into column B in the Med Rec Excel Workbook)

Total # of admission and discharge discrepancies in Gold Standard Meds: _____

(For each Gold Standard Med, count the number of ‘yes’ responses to the error question. Minimum number of discrepancies per med is zero. Maximum number of discrepancies per med is 2. Enter into column D in the Med Rec Excel Workbook)

- i. Find the number of unintentionally ordered additional medications from the blue highlighted column on the same page. Enter in the first space, also highlighted in blue.
- ii. Find the number of **discrepancies** due to unintentionally ordered additional medications from the pink highlighted column on the same page.
 1. If a medication was only ordered on admission or only ordered on discharge, then this counts as one discrepancy.
 2. If a medication was ordered on **both** admission and discharge, then this counts as two discrepancies.
 3. Sum the number of discrepancies across all unintentionally ordered additional medications and enter this number in the pink-highlighted space.
- iii. Find the number of Gold Standard Medications and enter this number in the yellow-highlighted space.
- iv. Find the number of discrepancies in the admission and discharge orders for the Gold Standard Medications
 1. Review the Medication Reconciliation Worksheet for each Gold Standard Medication.
 2. Sum the number of times the orange highlighted “Yes” box is checked, indicating an unintentional discrepancy in admission or discharge orders.
 3. Enter this sum into the orange-highlighted space on the first page of the Medication Reconciliation Worksheet.

Step 7: Contact providers if necessary (Pharmacist Only)

If you found any serious discrepancies that could cause the patient harm, you will need to contact the providers. The provider may need to reach out to the patient, PCP, or pharmacies to have the issue corrected.

Step 8: Enter data into Excel Workbook and Online Hospital Survey Tool (Survey Coordinator)

- a. Collect all the Medication Reconciliation Worksheets from the pharmacists. Open the Data Entry Tab of the Medication Reconciliation Excel Workbook.
 - i. Enter the numbers from the top of each Medication Reconciliation Worksheet into the corresponding columns of the Excel Workbook, for the sampled patient, one patient per row.

- ii. As you enter the data, Row 6 (in red) will automatically sum the values entered for each patient. Once you have entered the data for all sampled patients, you will have the final values to enter into the Online Hospital Survey Tool.
- iii. On the right, your hospital's rate of unintentional medication discrepancies per medication will automatically be calculated based on the data entered.

Step 9: Use your hospital's results in quality improvement (Survey Coordinator and Pharmacist)

The developer of this measure has two toolkits available for hospitals that wish to implement a medication reconciliation program:

- [Medication Reconciliation Implementation Toolkit](#) (free)
- [The MARQUIS Collaborative](#) (fee to participate)

See [FAQs](#) for additional information about responding to the questions in this section.

Medication Safety Frequently Asked Questions (FAQs)

Computerized Physician Order Entry (CPOE)

Information specific to the CPOE Evaluation Tool, including instructions and FAQs, is available on the [Survey and CPOE Materials webpage](#) and the [Prepare for CPOE Tool webpage](#).

1. **What 3-month reporting period should be used when reporting on this section?**
When responding to the questions in Section 2A, hospitals should use the most recent three months prior to submitting the Survey for which they have complete data. For example, if submitting Section 2A in the month of June, the most recent 3-month reporting period for which you have complete data may be February, March, and April or March, April, and May. Hospitals cannot submit data collected for less than three full months.
2. **My hospital transitioned to a new CPOE system, and we have not been on the new system for a full 3 months. How should we respond to the questions in Section 2A-2B?**
When responding to questions #3 and #4 in Section 2A, hospitals can combine inpatient medication data from their old CPOE system with their new CPOE system to have three full months of data. However, hospitals should respond to the questions in Section 2B based on the new CPOE system and take the CPOE Evaluation Tool using the new CPOE system. When taking the CPOE Evaluation Tool, hospitals should select a licensed prescriber that is fully trained on the new system. All hospitals are urged to take a Sample Test before starting the Adult Inpatient Test.
3. **Should we include medications ordered verbally or via paper during system downtime when responding to question #3 (denominator)?**
Yes. Count medication orders entered during system downtime when responding to question #3. Exclude these orders when responding to question #4.
4. **We can't exclude medication orders that originated from an operating room or procedural area from the CPOE report that we are using to respond to questions #3 (denominator) and #4 (numerator). Can we include these medication orders?**
Yes, if you are unable to exclude medication orders for inpatients that were ordered from an operating room or procedural area, you can count these orders when responding to questions #3 and #4.
5. **What are examples of per protocol orders?**
Examples of per protocol orders include vancomycin dosing per protocol and heparin drip per protocol. Per protocol orders refer to orders that the medical staff has approved the use of, based on evidence-based guidance, in advance of its use. Per protocol orders are often identified by the Pharmacy and Therapeutics (P&T) committee and then recommended to the Medical Executive Committee (MEC) for approval. Once approved, per protocol orders are initiated by a [licensed prescriber](#), but then acted on by pharmacy, nursing, radiology, respiratory therapy, etc. Count per protocol orders when responding to questions #3 (denominator) and #4 (numerator).
6. **What are examples of standing orders?**
Examples of standing orders include influenza vaccine, pneumonia vaccine, hepatitis B vaccine, or erythromycin eye ointment. Standing orders are usually rare, limited use situations. Count standing orders when responding to questions #3 (denominator) and #4 (numerator).
7. **My hospital requires readback for all phone orders, but there is no way to document that the readback occurred. How can we include these orders when responding to question #4 (numerator)?**
If your hospital cannot document that verbal readback on phone orders occurred, you should develop a written policy that outlines training and implementation of this practice and perform a

random audit at least annually to ensure the policy is being followed. Maintain documentation of the audit that demonstrates compliance with the policy as Leapfrog performs monthly documentation requests starting with June 30 submissions and continuing until the Survey closes on January 31.

8. When should we take the CPOE Evaluation Tool?

The CPOE Evaluation Tool is a core element of Leapfrog's CPOE Standard. Hospitals are urged to ensure that the Adult Inpatient CPOE Test is submitted along with the Survey (i.e., in the same month) in order to meet the [deadlines](#) for the Leapfrog Hospital Survey and Leapfrog's other programs such as Top Hospital and the Leapfrog Hospital Safety Grade.

Hospitals that submit the Survey, but do not submit an Adult Inpatient Test via the CPOE Evaluation Tool, are scored and publicly reported as "Limited Achievement" on the CPOE measure.

Within a Survey Cycle (April 1 – November 30), a hospital cannot retake a CPOE Evaluation Tool until at least 120 days have passed since their last test.

9. Should hospitals take the CPOE Test more than once per Survey Cycle?

Not necessarily. Hospitals have the option of re-taking a test after 120 days, but if there were no changes made to your CPOE system after the first test there is likely no reason to retake the test. Hospitals that switch vendors, perform major upgrades, or make significant changes to their decision support settings and/or formulary (e.g., expanding from a limited formulary to a large formulary) should re-take the test to ensure the results reflect their current system.

10. If we update our responses to questions #3 and #4, do we need to re-take the CPOE Test?

No. Hospitals don't need to retake the CPOE Evaluation Tool after submitting updates to Section 2 via the Online Survey Tool. Retaking a test is only recommended for hospitals that switched vendors, performed major upgrades, or made significant changes to their decision support settings and/or formulary.

Bar Code Medication Administration (BCMA)

General Questions

11. Why does the Bar Code Medication Administration system have to be connected to an electronic medication administration record (eMAR)?

An eMAR serves as the communication interface that automatically documents the administration of medication into certified Electronic Health Record (EHR) technology. By linking BCMA with the eMAR, information on medication administration is captured in a much timelier manner than a manual documentation process can accomplish.

Units

12. Should a unit that has only been open for part of the 3-month reporting period be included?

No. Only include those units that have been opened and staffed for the entire 3-month reporting period. For example, if you open a new unit that has only been open and staffed for 1-month out of the 3-month reporting period, you will not include that unit when responding to the questions in this section.

13. How should a hospital report if they had BCMA implemented in some units but not all during the reporting period?

To answer "yes" to question #2, your hospital must have a Bar Code Medication Administration (BCMA) system that is linked to the electronic medication administration record (eMAR) when administering medications at the bedside in *at least one* inpatient unit. You should only report on

inpatient units that have been in operation for the entire 3-month reporting period selected in question #1. If you have 3 surgical units and are only using BCMA in 1 of the 3 units, you will respond 3 in question #7 and 1 in question #8. You should only include inpatient medication administrations that were ordered and scannable in the open and staffed units in which a BCMA system was implemented (as indicated in questions #5, #8, and #11) when reporting on compliance in questions #12-13.

Compliance

14. What is considered a “scannable” medication?

Any medication ([see measure specifications](#)) that has a bar code and could be scanned if BCMA were in use would be considered “scannable.” In question #12, #19, #24, and #29, hospitals should only include ordered and “scannable” medications from the units where they indicated a BCMA system was implemented.

15. Should we exclude medications that were administered in units that are not currently implementing BCMA?

Yes. Questions #12, #19, #24, and #29 are asking about inpatient medication administrations ordered and scannable in those units that are open and staffed and that have implemented a BCMA system. You should include all scannable medications ordered and administered to inpatients in the open and staffed units (from questions #5, #8, #11, #18, #23, and #28) in which a BCMA system was implemented. Questions #12, #19, #24, and #29 are used as the denominator to calculate the rate of compliance and should include administrations whether the medication and/or patient was scanned during the administration. Questions #13, #20, #25, and #30 are used as the numerator and are where you would report the number of inpatient medication administrations from these units where the patient and medication were scanned during the administration. We would not expect these two responses to be the same.

16. Should we be excluding medications that are given during emergencies when responding to questions #12-13, #19-20, #24-25, and #29-30?

No. If the medications are considered “scannable” e.g., any medication that has a bar code and could be scanned if BCMA were in use, they should be included in questions #12, #19, #24, and #29 (the denominator) but would not be counted in questions #13, #20, #25, and #30 (the numerator) if the patient and medication were not scanned during administration, even if due to an emergency.

Leapfrog's target rate for compliance is 95% to allow for emergency cases such as these. Please note that questions #16-30 are not used in scoring or public reporting.

17. Should we exclude medications that are given during a system downtime when responding to questions #12-13, #19-20, #24-25, and #29-30?

No. Medications administered during a system downtime should be included in questions #12, #19, #24, and #29 (the denominator) but would not be counted in questions #13, #20, #25, and #30 (the numerator) if the patient and medication were not scanned during administration because your BCMA system was down.

18. Our vendor report provides medication and patient scanning rates separately. How can we report on our compliance if our reports do not provide the number of administrations that had both the medication and patient scanned?

If your vendor's report only provides the percentage of patients scanned and the percentage of medications scanned separately but does not provide the rate of administrations where both the patient and medication were scanned, please report the lower of the two rates (supply the denominator in questions #12, #19, #24, and #29 and numerator in questions #13, #20, #25, and #30), as that would be the maximum possible rate of having both scanned.

Moving forward, we do ask that your hospital work with your vendor to report out the rate of administrations where both the patient and medication are scanned. It is only when both are scanned that safe medication administration can be ensured.

19. Is manual scanning (e.g., in lieu of scanning the patient’s wristband, typing in the patient’s number) something we can count in our BCMA scans?

No. The problem is that the user may type in the wrong patient number, negating the safety benefits. The best practice is to scan the wristband that is on the wrist of the patient.

20. Our process is to scan the patient once and then scan each medication. Questions #13, #20, #25, and #30 seem to want each medication and patient scanned with each medication. Can you clarify?

Hospitals should report on the number of administrations where both the patient and the medication were scanned during the administration. During administrations where multiple medications are administered sequentially, the patient should be scanned first, but does not need to be rescanned before each medication is administered.

21. In our hospital some medications are ordered and scheduled, but not administered. Should medications that are ordered and scheduled, but not administered be included when responding to questions #12-13, #19-20, #24-25, and #29-30?

No, medications that are not administered should not be included in questions #12-13, 19-20, #24-25, and #29-30.

Decision Support**22. If an alert is part of the eMAR, but not the Bar Code Medication Administration system, should we respond “yes” to the decision support elements in question #14a - e?**

If the provider and pharmacist are notified or alerted (e.g., second nurse check), but the nurse or provider administering the medication does not receive an alert at the point of administration, then your hospital should answer “no” to these questions about decision support.

23. My hospital’s EHR workflow for medication administration is designed in such a way that our system will never generate a “wrong patient” alert. How should we answer the question in the Survey about whether we have that type of decision support?

If your hospital’s EHR workflow is designed so that the nurse scans the patient first, and then the medications, such that the nurse would never receive a “wrong patient” alert, for purposes of the Survey, your hospital should indicate that it has ‘wrong patient’ decision support. The goal of including a “wrong patient” alert is to acknowledge that as a safe practice and to drive organizations to validate the “right patient” in the medication administration process. The workflow described helps ensure that a ‘wrong patient’ is not encountered.

Workarounds**24. Must a hospital establish a separate committee to meet solely to review data reports on BCMA system use?**

While establishing a committee that has the sole purpose of reviewing data reports on BCMA system use is encouraged, it is not required to meet Leapfrog’s standard. At a minimum, a pre-existing standing committee that meets on a regular basis could be given the responsibility of reviewing these reports. The committee chosen to review the reports must include individuals whose roles reflect each part of the BCMA process (e.g., pharmacists, nurses, IT personnel, etc.).

25. What are some examples of “back-up systems” for hardware failures?

Examples of “back-up systems” include extra BCMA scanners, portable computers, batteries, and mice that are easily accessible to nurses experiencing equipment malfunctions. Quickly replacing malfunctioning equipment is essential to prevent workarounds.

26. What are some examples of “engaging nursing leadership at the unit level on BCMA use?”

Engaging nursing leadership on BCMA use should be an active, ongoing process. An engaged leader would actively use BCMA data to coach staff towards safe or desired behaviors. Examples of activities in which nursing leadership could be engaged include, but are not limited to:

- Education sessions in units
- Review of policies regarding use and non-use of BCMA
- Investigating problems with BCMA specific to the unit
- Providing a forum for users to report BCMA problems and reasons for workarounds
- Providing suggestions for improvements to both technology and process

27. The Leapfrog Survey asks whether our hospital conducts real-time observations of users at the unit level using the BCMA system. How often should hospitals conduct these real-time observations and what do ‘best practices’ look like?

At a minimum, the observations should be conducted on each unit at least biannually (2x/year) and should be 30 minutes or 30 medication administrations in length, whichever is shorter. If observations are done by a central team, they should ensure each type of unit is observed within that 6-month period. More frequent observations are appropriate for hospitals that have recently introduced BCMA and/or when safety reports indicate a problem, where observations can be helpful in understanding the root cause of an incident or near miss.

The observations should be direct nurse-BCMA observations, watching how the nurse uses the BCMA system as part of the bedside medication administration process. The observer should note if nurses are using any workarounds to compensate for system issues. In addition to observing the nurse-BCMA interaction, the observer should also have conversations with the nurses, as their comments are often a good source for understanding the causes of workarounds. The observations collected from the different units should be aggregated together to understand trends across the hospital and where the hospital should place a priority for addressing BCMA system issues.

28. What are some appropriate mechanisms for communicating back to end users for question #15h?

Appropriate mechanisms for communicating back to end users would include staff meetings, safety briefings or unit huddles, or sharing in a Nursing Informatics eNewsletter, through a Medication Safety Team, or Quality Committee newsletter/flyer.

Additional Questions

29. How should hospitals report on the additional fact-finding questions if they have a combined pre-operative and post anesthesia care unit (PACU)?

Hospitals that have a combined pre-operative unit and PACU should report on the combined unit in questions #16-20 and answer “no” to question #21.

Medication Reconciliation

Sampling

30. Are we required to use Leapfrog's random sampling methodology?

No. You may use a different sampling methodology from the methodology provided in the Medication Reconciliation Workbook. However, you should be sure to sample from different days of the week, including weekends.

31. If the first few patients included in the sample have zero gold standard medications, should we sample more than 30 patients?

Yes, hospitals can sample more than 30 patients to ensure their rate of unintentional discrepancies per medication is scored and publicly reported. While 30 is the minimum sample size, hospitals are welcome to sample more than this.

Gold Standard Medication History

32. A pharmacist, pharmacy resident, or certified pharmacy technician creates the pre-admission Medical List as part of normal care. Can this be used as the Gold Standard Medication List?

No, a different trained pharmacist, pharmacy resident, or [certified pharmacy technician](#)²¹ should collect the Gold Standard Medication List when collecting data for this measure.

33. Does the same pharmacist, pharmacy resident, or certified pharmacy technician who obtains the Gold Standard Medication List also need to perform the review to identify unintentional medication discrepancies?

No, different pharmacists or pharmacy residents can play different roles in the data collection process. Only pharmacists and pharmacy residents are able to compare the Gold Standard Medication List to admission and discharge orders to identify unintentional medication discrepancies.

34. We have two differing records of what dose the patient was taking prior to admission, one from the patient and one from the outpatient pharmacy. What do we record as the correct dose for the Gold Standard Medication?

In cases where the medication history obtained from interviewing the patient does not match other written records, the pharmacist will need to go back to the patient with the pharmacy records in hand and figure out the source of the discrepancy (e.g., the patient systematically takes it differently than prescribed vs. the patient was just mistaken about the strength of the pill, etc.). If the discrepancy can't be resolved, then another source will be needed (e.g., caregiver, PCP).

Admission and Discharge Orders

35. Are there any types of admission orders that can or should be excluded?

Yes, (a) Medication orders that are clearly related to the chief complaint (e.g., levofloxacin for pneumonia when pneumonia is the admitting diagnosis), (b) Medication orders that are clearly documented (e.g., Lovenox for DVT prophylaxis), and (c) Standard PRN orders at your hospital (e.g., Tylenol PM if that is in the standard order set at your hospital).

36. Should admission orders that are discontinued prior to discharge be included?

Yes. Some of these orders may end up being counted in question #7 (additional medications that were unintentionally ordered).

Identifying Discrepancies

37. If a dose and a route discrepancy are found for the same medication, does it count as one or two in the number of unintentional discrepancies?

The number of unintentional discrepancies is a count of medication orders where an unintentional discrepancy occurred. A medication order may have several errors associated with it (e.g., dose, route, timing, etc.). You should not count the number of errors associated with the same medication order. However, discrepancies in admission orders and discharge orders are counted separately. For example, if a medication on the Gold Standard Medication List is ordered for a patient on admission with the incorrect dose, this counts as one discrepancy. If this medication is ordered on discharge with the same incorrect dose, this would count as a second discrepancy. But a medication with a dose and frequency discrepancy in admission orders counts as one discrepancy.

38. Do all the additional medications that were ordered unintentionally in question #7 count as unintentional discrepancies in #8?

Yes. If a medication is unintentionally ordered at admission, then this counts as one discrepancy. If the same medication is unintentionally ordered at discharge, then this counts as a second discrepancy. If an unintentionally ordered medication in question #7 was ordered on both

admission and discharge, then this would count as **two** discrepancies in question #8 (but counts as one medication in question #7).

39. If there is no documentation of systematic or sporadic nonadherence, why does the pharmacist have to count unintentional discrepancies on admission and discharge?

Systematically non-adherent means the patient consistently takes the medication differently than prescribed. Lack of appropriate documentation of systematic non-adherence can lead to an adverse drug event. For example, if a patient is prescribed hydralazine 25mg three times a day, but always takes 25mg two times a day this must be documented in the clinical record so the care team can decide how to order the medication on admission and discharge (i.e., recorded on the Med Rec Worksheet as an intentional discrepancy). If the systematic non-adherence is not documented and the care team is unaware of how the patient has been taking the medication, they could order and administer it as prescribed and cause, in this example, hypotension in the patient.

Sporadically non-adherent means that the patient takes the medication as prescribed but, on average, misses two or more doses per week. Lack of appropriate documentation of sporadic non-adherence can lead to an adverse drug event. For example, if a patient is prescribed four antihypertensives, but misses two or more doses per week, this must be documented in the clinical record so the care team can decide how to order the medication on admission and discharge (i.e., recorded on the Med Rec Worksheet as an intentional discrepancy). If the sporadic non-adherence is not documented and the care team is unaware of how the patient has been taking the medication, they could order and administer it as prescribed and cause, in this example, hypotension in the patient.

40. A patient had two medications that were not on our hospital’s formulary. They were not substituted. Do these two medications count as errors of omission?

Yes. If a Gold Standard Medication was not ordered or appropriately substituted on admission and discharge, it would count as two unintentional medication discrepancies for each medication that was omitted.

Scoring

41. What is an example of a data entry or reporting error that would lead to being scored as “Some Achievement?”

Data reported in Section 2D will be reviewed for potential data reporting errors that suggest the data was collected incorrectly or a data entry error was made. For example, hospitals that reported an extremely large sample or reported zero medications from the Gold Standard Medication History, are scenarios that will be flagged in the Extensive Monthly Data Verification and scored as “Some Achievement” until the data is corrected.

42. What questions are used to calculate the numerator and denominator that results in the rate of unintentional medication discrepancies per medication?

The rate of unintentional medication discrepancies per medication is calculated using the following formula:

$$\frac{(\text{Question \#6} + \text{Question \#8})}{(\text{Question \#5} + \text{Question \#7})} = \text{Rate of unintentional medication discrepancies per medication}$$

This rate is calculated for you in the Med Rec Workbook provided on the [Survey Materials webpage](#).

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SECTION 3: ADULT AND PEDIATRIC COMPLEX SURGERY

This section includes questions and reference information for Section 3: Adult and Pediatric Complex Surgery. Please carefully review the questions, endnotes, and reference information (e.g., measure specifications, notes, and frequently asked questions) before you begin. Failure to review the reference information could result in inaccurate responses.

Section 3: 2023 Adult and Pediatric Complex Surgery**Adult and Pediatric Complex Surgery Fact Sheet and Bibliography:**

<https://ratings.leapfroggroup.org/measure/hospital/2023/complex-adult-and-pediatric-surgery>

Section 3 is applicable to all hospitals. Hospitals that do not perform the high-risk procedures included in Section 3A should select “None of the above” in question #2 and move on to the Affirmation of Accuracy. Your hospital’s results will be displayed as “Does Not Apply” on Leapfrog’s public reporting website. Pediatric hospitals should only report on the Norwood procedure, if applicable.

Section 3 includes questions about your hospital volume and process for privileging surgeons for eleven high-risk procedures, outcomes for mitral valve repair and replacement, participation in The Society of Thoracic Surgeon’s Congenital Heart Surgery Database for hospitals that perform the Norwood procedure. This section also includes questions about surgical appropriateness and the implementation of a safe surgery checklist.

Each hospital achieving the standard for each of the nine applicable high-risk procedures:

1. Meets the minimum hospital volume standard for the procedure, **and**
2. Has a process for privileging surgeons that includes the surgeon meeting or exceeding the minimum annual surgeon volume standard for the procedure.

Each hospital achieving the standard for mitral valve repair and replacement procedures:

1. Meets the minimum hospital volume standard for the procedure, **and**
2. Has a process for privileging surgeons that includes meeting or exceeding the minimum annual surgeon volume standard for the procedure, **and**
3. Participates in the Society of Thoracic Surgeons (STS) Adult Cardiac Surgery Database (ACSD), **and**
4. Has an STS Mitral Valve Repair/Replacement Composite Score of 3 Stars.

Each hospital achieving the standard for Norwood procedures:

1. Meets the minimum hospital volume standard for the procedure, **and**
2. Has a process for privileging surgeons that includes meeting or exceeding the minimum annual surgeon volume standard for the procedure, **and**
3. Participates in the Society of Thoracic Surgeons (STS) Congenital Heart Surgery Database (CHSD).

Each hospital achieving the standard for the safe surgery checklist:

1. Uses a safe surgery checklist on **all** patients undergoing an applicable procedure (reported on in Section 3A) that includes **all** safe surgery checklist elements, **and**
2. Verbalizes all elements of the checklist in the presence of the appropriate personnel, **and**
3. Completes an audit on a sufficient sample of patients to document adherence to the checklist, **and**
4. Has documented adherence to the checklist for at least 90% of the patients included in the audit.

Download the 2023 Leapfrog Hospital Survey Scoring Algorithm on the [Scoring and Results webpage](#).

3A: Hospital and Surgeon Volume

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: This subsection is applicable to all hospitals. Hospitals that do not perform the procedures included in this subsection should select “None of the above” in question #2 and move on to the Affirmation of Accuracy. Your hospital’s results will be displayed as “Does Not Apply” on Leapfrog’s public reporting website.

Note 2: Pediatric hospitals should only respond to questions regarding the Norwood procedure, if applicable. If the Norwood procedure is not applicable, select “None of the above” in question #2 and move on to the Affirmation of Accuracy. Your hospital’s results will be displayed as “Does Not Apply” on Leapfrog’s public reporting website.

Specifications: See [Hospital and Surgeon Volume Measure Specifications](#) in the Reference Information beginning on page 96.

Reporting Period: 12 months or *optionally* 24 months (annual average)

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022 (12-month count) or 01/01/2021 – 12/31/2022 (24-month annual average)
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023 (12-month count) or 07/01/2021 – 06/30/2023 (24-month annual average)

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) 12-month or 24-month reporting period used:	<p style="text-align: center;">01/01/2022 – 12/31/2022 (12-month count) 01/01/2021 – 12/31/2022 (24-month annual average) 07/01/2022 – 06/30/2023 (12-month count) 07/01/2021 – 06/30/2023 (24-month annual average)</p>
<p>2) Check all procedures that your hospital performs as defined in the Adult and Pediatric Complex Surgery Reference Information.</p> <p><i>Select all that apply.</i></p> <p><i>Do not check the box for a procedure if your hospital ONLY performs the procedure on an emergency basis or when a patient is too unstable for safe transfer.</i></p> <p><i>If “none of the above,” skip the remaining questions in Section 3 and go to the Affirmation of Accuracy. The hospital will be scored as “Does Not Apply.”</i></p>	<ul style="list-style-type: none"> <input type="checkbox"/> Carotid endarterectomy <input type="checkbox"/> Mitral valve repair and replacement <input type="checkbox"/> Open aortic procedures <input type="checkbox"/> Lung resection for cancer <input type="checkbox"/> Esophageal resection for cancer <input type="checkbox"/> Pancreatic resection for cancer <input type="checkbox"/> Rectal cancer surgery <input type="checkbox"/> Bariatric surgery for weight loss <input type="checkbox"/> Total knee replacement <input type="checkbox"/> Total hip replacement <input type="checkbox"/> Norwood procedure <input type="checkbox"/> None of the above

Respond to questions #3-11 based on the procedures selected in question #2.

3) Total **hospital** volume for each selected procedure during the reporting period:

Volume should represent a 12-month count or 24-month annual average consistent with the reporting period selected in question #1.

<i>Procedure</i>	<i>Hospital Volume Standard</i>	<i>Total Hospital Volume (12-month count or 24-month annual average)</i>
Carotid endarterectomy	20	
Mitral valve repair and replacement	40	
Open aortic procedures	10	
Lung resection for cancer	40	
Esophageal resection for cancer	20	
Pancreatic resection for cancer	20	
Rectal cancer surgery	16	
Bariatric surgery for weight loss	50	
Total knee replacement	50	
Total hip replacement	50	
Norwood procedure	8	

4) Does the total hospital volume for total knee replacement, total hip replacement, and/or bariatric surgery for weight loss reported on in question #3 above include procedures performed on an inpatient basis, outpatient basis, or both?

Total knee replacement	<i>Inpatient Outpatient Both (inpatient and outpatient)</i>
Total hip replacement	<i>Inpatient Outpatient Both (inpatient and outpatient)</i>
Bariatric surgery for weight loss	<i>Inpatient Outpatient Both (inpatient and outpatient)</i>

5) Does your hospital's privileging process include the surgeon meeting or exceeding the minimum annual surgeon volume standard listed below?

<i>Procedure</i>	<i>Annual Surgeon Volume Standard</i>	
Carotid endarterectomy	10	Yes No
Mitral valve repair and replacement	20	Yes No
Open aortic procedures	7	Yes No
Lung resection for cancer	15	Yes No
Esophageal resection for cancer	7	Yes No
Pancreatic resection for cancer	10	Yes No
Rectal cancer surgery	6	Yes No

Bariatric surgery for weight loss	20	Yes No
Total knee replacement	25	Yes No
Total hip replacement	25	Yes No
Norwood procedure	5	Yes No

If your hospital performs mitral valve repair and replacement (selected the checkbox in question #2), continue to question #6. Otherwise, skip questions #6-9 and continue to question #10.

Reporting Period: Base your responses on the latest **36-month** report received from the [Society of Thoracic Surgeons \(STS\)](#) Adult Cardiac Surgery Database (ACSD) for the **Mitral Valve Repair/Replacement Composite Score**.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

6) Does your hospital participate in the Society of Thoracic Surgeons (STS) Adult Cardiac Surgery Database (ACSD) and did your hospital submit data for all applicable procedures during the most recent 36-month period for which performance reports are available? <i>If “no” to question #6, skip questions #7-9 and continue to question #10.</i>	Yes No
7) What is the most recent 36-month reporting period for which STS performance reports are available? Reporting period ending:	_____ Format: Month/Year
8) Does your hospital choose to report data from the most recent performance report to this Survey? <i>If “no” or “yes, but did not meet the STS Data Completeness Requirement²⁷ during the reporting period,” skip question #9 and continue to question #10.</i>	Yes No <i>Yes, but did not meet the STS Data Completeness Requirement during the reporting period</i>
9) What is your hospital’s Mitral Valve Repair/Replacement Composite Score?	<i>1 star – lower-than-expected performance 2 stars – as-expected performance 3 stars – higher-than-expected performance</i>

If your hospital performs the Norwood procedure (selected the checkbox in question #2), continue to question #10. Otherwise, skip questions #10-11 and continue to the next subsection.

<p>10) Does your hospital participate in the Society of Thoracic Surgeons (STS) Congenital Heart Surgery Database (CHSD) and did your hospital submit data for the Norwood procedure during the most recent 48-month period for which performance reports are available?</p> <p><i>If “no” to question #10, skip question #11 and continue to the next subsection.</i></p>	<p>Yes No</p>
<p>11) What is the most recent 48-month reporting period for which STS performance reports are available? Reporting period ending:</p>	<p>_____</p> <p><i>Format: Month/Year</i></p>

3B: Surgical Appropriateness

This section is not applicable to pediatric hospitals. Pediatric hospitals should select “None of the above” in question #1 and #6 if they reported on Norwood procedures in Section 3A.

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Reporting Period: 12 months

Answer questions #1-6 for the latest 12-month period prior to the submission of this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

<p>1) Does your hospital have appropriateness criteria²⁸ for <u>any</u> of the following procedures:</p> <p><i>Select all that apply.</i></p> <p><i>If your hospital does not perform any of the procedures listed in this question (did not select them in Section 3A question #2), select “none of the above.”</i></p> <p><i>If “none of the above,” skip questions #1b-5 and continue to question #6.</i></p>	<p><input type="checkbox"/> Carotid endarterectomy</p> <p><input type="checkbox"/> Mitral valve repair and replacement</p> <p><input type="checkbox"/> Bariatric surgery for weight loss</p> <p><input type="checkbox"/> Total knee replacement</p> <p><input type="checkbox"/> Total hip replacement</p> <p><input type="checkbox"/> None of the above</p>
<p>1b) Did your hospital do <u>any</u> of the following in developing the appropriateness criteria:</p> <p><i>Select all that apply.</i></p>	<p><input type="checkbox"/> Use the latest evidence and clinical guidelines</p> <p><input type="checkbox"/> Solicit input from employed surgeons, and if applicable, non-employed surgeons</p> <p><input type="checkbox"/> Incorporate relevant Choosing Wisely lists</p> <p><input type="checkbox"/> Review, and if appropriate, update the criteria on an annual basis</p> <p><input type="checkbox"/> None of the above</p>
<p>2) Does your hospital have processes or structures in place to promote ongoing adherence to the appropriateness criteria for <u>any</u> of the following procedures:</p> <p><i>Select all that apply.</i></p>	<p><input type="checkbox"/> Carotid endarterectomy</p> <p><input type="checkbox"/> Mitral valve repair and replacement</p> <p><input type="checkbox"/> Bariatric surgery for weight loss</p> <p><input type="checkbox"/> Total knee replacement</p> <p><input type="checkbox"/> Total hip replacement</p> <p><input type="checkbox"/> None of the above</p>
<p>3) Does your hospital conduct regular retrospective reviews of surgical cases to evaluate the extent to which your appropriateness criteria are met or not met by each surgeon for <u>any</u> of the following procedures:</p> <p><i>Select all that apply.</i></p>	<p><input type="checkbox"/> Carotid endarterectomy</p> <p><input type="checkbox"/> Mitral valve repair and replacement</p> <p><input type="checkbox"/> Bariatric surgery for weight loss</p> <p><input type="checkbox"/> Total knee replacement</p> <p><input type="checkbox"/> Total hip replacement</p> <p><input type="checkbox"/> None of the above</p>

<p>4) Does your hospital have a process in place for communicating with surgeons, surgical leadership, and administrative leadership when a surgeon’s trend or pattern suggests challenges adhering to your appropriateness criteria and work to understand potential barriers to meeting the criteria for <u>any</u> of the following procedures:</p> <p><i>Select all that apply.</i></p>	<ul style="list-style-type: none"> <input type="checkbox"/> Carotid endarterectomy <input type="checkbox"/> Mitral valve repair and replacement <input type="checkbox"/> Bariatric surgery for weight loss <input type="checkbox"/> Total knee replacement <input type="checkbox"/> Total hip replacement <input type="checkbox"/> None of the above
<p>5) Does your hospital report annually to its Board the findings from the retrospective reviews and plans to improve adherence to the appropriateness criteria for <u>any</u> of the following procedures:</p> <p><i>Select all that apply.</i></p>	<ul style="list-style-type: none"> <input type="checkbox"/> Carotid endarterectomy <input type="checkbox"/> Mitral valve repair and replacement <input type="checkbox"/> Bariatric surgery for weight loss <input type="checkbox"/> Total knee replacement <input type="checkbox"/> Total hip replacement <input type="checkbox"/> None of the above
<p>6) Does your hospital have national accreditation from the American College of Surgeons (applies to rectal cancer surgery only)</p> <p>OR</p> <p>Does your hospital have a multidisciplinary tumor board that prospectively reviews cancer cases to ensure surgical appropriateness for <u>any</u> of the following procedures:</p> <p><i>Select all that apply.</i></p> <p><i>If your hospital does not perform any of the procedures listed in this question (did not select them in Section 3A question #2), select “none of the above.”</i></p>	<ul style="list-style-type: none"> <input type="checkbox"/> Lung resection for cancer <input type="checkbox"/> Esophageal resection for cancer <input type="checkbox"/> Pancreatic resection for cancer <input type="checkbox"/> Rectal cancer surgery <input type="checkbox"/> None of the above

3C: Safe Surgery Checklist for Adult and Pediatric Complex Surgery

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: The elements required for each stage of the safe surgery checklist are adapted from the [WHO Surgical Safety Checklist](#) and the [AHRQ Endoscopy Checklist](#).

Note 2: Hyperlinks throughout this subsection refer to the [Safe Surgery Checklist for Adult and Pediatric Complex Surgery FAQs](#) beginning on page 139, not to endnotes. These hyperlinks are not included in the Online Survey Tool.

Specifications: See [Safe Surgery Checklist for Adult and Pediatric Complex Surgery Measure Specifications](#) in the Reference Information beginning on page 134.

Reporting Period: 6 months

Answer questions #1-8 for the latest 6-month period prior to submission of this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Sufficient Sample: See [Safe Surgery Checklist for Adult and Pediatric Complex Surgery Measure Specifications](#) for instructions on identifying a sufficient sample for question #6.

1) What is the latest 6-month reporting period for which your hospital is submitting responses to questions #2-8? 6-month reporting period ending:	<u> </u> <i>Format: Month/Year</i>
2) Does your hospital utilize a safe surgery checklist on <u>every</u> patient <u>every</u> time one of the applicable procedures in Section 3A is performed? <i>If “no” to question #2, skip the remaining questions in Section 3C and go to the Affirmation of Accuracy. The hospital will be scored as “Limited Achievement.”</i>	Yes No
3) Before the induction of anesthesia , is a safe surgery checklist that includes <u>all</u> the following elements <u>read aloud</u> in the presence of the <u>anesthesia professional and nursing personnel</u> : <ul style="list-style-type: none"> • Patient ID • Confirmation of procedure • Patient consent • Site marked, if applicable • Anesthesia/medication check • Allergies assessed • Difficult airway/aspiration risk • Risk of blood loss (only applicable if risk of blood loss is >500ml for adults or > 7ml/kg for children) • Availability of devices on-site, if applicable? 	Yes No

<p>4) Before the skin incision and/or before the procedure begins, is a safe surgery checklist that includes <u>all</u> the following elements <u>read aloud</u> in the presence of the <u>whole surgical team</u>:</p> <ul style="list-style-type: none"> • Clinical team introduction • Confirmation of patient name, procedure, and, if applicable, surgical/incision site • Antibiotic prophylaxis, if applicable • Anticipated Critical Events (i.e., non-routine steps, length of procedure, blood loss, patient-specific concerns, sterility) • Equipment check/concerns • Essential imaging available, if applicable? 	<p>Yes No</p>
<p>5) Before the patient leaves the operating room and/or procedure room, is a safe surgery checklist that includes <u>all</u> the following elements <u>read aloud</u> in the presence of the <u>whole surgical team</u>:</p> <ul style="list-style-type: none"> • Confirmation of procedure performed • Instrument/supply counts • Specimen labeling, if applicable • Equipment concerns • Patient recovery/management concerns? 	<p>Yes No</p>

If “no” to question #3, #4, or #5, skip the remaining questions in Section 3C, and go to the Affirmation of Accuracy. The hospital will be scored as “Limited Achievement.”

Hospitals performing the audit in Section 3C question #6 and the audit in Section 9D question #10 should audit 15 cases who underwent a procedure included in Section 3A and 15 cases who underwent a procedure included in Section 9C. Hospitals only performing the audit in Section 3C question #6 and not in Section 9D question #10 should audit 30 cases who underwent a procedure included in Section 3A.

<p>6) Did your hospital perform an audit (either in-person or via the medical record or other EHR data) on a sufficient sample of patients who underwent a procedure included in Section 3A and measure adherence to the safe surgery checklist?</p> <p><i>Free-standing pediatric hospitals that perform the Norwood Procedure and hospitals that reported a combined total hospital volume of less than the sufficient sample for all the procedures in Section 3A can sample any patients that had a procedure performed under general anesthesia.</i></p> <p><i>If “no” to question #6, skip the remaining questions in Section 3C and go to the Affirmation of Accuracy. The hospital will be scored as “Limited Achievement.”</i></p>	<p>Yes No</p>
<p>7) Which method was used to perform the audit on a sufficient sample in question #6?</p>	<p><i>In-person observational audit Retrospective audit of medical records or EHR data Both</i></p>
<p>8) Based on your hospital's audit (either in-person or via the medical record or other EHR data) on a sufficient sample of patients who underwent a procedure included in Section 3A, what was your hospital's documented rate of adherence to the safe surgery checklist (e.g., what percentage of the sampled cases had all elements in questions #3, #4, and #5 completed)?</p>	<p>90%-100% 75%-89% 50%-74% Less than 50%</p>

Section 3: 2023 Adult and Pediatric Complex Surgery Reference Information**What's New in the 2023 Survey****Section 3A: Hospital and Surgeon Volume****Open Aortic Procedures**

Leapfrog is adding one ICD-10 procedure code to Open Aortic Procedures. This procedure code was added by CMS in 2022 and meets our definition of an Open Aortic Procedure: *A procedure where the surgeon exposes the aorta (thoracic or abdominal), clamps it, and sews on the aorta.*

Bariatric Surgery for Weight Loss

Leapfrog is expanding the list of procedure codes for Bariatric Surgery for Weight Loss to include outpatient procedures captured using Current Procedural Terminology (CPT) codes. The CPT Codes will be available via the Online Survey Tool when the Survey opens on April 1, 2023. Due to the American Medical Association's Terms of Use, hospitals must complete the Terms of Use via the Online Survey Tool to access the CPT Codes. We are also adding this procedure to Leapfrog's Ambulatory Surgery Center (ASC) Survey.

Norwood Procedure

Leapfrog is removing questions regarding the Society of Thoracic Surgeons (STS) Congenital Heart Surgery Database (CHSD) Participant Postoperative Length of Stay and Participant Operative Mortality measures but is continuing to ask about hospital participation in the STS CHSD. In 2023, hospitals that perform the Norwood Procedure will be scored using three criteria: total hospital volume, incorporating Leapfrog's minimum annual surgeon volume standards into their process for privileging surgeons, and participation in the STS CHSD. The points assigned to each criterion reflect the [Complex Surgery Expert Panel's](#) opinion on its importance to patient outcomes.

Section 3B: Surgical Appropriateness

Based on feedback from hospitals and in consultation with the [Complex Surgery Expert Panel](#), Leapfrog is removing questions regarding surgical appropriateness for Open Aortic Procedures as these procedures are performed as a part of life saving efforts.

There are no changes to the public reporting of Section 3B: Surgical Appropriateness for 2023. However, the surgical appropriateness questions have been an important part of the Complex Surgery standards for several years and remain an important area of interest for Leapfrog's purchaser and employer members. As such, Leapfrog plans to incorporate these questions into scoring and public reporting beginning in 2024 for the following complex surgeries: Carotid Endarterectomy, Mitral Valve Repair and Replacement, Bariatric Surgery for Weight Loss, Total Knee Replacement, Total Hip Replacement, Lung Resection for Cancer, Esophageal Resection for Cancer, Pancreatic Resection for Cancer, and Rectal Cancer Surgery.

Additionally, in 2024, Leapfrog plans to add a new question to Section 3B to assess the extent to which a hospital's appropriateness criteria are being utilized by asking hospitals to report on their findings from the retrospective reviews completed in question #3 for the following procedures: Carotid Endarterectomy, Mitral Valve Repair and Replacement, Bariatric Surgery for Weight Loss, Total Knee Replacement, and Total Hip Replacement.

The updated scoring algorithm will be published with the Proposed Changes to the 2024 Leapfrog Hospital Survey.

Section 3C: Safe Surgery Checklist for Adult and Pediatric Complex Surgery

Leapfrog is making two updates to Section 3C: Safe Surgery Checklist for Adult and Pediatric Complex Surgery. First, Leapfrog is increasing the audit requirement from 15 sampled cases to 30 sampled cases for hospitals **only** submitting Section 3 and not also submitting Section 9: Outpatient Procedures. Hospitals that submit **both** Sections 3 and 9 should continue to audit 15 complex surgical cases and 15 outpatient surgical cases.

Second, for hospitals conducting the audit to assess compliance with the Safe Surgery Checklist, Leapfrog is adding a question asking if the hospital performed an in-person observational audit, a retrospective audit of medical records or EHR data, or both.

There are no changes to the scoring algorithm for Section 3C: Safe Surgery Checklist for Adult and Pediatric Complex Surgery.

Change Summary Since Release

None. If substantive changes are made to this section of the Survey after release on April 1, 2023, they will be documented in this Change Summary section.

Adult and Pediatric Complex Surgery Measure Specifications

Hospital and Surgeon Volume

Important Notes:

Note 1: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Note 2: For each of the eleven high-risk procedures included in Section 3A: Hospital and Surgeon Volume, Leapfrog has provided a set of ICD-10 procedure codes, and in some cases an additional set of CPT codes (for Total Hip Replacement, Total Knee Replacement, and Bariatric Surgery for Weight Loss) or ICD-10 diagnosis codes, for counting **patient discharges**. Please carefully review the measure specifications for each individual procedure to ensure you have the complete list of codes needed. ICD-10 codes are provided below and are also available in an Excel document on the [Survey Materials webpage](#). See Note 3 below for instructions on downloading CPT codes.

Note 3: CPT Codes are provided in downloadable Excel files on the Survey Dashboard. To access the files, click the CPT Code Workbook button next to Section 9. You will be required to complete the American Medical Association's Terms of Use before downloading the Excel file and using the individual CPT codes to query your EHR or billing system. You are only required to complete the Terms of Use once per Survey Cycle (April 1 – November 30).

The CPT Code Workbooks (Excel files) are labeled for Section 3A: Total Hip Replacement, Total Knee Replacement, and Bariatric Surgery for Weight Loss and Section 9C: Volume of Procedures. Please note, if you are part of a hospital system, each hospital will need to complete the Terms of Use. This is a requirement of the American Medical Association.

<p>Source: The Leapfrog Group</p>
<p>Reporting Period: 12 months or <i>optionally</i> 24 months (annual average)</p> <ul style="list-style-type: none"> • Surveys submitted prior to September 1: <ul style="list-style-type: none"> ○ 01/01/2022 – 12/31/2022 (12-month count) or 01/01/2021 – 12/31/2022 (24-month annual average) • Surveys (re)submitted on or after September 1: <ul style="list-style-type: none"> ○ 07/01/2022 – 06/30/2023 (12-month count) or 07/01/2021 – 06/30/2023 (24-month annual average)
<p>Question #2: Check all procedures that your hospital performs as defined in the Adult and Pediatric Complex Surgery Reference Information (listed below):</p> <ul style="list-style-type: none"> Carotid endarterectomy Mitral valve repair and replacement Open aortic procedures Lung resection for cancer Esophageal resection for cancer Pancreatic resection for cancer Rectal cancer surgery Bariatric surgery for weight loss Total knee replacement Total hip replacement Norwood procedure <p>Free-standing pediatric hospitals should only report on the Norwood procedure, if applicable.</p> <p><u>Do not</u> check the box for the procedure if:</p> <ul style="list-style-type: none"> • Your hospital does not perform the procedure or ONLY performs the procedure on an emergency basis or when a patient is too unstable for safe transfer.

- Your hospital has started to perform the procedure in the last 18 months. Leapfrog gives hospitals an 18-month grace period before having to report on hospital volume and process for privileging surgeons for new service lines.

Do check the box for the procedure if:

- Your hospital would perform the procedure but had zero cases during the reporting period. Select the procedure and indicate a hospital volume of zero in question #3. Please note that hospitals can elect to report on a 24-month annual average.
- Your hospital has reached the end of the 18-month grace period for a new service line. You will now have to report on both hospital volume and your process for privileging surgeons for this procedure.

Question #3: Total **hospital volume** for each selected procedure (from question #2) during the reporting period:

[Carotid endarterectomy](#) (Hospital Volume Standard: 20)
[Mitral valve repair and replacement](#) (Hospital Volume Standard: 40)
[Open aortic procedures](#) (Hospital Volume Standard: 10)
[Lung resection for cancer](#) (Hospital Volume Standard: 40)
[Esophageal resection for cancer](#) (Hospital Volume Standard: 20)
[Pancreatic resection for cancer](#) (Hospital Volume Standard: 20)
[Rectal cancer surgery](#) (Hospital Volume Standard: 16)
[Bariatric surgery for weight loss](#) (Hospital Volume Standard: 50)
[Total knee replacement](#) (Hospital Volume Standard: 50)
[Total hip replacement](#) (Hospital Volume Standard: 50)
[Norwood procedure](#) (Hospital Volume Standard: 8)

When calculating total **hospital volume**:

- Count the number of **patients** discharged from your hospital within the reporting period with any one or more of the ICD-10 codes or CPT codes (for Total Hip Replacement, Total Knee Replacement, and Bariatric Surgery for Weight Loss) specified for each procedure, subject to the inclusion criteria below:
 - Only the ICD-10 procedure, CPT, and diagnosis codes provided by Leapfrog should be used to report on the questions in Section 3A: Hospital and Surgeon Volume. ICD-10 codes are provided below and are also available in an Excel document on the [Survey Materials webpage](#). See Note 3 above for instructions on downloading CPT codes.
 - For procedures that include TWO sets of codes (one set of procedure codes and one set of diagnosis codes), both sets of codes must be used for counting patient discharges (e.g., at least one procedure code AND one diagnosis code must be present).
 - Except for bariatric surgery for weight loss (where the ICD-10 diagnosis code must be the primary diagnosis) and total knee replacement and total hip replacement (where the procedure code must be the primary procedure), ICD-10 codes for the other procedures can appear in ANY procedure field and ANY diagnosis field.
 - Age restrictions apply – For the Norwood procedure only include discharges for pediatric patients (ages 17 years and younger). For the remaining ten procedures, only include discharges for adult patients (ages 18 years and older).

Question #4: Does the total hospital volume for total knee replacement, total hip replacement, and/or bariatric surgery for weight loss reported on in question #3 above include procedures performed on an inpatient basis, outpatient basis, or both?

- If your hospital only performs total knee replacement, total hip replacement, and/or bariatric surgery for weight loss on an inpatient basis, select inpatient.
- If your hospital only performs total knee replacement, total hip replacement, and/or bariatric surgery for weight loss on an outpatient basis, select outpatient.
- If your hospital performs total knee replacement, total hip replacement, and/or bariatric surgery for weight loss on both an inpatient and outpatient basis, select both (inpatient and outpatient).

Question #5: Does your hospital’s privileging process include the surgeon meeting or exceeding the minimum annual surgeon volume standard listed below?

Carotid endarterectomy: 10
 Mitral valve repair and replacement: 20
 Open aortic procedures: 7
 Lung resection for cancer: 15
 Esophageal resection for cancer: 7
 Pancreatic resection for cancer: 10
 Rectal cancer surgery: 6
 Bariatric surgery for weight loss: 20
 Total knee replacement: 25
 Total hip replacement: 25
 Norwood procedure: 5

When determining whether surgeons have met or exceeded Leapfrog’s minimum annual surgeon volume standards for the purposes of privileging, only refer to the ICD-10 procedure codes and CPT codes (for Total Hip Replacement, Total Knee Replacement, and Bariatric Surgery for Weight Loss) – **diagnosis** codes can be ignored.

Source: The Society of Thoracic Surgeons (STS)

Reporting Period: Latest **36-month** report from Society of Thoracic Surgeons (STS) Adult Cardiac Surgery Database (ACSD) for the **Mitral Valve Repair/Replacement Composite Score**.

Question #6: Does your hospital participate in the [Society of Thoracic Surgeons \(STS\)](#) Adult Cardiac Surgery Database (ACSD) and did your hospital submit data for all applicable procedures during the most recent 36-month period for which performance reports are available?

Select “yes” if your hospital participates in the [Society of Thoracic Surgeons \(STS\)](#) Adult Cardiac Surgery Database (ACSD) and has submitted data for all applicable procedures during the most recent 36-month period.

Question #7: What is the most recent 36-month reporting period for which STS performance reports are available? Reporting period ending:

Select the most recent 36-month reporting period for which STS performance reports are available. The reporting period is displayed when reviewing your “MVRR Composite Quality Ratings” at <https://publicreporting.sts.org/search/acsd>. See screenshot provided for question #9 [below](#).

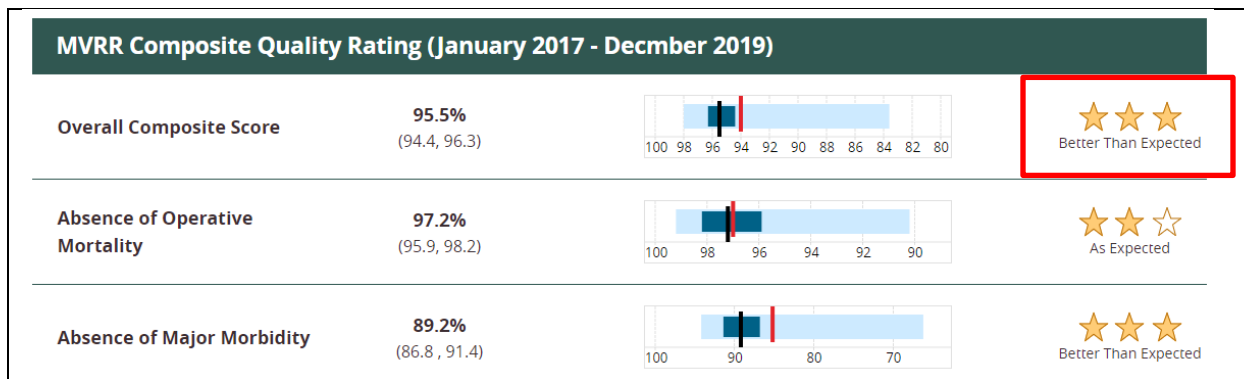
Question #8: Does your hospital choose to report data from the most recent performance report to this Survey?

Select “yes” if your hospital elects to share the MVRR data from your most recent performance report with Leapfrog.

Question #9: What is your hospital’s Mitral Valve Repair/Replacement Composite Score?

Report your Mitral Valve Repair/Replacement Overall Composite Score from the STS Public Reporting website: <https://publicreporting.sts.org/search/acsd>.

Click on “Search All Groups.” Then search for your hospital name/surgery group name in the “Participant Surgery Group Name” field and click your hospital name/surgery group name. On the next page find your “MVRR Composite Quality Rating” and your “Overall Composite Score.” Report the number of stars displayed for “Overall Composite Score” represented in the screenshot below.



Source: The Society of Thoracic Surgeons (STS)

Question #10: Does your hospital participate in the [Society of Thoracic Surgeons \(STS\)](#) Congenital Heart Surgery Database (CHSD) and did your hospital submit data for the Norwood procedure during the most recent 48-month period for which performance reports are available?

Select “yes” if your hospital participates in the [Society of Thoracic Surgeons \(STS\)](#) Congenital Heart Surgery Database (CHSD) and has submitted data for the Norwood procedure during the most recent 48-month period.

Question #11: What is the most recent 48-month reporting period for which STS performance reports are available? Reporting period ending:

Select the most recent 48-month reporting period for which STS performance reports are available. The reporting period should appear at the top of your STS performance report.

See [FAQs](#) for additional information about responding to the questions in this section.

Carotid Endarterectomy Measure Specifications

For carotid endarterectomy, there are two sets of ICD-10 codes for counting patient discharges. The first set of codes is to identify patients who have had the procedure. The second set of codes is to identify patients with a specific diagnosis.

Count the number of patients, ages 18 years and older, discharged with the following ICD-10 codes in any procedure field AND any of the following ICD-10 codes in any diagnosis field.

ICD-10 Carotid Endarterectomy Procedure Codes

ICD-10 Procedure Code	Code Description
03CH0ZZ	Extirpation of Matter from Right Common Carotid Artery, Open Approach
03CJ0ZZ	Extirpation of Matter from Left Common Carotid Artery, Open Approach
03CK0ZZ	Extirpation of Matter from Right Internal Carotid Artery, Open Approach
03CL0ZZ	Extirpation of Matter from Left Internal Carotid Artery, Open Approach
03CM0ZZ	Extirpation of Matter from Right External Carotid Artery, Open Approach
03CN0ZZ	Extirpation of Matter from Left External Carotid Artery, Open Approach

ICD-10 Occlusion and Stenosis and Cerebral Infarction Diagnosis Codes

ICD-10 Diagnosis Code	Code Description
I63.031	Cerebral infarction due to thrombosis of right carotid artery
I63.032	Cerebral infarction due to thrombosis of left carotid artery
I63.033	Cerebral infarction due to thrombosis of bilateral carotid arteries
I63.039	Cerebral infarction due to thrombosis of unspecified carotid artery
I63.131	Cerebral infarction due to embolism of right carotid artery
I63.132	Cerebral infarction due to embolism of left carotid artery
I63.133	Cerebral infarction due to embolism of bilateral carotid arteries
I63.139	Cerebral infarction due to embolism of unspecified carotid artery
I63.231	Cerebral infarction due to unspecified occlusion or stenosis of right carotid arteries
I63.232	Cerebral infarction due to unspecified occlusion or stenosis of left carotid arteries
I63.233	Cerebral infarction due to unspecified occlusion or stenosis of bilateral carotid arteries
I63.239	Cerebral infarction due to unspecified occlusion or stenosis of unspecified carotid arteries
I65.21	Occlusion and stenosis of right carotid artery
I65.22	Occlusion and stenosis of left carotid artery
I65.23	Occlusion and stenosis of bilateral carotid arteries
I65.29	Occlusion and stenosis of unspecified carotid artery
I65.8	Occlusion and stenosis of other precerebral arteries
I65.9	Occlusion and stenosis of unspecified precerebral artery

Mitral Valve Repair and Replacement Measure Specifications

For mitral valve repair and replacement, there is only one set of ICD-10 codes for counting patient discharges. The set of codes is to identify patients who have had the procedure.

Count the number of patients, ages 18 years and older, discharged with the following ICD-10 codes in any procedure field.

ICD-10 Mitral Valve Repair and Replacement Procedure Codes

ICD-10 Procedure Code	Code Description
027G04Z	Dilation of Mitral Valve with Drug-eluting Intraluminal Device, Open Approach
027G0DZ	Dilation of Mitral Valve with Intraluminal Device, Open Approach
027G0ZZ	Dilation of Mitral Valve, Open Approach
02CG0ZZ	Extirpation of Matter from Mitral Valve, Open Approach
02NG0ZZ	Release Mitral Valve, Open Approach
02QG0ZE	Repair Mitral Valve created from Left Atrioventricular Valve, Open Approach
02QG0ZZ	Repair Mitral Valve, Open Approach
02RG07Z	Replacement of Mitral Valve with Autologous Tissue Substitute, Open Approach
02RG08Z	Replacement of Mitral Valve with Zooplastic Tissue, Open Approach
02RG0JZ	Replacement of Mitral Valve with Synthetic Substitute, Open Approach
02RG0KZ	Replacement of Mitral Valve with Nonautologous Tissue Substitute, Open Approach
02UG07E	Supplement Mitral Valve created from Left Atrioventricular Valve with Autologous Tissue Substitute, Open Approach
02UG07Z	Supplement Mitral Valve with Autologous Tissue Substitute, Open Approach
02UG08E	Supplement Mitral Valve created from Left Atrioventricular Valve with Zooplastic Tissue, Open Approach
02UG08Z	Supplement Mitral Valve with Zooplastic Tissue, Open Approach
02UG0JE	Supplement Mitral Valve created from Left Atrioventricular Valve with Synthetic Substitute, Open Approach
02UG0JZ	Supplement Mitral Valve with Synthetic Substitute, Open Approach
02UG0KE	Supplement Mitral Valve created from Left Atrioventricular Valve with Nonautologous Tissue Substitute, Open Approach
02UG0KZ	Supplement Mitral Valve with Nonautologous Tissue Substitute, Open Approach
02VG0ZZ	Restriction of Mitral Valve, Open Approach

Open Aortic Procedures Measure Specifications

For open aortic procedures, there is only one set of ICD-10 codes for counting patient discharges. The set of codes is to identify patients who have had the procedure.

Count the number of patients, ages 18 years and older, discharged with the following ICD-10 codes in any procedure field.

ICD-10 Open Aortic Procedure Codes

ICD-10 Procedure Code	Code Description
0410090	Bypass Abdominal Aorta to Abdominal Aorta with Autologous Venous Tissue, Open Approach
0410091	Bypass Abdominal Aorta to Celiac Artery with Autologous Venous Tissue, Open Approach
0410092	Bypass Abdominal Aorta to Mesenteric Artery with Autologous Venous Tissue, Open Approach
0410093	Bypass Abdominal Aorta to Right Renal Artery with Autologous Venous Tissue, Open Approach
0410094	Bypass Abdominal Aorta to Left Renal Artery with Autologous Venous Tissue, Open Approach
0410095	Bypass Abdominal Aorta to Bilateral Renal Artery with Autologous Venous Tissue, Open Approach
0410096	Bypass Abdominal Aorta to Right Common Iliac Artery with Autologous Venous Tissue, Open Approach
0410097	Bypass Abdominal Aorta to Left Common Iliac Artery with Autologous Venous Tissue, Open Approach
0410098	Bypass Abdominal Aorta to Bilateral Common Iliac Arteries with Autologous Venous Tissue, Open Approach
0410099	Bypass Abdominal Aorta to Right Internal Iliac Artery with Autologous Venous Tissue, Open Approach
021K0ZW	Bypass Right Ventricle to Aorta, Open Approach
021L0ZW	Bypass Left Ventricle to Aorta, Open Approach
021W08A	Bypass Thoracic Aorta, Descending to Innominate Artery with Zooplastic Tissue, Open Approach
021W08B	Bypass Thoracic Aorta, Descending to Subclavian with Zooplastic Tissue, Open Approach
021W08D	Bypass Thoracic Aorta, Descending to Carotid with Zooplastic Tissue, Open Approach
021W08F	Bypass Thoracic Aorta, Descending to Abdominal Artery with Zooplastic Tissue, Open Approach
021W08G	Bypass Thoracic Aorta, Descending to Axillary Artery with Zooplastic Tissue, Open Approach
021W08H	Bypass Thoracic Aorta, Descending to Brachial Artery with Zooplastic Tissue, Open Approach
021W08P	Bypass Thoracic Aorta, Descending to Pulmonary Trunk with Zooplastic Tissue, Open Approach
021W08Q	Bypass Thoracic Aorta, Descending to Right Pulmonary Artery with Zooplastic Tissue, Open Approach
021W08R	Bypass Thoracic Aorta, Descending to Left Pulmonary Artery with Zooplastic Tissue, Open Approach
021W08V	Bypass Thoracic Aorta, Descending to Lower Extremity Artery with Zooplastic Tissue, Open Approach
021W09B	Bypass Thoracic Aorta, Descending to Subclavian with Autologous Venous Tissue, Open Approach

ICD-10 Procedure Code	Code Description
021W09A	Bypass Thoracic Aorta, Descending to Innominate Artery with Autologous Venous Tissue, Open Approach
021W09D	Bypass Thoracic Aorta, Descending to Carotid with Autologous Venous Tissue, Open Approach
021W09F	Bypass Thoracic Aorta, Descending to Abdominal Artery with Autologous Venous Tissue, Open Approach
021W09G	Bypass Thoracic Aorta, Descending to Axillary Artery with Autologous Venous Tissue, Open Approach
021W09H	Bypass Thoracic Aorta, Descending to Brachial Artery with Autologous Venous Tissue, Open Approach
021W09P	Bypass Thoracic Aorta, Descending to Pulmonary Trunk with Autologous Venous Tissue, Open Approach
021W09Q	Bypass Thoracic Aorta, Descending to Right Pulmonary Artery with Autologous Venous Tissue, Open Approach
021W09R	Bypass Thoracic Aorta, Descending to Left Pulmonary Artery with Autologous Venous Tissue, Open Approach
021W09V	Bypass Thoracic Aorta, Descending to Lower Extremity Artery with Autologous Venous Tissue, Open Approach
021W0AA	Bypass Thoracic Aorta, Descending to Innominate Artery with Autologous Arterial Tissue, Open Approach
021W0AB	Bypass Thoracic Aorta, Descending to Subclavian with Autologous Arterial Tissue, Open Approach
021W0AD	Bypass Thoracic Aorta, Descending to Carotid with Autologous Arterial Tissue, Open Approach
021W0AF	Bypass Thoracic Aorta, Descending to Abdominal Artery with Autologous Arterial Tissue, Open Approach
021W0AG	Bypass Thoracic Aorta, Descending to Axillary Artery with Autologous Arterial Tissue, Open Approach
021W0AH	Bypass Thoracic Aorta, Descending to Brachial Artery with Autologous Arterial Tissue, Open Approach
021W0AP	Bypass Thoracic Aorta, Descending to Pulmonary Trunk with Autologous Arterial Tissue, Open Approach
021W0AQ	Bypass Thoracic Aorta, Descending to Right Pulmonary Artery with Autologous Arterial Tissue, Open Approach
021W0AR	Bypass Thoracic Aorta, Descending to Left Pulmonary Artery with Autologous Arterial Tissue, Open Approach
021W0AV	Bypass Thoracic Aorta, Descending to Lower Extremity Artery with Autologous Arterial Tissue, Open Approach
021W0JA	Bypass Thoracic Aorta, Descending to Innominate Artery with Synthetic Substitute, Open Approach
021W0JB	Bypass Thoracic Aorta, Descending to Subclavian with Synthetic Substitute, Open Approach
021W0JD	Bypass Thoracic Aorta, Descending to Carotid with Synthetic Substitute, Open Approach
021W0JF	Bypass Thoracic Aorta, Descending to Abdominal Artery with Synthetic Substitute, Open Approach
021W0JG	Bypass Thoracic Aorta, Descending to Axillary Artery with Synthetic Substitute, Open Approach
021W0JH	Bypass Thoracic Aorta, Descending to Brachial Artery with Synthetic Substitute, Open Approach
021W0JP	Bypass Thoracic Aorta, Descending to Pulmonary Trunk with Synthetic Substitute, Open Approach
021W0JQ	Bypass Thoracic Aorta, Descending to Right Pulmonary Artery with Synthetic Substitute, Open Approach

ICD-10 Procedure Code	Code Description
021W0JR	Bypass Thoracic Aorta, Descending to Left Pulmonary Artery with Synthetic Substitute, Open Approach
021W0JV	Bypass Thoracic Aorta, Descending to Lower Extremity Artery with Synthetic Substitute, Open Approach
021W0KA	Bypass Thoracic Aorta, Descending to Innominate Artery with Nonautologous Tissue Substitute, Open Approach
021W0KB	Bypass Thoracic Aorta, Descending to Subclavian with Nonautologous Tissue Substitute, Open Approach
021W0KD	Bypass Thoracic Aorta, Descending to Carotid with Nonautologous Tissue Substitute, Open Approach
021W0KF	Bypass Thoracic Aorta, Descending to Abdominal Artery with Nonautologous Tissue Substitute, Open Approach
021W0KG	Bypass Thoracic Aorta, Descending to Axillary Artery with Nonautologous Tissue Substitute, Open Approach
021W0KH	Bypass Thoracic Aorta, Descending to Brachial Artery with Nonautologous Tissue Substitute, Open Approach
021W0KP	Bypass Thoracic Aorta, Descending to Pulmonary Trunk with Nonautologous Tissue Substitute, Open Approach
021W0KQ	Bypass Thoracic Aorta, Descending to Right Pulmonary Artery with Nonautologous Tissue Substitute, Open Approach
021W0KR	Bypass Thoracic Aorta, Descending to Left Pulmonary Artery with Nonautologous Tissue Substitute, Open Approach
021W0KV	Bypass Thoracic Aorta, Descending to Lower Extremity Artery with Nonautologous Tissue Substitute, Open Approach
021W0ZA	Bypass Thoracic Aorta, Descending to Innominate Artery, Open Approach
021W0ZB	Bypass Thoracic Aorta, Descending to Subclavian, Open Approach
021W0ZD	Bypass Thoracic Aorta, Descending to Carotid, Open Approach
021W0ZP	Bypass Thoracic Aorta, Descending to Pulmonary Trunk, Open Approach
021W0ZQ	Bypass Thoracic Aorta, Descending to Right Pulmonary Artery, Open Approach
021W0ZR	Bypass Thoracic Aorta, Descending to Left Pulmonary Artery, Open Approach
021X08A	Bypass Thoracic Aorta, Ascending/Arch to Innominate Artery with Zooplastic Tissue, Open Approach
021X08B	Bypass Thoracic Aorta, Ascending/Arch to Subclavian with Zooplastic Tissue, Open Approach
021X08D	Bypass Thoracic Aorta, Ascending/Arch to Carotid with Zooplastic Tissue, Open Approach
021X08P	Bypass Thoracic Aorta, Ascending/Arch to Pulmonary Trunk with Zooplastic Tissue, Open Approach
021X08Q	Bypass Thoracic Aorta, Ascending/Arch to Right Pulmonary Artery with Zooplastic Tissue, Open Approach
021X08R	Bypass Thoracic Aorta, Ascending/Arch to Left Pulmonary Artery with Zooplastic Tissue, Open Approach
021X09A	Bypass Thoracic Aorta, Ascending/Arch to Innominate Artery with Autologous Venous Tissue, Open Approach
021X09B	Bypass Thoracic Aorta, Ascending/Arch to Subclavian with Autologous Venous Tissue, Open Approach
021X09D	Bypass Thoracic Aorta, Ascending/Arch to Carotid with Autologous Venous Tissue, Open Approach
021X09P	Bypass Thoracic Aorta, Ascending/Arch to Pulmonary Trunk with Autologous Venous Tissue, Open Approach
021X09Q	Bypass Thoracic Aorta, Ascending/Arch to Right Pulmonary Artery with Autologous Venous Tissue, Open Approach

ICD-10 Procedure Code	Code Description
021X09R	Bypass Thoracic Aorta, Ascending/Arch to Left Pulmonary Artery with Autologous Venous Tissue, Open Approach
021X0AA	Bypass Thoracic Aorta, Ascending/Arch to Innominate Artery with Autologous Arterial Tissue, Open Approach
021X0AB	Bypass Thoracic Aorta, Ascending/Arch to Subclavian with Autologous Arterial Tissue, Open Approach
021X0AD	Bypass Thoracic Aorta, Ascending/Arch to Carotid with Autologous Arterial Tissue, Open Approach
021X0AP	Bypass Thoracic Aorta, Ascending/Arch to Pulmonary Trunk with Autologous Arterial Tissue, Open Approach
021X0AQ	Bypass Thoracic Aorta, Ascending/Arch to Right Pulmonary Artery with Autologous Arterial Tissue, Open Approach
021X0AR	Bypass Thoracic Aorta, Ascending/Arch to Left Pulmonary Artery with Autologous Arterial Tissue, Open Approach
021X0JA	Bypass Thoracic Aorta, Ascending/Arch to Innominate Artery with Synthetic Substitute, Open Approach
021X0JB	Bypass Thoracic Aorta, Ascending/Arch to Subclavian with Synthetic Substitute, Open Approach
021X0JD	Bypass Thoracic Aorta, Ascending/Arch to Carotid with Synthetic Substitute, Open Approach
021X0JP	Bypass Thoracic Aorta, Ascending/Arch to Pulmonary Trunk with Synthetic Substitute, Open Approach
021X0JQ	Bypass Thoracic Aorta, Ascending/Arch to Right Pulmonary Artery with Synthetic Substitute, Open Approach
021X0JR	Bypass Thoracic Aorta, Ascending/Arch to Left Pulmonary Artery with Synthetic Substitute, Open Approach
021X0KA	Bypass Thoracic Aorta, Ascending/Arch to Innominate Artery with Nonautologous Tissue Substitute, Open Approach
021X0KB	Bypass Thoracic Aorta, Ascending/Arch to Subclavian with Nonautologous Tissue Substitute, Open Approach
021X0KD	Bypass Thoracic Aorta, Ascending/Arch to Carotid with Nonautologous Tissue Substitute, Open Approach
021X0KP	Bypass Thoracic Aorta, Ascending/Arch to Pulmonary Trunk with Nonautologous Tissue Substitute, Open Approach
021X0KQ	Bypass Thoracic Aorta, Ascending/Arch to Right Pulmonary Artery with Nonautologous Tissue Substitute, Open Approach
021X0KR	Bypass Thoracic Aorta, Ascending/Arch to Left Pulmonary Artery with Nonautologous Tissue Substitute, Open Approach
021X0ZA	Bypass Thoracic Aorta, Ascending/Arch to Innominate Artery, Open Approach
021X0ZB	Bypass Thoracic Aorta, Ascending/Arch to Subclavian, Open Approach
021X0ZD	Bypass Thoracic Aorta, Ascending/Arch to Carotid, Open Approach
021X0ZP	Bypass Thoracic Aorta, Ascending/Arch to Pulmonary Trunk, Open Approach
021X0ZQ	Bypass Thoracic Aorta, Ascending/Arch to Right Pulmonary Artery, Open Approach
021X0ZR	Bypass Thoracic Aorta, Ascending/Arch to Left Pulmonary Artery, Open Approach
02BW0ZX	Excision of Thoracic Aorta, Descending, Open Approach, Diagnostic
02BW0ZZ	Excision of Thoracic Aorta, Descending, Open Approach
02BX0ZX	Excision of Thoracic Aorta, Ascending/Arch, Open Approach, Diagnostic
02BX0ZZ	Excision of Thoracic Aorta, Ascending/Arch, Open Approach
02QW0ZZ	Repair Thoracic Aorta, Descending, Open Approach
02QX0ZZ	Repair Thoracic Aorta, Ascending/Arch, Open Approach

ICD-10 Procedure Code	Code Description
02RW07Z	Replacement of Thoracic Aorta, Descending with Autologous Tissue Substitute, Open Approach
02RW08Z	Replacement of Thoracic Aorta, Descending with Zooplastic Tissue, Open Approach
02RW0JZ	Replacement of Thoracic Aorta, Descending with Synthetic Substitute, Open Approach
02RW0KZ	Replacement of Thoracic Aorta, Descending with Nonautologous Tissue Substitute, Open Approach
02RX07Z	Replacement of Thoracic Aorta, Ascending/Arch with Autologous Tissue Substitute, Open Approach
02RX08Z	Replacement of Thoracic Aorta, Ascending/Arch with Zooplastic Tissue, Open Approach
02RX0JZ	Replacement of Thoracic Aorta, Ascending/Arch with Synthetic Substitute, Open Approach
02RX0KZ	Replacement of Thoracic Aorta, Ascending/Arch with Nonautologous Tissue Substitute, Open Approach
02SW0ZZ	Reposition Thoracic Aorta, Descending, Open Approach
02SX0ZZ	Reposition Thoracic Aorta, Ascending/Arch, Open Approach
02UW07Z	Supplement Thoracic Aorta, Descending with Autologous Tissue Substitute, Open Approach
02UW08Z	Supplement Thoracic Aorta, Descending with Zooplastic Tissue, Open Approach
02UW0JZ	Supplement Thoracic Aorta, Descending with Synthetic Substitute, Open Approach
02UW0KZ	Supplement Thoracic Aorta, Descending with Nonautologous Tissue Substitute, Open Approach
02UX08Z	Supplement Thoracic Aorta, Ascending/Arch with Zooplastic Tissue, Open Approach
041009B	Bypass Abdominal Aorta to Left Internal Iliac Artery with Autologous Venous Tissue, Open Approach
041009C	Bypass Abdominal Aorta to Bilateral Internal Iliac Arteries with Autologous Venous Tissue, Open Approach
041009D	Bypass Abdominal Aorta to Right External Iliac Artery with Autologous Venous Tissue, Open Approach
041009F	Bypass Abdominal Aorta to Left External Iliac Artery with Autologous Venous Tissue, Open Approach
041009G	Bypass Abdominal Aorta to Bilateral External Iliac Arteries with Autologous Venous Tissue, Open Approach
041009H	Bypass Abdominal Aorta to Right Femoral Artery with Autologous Venous Tissue, Open Approach
041009J	Bypass Abdominal Aorta to Left Femoral Artery with Autologous Venous Tissue, Open Approach
041009K	Bypass Abdominal Aorta to Bilateral Femoral Arteries with Autologous Venous Tissue, Open Approach
041009Q	Bypass Abdominal Aorta to Lower Extremity Artery with Autologous Venous Tissue, Open Approach
041009R	Bypass Abdominal Aorta to Lower Artery with Autologous Venous Tissue, Open Approach
04100A0	Bypass Abdominal Aorta to Abdominal Aorta with Autologous Arterial Tissue, Open Approach
04100A1	Bypass Abdominal Aorta to Celiac Artery with Autologous Arterial Tissue, Open Approach
04100A2	Bypass Abdominal Aorta to Mesenteric Artery with Autologous Arterial Tissue, Open Approach

ICD-10 Procedure Code	Code Description
04100A3	Bypass Abdominal Aorta to Right Renal Artery with Autologous Arterial Tissue, Open Approach
04100A4	Bypass Abdominal Aorta to Left Renal Artery with Autologous Arterial Tissue, Open Approach
04100A5	Bypass Abdominal Aorta to Bilateral Renal Artery with Autologous Arterial Tissue, Open Approach
04100A6	Bypass Abdominal Aorta to Right Common Iliac Artery with Autologous Arterial Tissue, Open Approach
04100A7	Bypass Abdominal Aorta to Left Common Iliac Artery with Autologous Arterial Tissue, Open Approach
04100A8	Bypass Abdominal Aorta to Bilateral Common Iliac Arteries with Autologous Arterial Tissue, Open Approach
04100A9	Bypass Abdominal Aorta to Right Internal Iliac Artery with Autologous Arterial Tissue, Open Approach
04100AB	Bypass Abdominal Aorta to Left Internal Iliac Artery with Autologous Arterial Tissue, Open Approach
04100AC	Bypass Abdominal Aorta to Bilateral Internal Iliac Arteries with Autologous Arterial Tissue, Open Approach
04100AD	Bypass Abdominal Aorta to Right External Iliac Artery with Autologous Arterial Tissue, Open Approach
04100AF	Bypass Abdominal Aorta to Left External Iliac Artery with Autologous Arterial Tissue, Open Approach
04100AG	Bypass Abdominal Aorta to Bilateral External Iliac Arteries with Autologous Arterial Tissue, Open Approach
04100AH	Bypass Abdominal Aorta to Right Femoral Artery with Autologous Arterial Tissue, Open Approach
04100AJ	Bypass Abdominal Aorta to Left Femoral Artery with Autologous Arterial Tissue, Open Approach
04100AK	Bypass Abdominal Aorta to Bilateral Femoral Arteries with Autologous Arterial Tissue, Open Approach
04100AQ	Bypass Abdominal Aorta to Lower Extremity Artery with Autologous Arterial Tissue, Open Approach
04100AR	Bypass Abdominal Aorta to Lower Artery with Autologous Arterial Tissue, Open Approach
04100J0	Bypass Abdominal Aorta to Abdominal Aorta with Synthetic Substitute, Open Approach
04100J1	Bypass Abdominal Aorta to Celiac Artery with Synthetic Substitute, Open Approach
04100J2	Bypass Abdominal Aorta to Mesenteric Artery with Synthetic Substitute, Open Approach
04100J3	Bypass Abdominal Aorta to Right Renal Artery with Synthetic Substitute, Open Approach
04100J4	Bypass Abdominal Aorta to Left Renal Artery with Synthetic Substitute, Open Approach
04100J5	Bypass Abdominal Aorta to Bilateral Renal Artery with Synthetic Substitute, Open Approach
04100J6	Bypass Abdominal Aorta to Right Common Iliac Artery with Synthetic Substitute, Open Approach
04100J7	Bypass Abdominal Aorta to Left Common Iliac Artery with Synthetic Substitute, Open Approach
04100J8	Bypass Abdominal Aorta to Bilateral Common Iliac Arteries with Synthetic Substitute, Open Approach
04100J9	Bypass Abdominal Aorta to Right Internal Iliac Artery with Synthetic Substitute, Open Approach

ICD-10 Procedure Code	Code Description
04100JB	Bypass Abdominal Aorta to Left Internal Iliac Artery with Synthetic Substitute, Open Approach
04100JC	Bypass Abdominal Aorta to Bilateral Internal Iliac Arteries with Synthetic Substitute, Open Approach
04100JD	Bypass Abdominal Aorta to Right External Iliac Artery with Synthetic Substitute, Open Approach
04100JF	Bypass Abdominal Aorta to Left External Iliac Artery with Synthetic Substitute, Open Approach
04100JG	Bypass Abdominal Aorta to Bilateral External Iliac Arteries with Synthetic Substitute, Open Approach
04100JH	Bypass Abdominal Aorta to Right Femoral Artery with Synthetic Substitute, Open Approach
04100JJ	Bypass Abdominal Aorta to Left Femoral Artery with Synthetic Substitute, Open Approach
04100JK	Bypass Abdominal Aorta to Bilateral Femoral Arteries with Synthetic Substitute, Open Approach
04100JQ	Bypass Abdominal Aorta to Lower Extremity Artery with Synthetic Substitute, Open Approach
04100JR	Bypass Abdominal Aorta to Lower Artery with Synthetic Substitute, Open Approach
04100K0	Bypass Abdominal Aorta to Abdominal Aorta with Nonautologous Tissue Substitute, Open Approach
04100K1	Bypass Abdominal Aorta to Celiac Artery with Nonautologous Tissue Substitute, Open Approach
04100K2	Bypass Abdominal Aorta to Mesenteric Artery with Nonautologous Tissue Substitute, Open Approach
04100K3	Bypass Abdominal Aorta to Right Renal Artery with Nonautologous Tissue Substitute, Open Approach
04100K4	Bypass Abdominal Aorta to Left Renal Artery with Nonautologous Tissue Substitute, Open Approach
04100K5	Bypass Abdominal Aorta to Bilateral Renal Artery with Nonautologous Tissue Substitute, Open Approach
04100K6	Bypass Abdominal Aorta to Right Common Iliac Artery with Nonautologous Tissue Substitute, Open Approach
04100K7	Bypass Abdominal Aorta to Left Common Iliac Artery with Nonautologous Tissue Substitute, Open Approach
04100K8	Bypass Abdominal Aorta to Bilateral Common Iliac Arteries with Nonautologous Tissue Substitute, Open Approach
04100K9	Bypass Abdominal Aorta to Right Internal Iliac Artery with Nonautologous Tissue Substitute, Open Approach
04100KB	Bypass Abdominal Aorta to Left Internal Iliac Artery with Nonautologous Tissue Substitute, Open Approach
04100KC	Bypass Abdominal Aorta to Bilateral Internal Iliac Arteries with Nonautologous Tissue Substitute, Open Approach
04100KD	Bypass Abdominal Aorta to Right External Iliac Artery with Nonautologous Tissue Substitute, Open Approach
04100KF	Bypass Abdominal Aorta to Left External Iliac Artery with Nonautologous Tissue Substitute, Open Approach
04100KG	Bypass Abdominal Aorta to Bilateral External Iliac Arteries with Nonautologous Tissue Substitute, Open Approach
04100KH	Bypass Abdominal Aorta to Right Femoral Artery with Nonautologous Tissue Substitute, Open Approach
04100KJ	Bypass Abdominal Aorta to Left Femoral Artery with Nonautologous Tissue Substitute, Open Approach

ICD-10 Procedure Code	Code Description
04100KK	Bypass Abdominal Aorta to Bilateral Femoral Arteries with Nonautologous Tissue Substitute, Open Approach
04100KQ	Bypass Abdominal Aorta to Lower Extremity Artery with Nonautologous Tissue Substitute, Open Approach
04100KR	Bypass Abdominal Aorta to Lower Artery with Nonautologous Tissue Substitute, Open Approach
04100Z0	Bypass Abdominal Aorta to Abdominal Aorta, Open Approach
04100Z1	Bypass Abdominal Aorta to Celiac Artery, Open Approach
04100Z2	Bypass Abdominal Aorta to Mesenteric Artery, Open Approach
04100Z3	Bypass Abdominal Aorta to Right Renal Artery, Open Approach
04100Z4	Bypass Abdominal Aorta to Left Renal Artery, Open Approach
04100Z5	Bypass Abdominal Aorta to Bilateral Renal Artery, Open Approach
04100Z6	Bypass Abdominal Aorta to Right Common Iliac Artery, Open Approach
04100Z7	Bypass Abdominal Aorta to Left Common Iliac Artery, Open Approach
04100Z8	Bypass Abdominal Aorta to Bilateral Common Iliac Arteries, Open Approach
04100Z9	Bypass Abdominal Aorta to Right Internal Iliac Artery, Open Approach
04100ZB	Bypass Abdominal Aorta to Left Internal Iliac Artery, Open Approach
04100ZC	Bypass Abdominal Aorta to Bilateral Internal Iliac Arteries, Open Approach
04100ZD	Bypass Abdominal Aorta to Right External Iliac Artery, Open Approach
04100ZF	Bypass Abdominal Aorta to Left External Iliac Artery, Open Approach
04100ZG	Bypass Abdominal Aorta to Bilateral External Iliac Arteries, Open Approach
04100ZH	Bypass Abdominal Aorta to Right Femoral Artery, Open Approach
04100ZJ	Bypass Abdominal Aorta to Left Femoral Artery, Open Approach
04100ZK	Bypass Abdominal Aorta to Bilateral Femoral Arteries, Open Approach
04100ZQ	Bypass Abdominal Aorta to Lower Extremity Artery, Open Approach
04100ZR	Bypass Abdominal Aorta to Lower Artery, Open Approach
041C090	Bypass Right Common Iliac Artery to Abdominal Aorta with Autologous Venous Tissue, Open Approach
041C0A0	Bypass Right Common Iliac Artery to Abdominal Aorta with Autologous Arterial Tissue, Open Approach
041C0J0	Bypass Right Common Iliac Artery to Abdominal Aorta with Synthetic Substitute, Open Approach
041C0K0	Bypass Right Common Iliac Artery to Abdominal Aorta with Nonautologous Tissue Substitute, Open Approach
041C0Z0	Bypass Right Common Iliac Artery to Abdominal Aorta, Open Approach
041D090	Bypass Left Common Iliac Artery to Abdominal Aorta with Autologous Venous Tissue, Open Approach
041D0A0	Bypass Left Common Iliac Artery to Abdominal Aorta with Autologous Arterial Tissue, Open Approach
041D0J0	Bypass Left Common Iliac Artery to Abdominal Aorta with Synthetic Substitute, Open Approach
041D0K0	Bypass Left Common Iliac Artery to Abdominal Aorta with Nonautologous Tissue Substitute, Open Approach
041D0Z0	Bypass Left Common Iliac Artery to Abdominal Aorta, Open Approach
04B00ZX	Excision of Abdominal Aorta, Open Approach, Diagnostic
04B00ZZ	Excision of Abdominal Aorta, Open Approach

ICD-10 Procedure Code	Code Description
04Q00ZZ	Repair Abdominal Aorta, Open Approach
04R007Z	Replacement of Abdominal Aorta with Autologous Tissue Substitute, Open Approach
04R00JZ	Replacement of Abdominal Aorta with Synthetic Substitute, Open Approach
04R00KZ	Replacement of Abdominal Aorta with Nonautologous Tissue Substitute, Open Approach
04S00ZZ	Reposition Abdominal Aorta, Open Approach
04U007Z	Supplement Abdominal Aorta with Autologous Tissue Substitute, Open Approach
04U00JZ	Supplement Abdominal Aorta with Synthetic Substitute, Open Approach
04U00KZ	Supplement Abdominal Aorta with Nonautologous Tissue Substitute, Open Approach
X2RX0N7	Replacement of Thoracic Aorta, Arch using Branched Synthetic Substitute with Intraluminal Device, Open Approach, New Technology Group 7

Lung Resection for Cancer Measure Specifications

For lung resection for cancer, there are two sets of ICD-10 codes for counting patient discharges. The first set of codes is to identify patients who have had the procedure. The second set of codes is to identify patients with a specific diagnosis.

Count the number of patients, ages 18 years and older, discharged with the following ICD-10 codes in any procedure field AND any of the following ICD-10 codes in any diagnosis field.

ICD-10 Lung Resection for Cancer Procedure Codes

ICD-10 Procedure Code	Code Description
0BBC0ZZ	Excision of Right Upper Lung Lobe, Open Approach
0BBC3ZZ	Excision of Right Upper Lung Lobe, Percutaneous Approach
0BBC4ZZ	Excision of Right Upper Lung Lobe, Percutaneous Endoscopic Approach
0BBD0ZZ	Excision of Right Middle Lung Lobe, Open Approach
0BBD3ZZ	Excision of Right Middle Lung Lobe, Percutaneous Approach
0BBD4ZZ	Excision of Right Middle Lung Lobe, Percutaneous Endoscopic Approach
0BBF0ZZ	Excision of Right Lower Lung Lobe, Open Approach
0BBF3ZZ	Excision of Right Lower Lung Lobe, Percutaneous Approach
0BBF4ZZ	Excision of Right Lower Lung Lobe, Percutaneous Endoscopic Approach
0BBG0ZZ	Excision of Left Upper Lung Lobe, Open Approach
0BBG3ZZ	Excision of Left Upper Lung Lobe, Percutaneous Approach
0BBG4ZZ	Excision of Left Upper Lung Lobe, Percutaneous Endoscopic Approach
0BBH0ZZ	Excision of Lung Lingula, Open Approach
0BBH3ZZ	Excision of Lung Lingula, Percutaneous Approach
0BBH4ZZ	Excision of Lung Lingula, Percutaneous Endoscopic Approach
0BBJ0ZZ	Excision of Left Lower Lung Lobe, Open Approach
0BBJ3ZZ	Excision of Left Lower Lung Lobe, Percutaneous Approach
0BBJ4ZZ	Excision of Left Lower Lung Lobe, Percutaneous Endoscopic Approach
0BBK0ZZ	Excision of Right Lung, Open Approach
0BBK3ZZ	Excision of Right Lung, Percutaneous Approach
0BBK4ZZ	Excision of Right Lung, Percutaneous Endoscopic Approach
0BBL0ZZ	Excision of Left Lung, Open Approach
0BBL3ZZ	Excision of Left Lung, Percutaneous Approach
0BBL4ZZ	Excision of Left Lung, Percutaneous Endoscopic Approach
0BBL7ZZ	Excision of Left Lung, Via Natural or Artificial Opening
0BTC0ZZ	Resection of Right Upper Lung Lobe, Open Approach
0BTC4ZZ	Resection of Right Upper Lung Lobe, Percutaneous Endoscopic Approach
0BTD0ZZ	Resection of Right Middle Lung Lobe, Open Approach
0BTD4ZZ	Resection of Right Middle Lung Lobe, Percutaneous Endoscopic Approach
0BTF0ZZ	Resection of Right Lower Lung Lobe, Open Approach
0BTF4ZZ	Resection of Right Lower Lung Lobe, Percutaneous Endoscopic Approach
0BTG0ZZ	Resection of Left Upper Lung Lobe, Open Approach
0BTG4ZZ	Resection of Left Upper Lung Lobe, Percutaneous Endoscopic Approach

ICD-10 Procedure Code	Code Description
0BTH0ZZ	Resection of Lung Lingula, Open Approach
0BTH4ZZ	Resection of Lung Lingula, Percutaneous Endoscopic Approach
0BTJ0ZZ	Resection of Left Lower Lung Lobe, Open Approach
0BTJ4ZZ	Resection of Left Lower Lung Lobe, Percutaneous Endoscopic Approach
0BTK0ZZ	Resection of Right Lung, Open Approach
0BTK4ZZ	Resection of Right Lung, Percutaneous Endoscopic Approach
0BTL0ZZ	Resection of Left Lung, Open Approach
0BTL4ZZ	Resection of Left Lung, Percutaneous Endoscopic Approach

ICD-10 Malignant Tumor and Cancer in Situ Diagnosis Codes

ICD-10 Diagnosis Code	Code Description
C7A.090	Malignant carcinoid tumor of the bronchus and lung
C7A.1	Malignant poorly differentiated neuroendocrine tumors
C7A.8	Other malignant neuroendocrine tumors
C34.00	Malignant neoplasm of main bronchus
C34.01	Malignant neoplasm of right main bronchus
C34.02	Malignant neoplasm of left main bronchus
C34.10	Malignant neoplasm of upper lobe, unspecified bronchus or lung
C34.11	Malignant neoplasm of upper lobe, right bronchus or lung
C34.12	Malignant neoplasm of upper lobe, left bronchus or lung
C34.2	Malignant neoplasm of middle lobe, bronchus or lung
C34.30	Malignant neoplasm of lower lobe, unspecified bronchus or lung
C34.31	Malignant neoplasm of lower lobe, right bronchus or lung
C34.32	Malignant neoplasm of lower lobe, left bronchus or lung
C34.80	Malignant neoplasm of overlapping sites of unspecified bronchus and lung
C34.81	Malignant neoplasm of overlapping sites of right bronchus and lung
C34.82	Malignant neoplasm of overlapping sites of left bronchus and lung
C34.90	Malignant neoplasm of unspecified part of unspecified bronchus or lung
C34.91	Malignant neoplasm of unspecified part of right bronchus or lung
C34.92	Malignant neoplasm of unspecified part of left bronchus or lung
D02.20	Carcinoma in situ of unspecified bronchus and lung
D02.21	Carcinoma in situ of right bronchus and lung
D02.22	Carcinoma in situ of left bronchus and lung

Esophageal Resection for Cancer Measure Specifications

For esophageal resection for cancer, there are two sets of ICD-10 codes for counting patient discharges. The first set of codes is to identify patients who have had the procedure. The second set of codes is to identify patients with a specific diagnosis.

Count the number of patients, ages 18 years and older, discharged with the following ICD-10 codes in any procedure field AND any of the following ICD-10 codes in any diagnosis field.

ICD-10 Esophageal Resection for Cancer Procedure Codes

ICD-10 Procedure Code	Code Description
0D11074	Bypass Upper Esophagus to Cutaneous with Autologous Tissue Substitute, Open Approach
0D11076	Bypass Upper Esophagus to Stomach with Autologous Tissue Substitute, Open Approach
0D11079	Bypass Upper Esophagus to Duodenum with Autologous Tissue Substitute, Open Approach
0D1107A	Bypass Upper Esophagus to Jejunum with Autologous Tissue Substitute, Open Approach
0D1107B	Bypass Upper Esophagus to Ileum with Autologous Tissue Substitute, Open Approach
0D110J4	Bypass Upper Esophagus to Cutaneous with Synthetic Substitute, Open Approach
0D110J6	Bypass Upper Esophagus to Stomach with Synthetic Substitute, Open Approach
0D110J9	Bypass Upper Esophagus to Duodenum with Synthetic Substitute, Open Approach
0D110JA	Bypass Upper Esophagus to Jejunum with Synthetic Substitute, Open Approach
0D110JB	Bypass Upper Esophagus to Ileum with Synthetic Substitute, Open Approach
0D110K4	Bypass Upper Esophagus to Cutaneous with Nonautologous Tissue Substitute, Open Approach
0D110K6	Bypass Upper Esophagus to Stomach with Nonautologous Tissue Substitute, Open Approach
0D110K9	Bypass Upper Esophagus to Duodenum with Nonautologous Tissue Substitute, Open Approach
0D110KA	Bypass Upper Esophagus to Jejunum with Nonautologous Tissue Substitute, Open Approach
0D110KB	Bypass Upper Esophagus to Ileum with Nonautologous Tissue Substitute, Open Approach
0D110Z4	Bypass Upper Esophagus to Cutaneous, Open Approach
0D110Z6	Bypass Upper Esophagus to Stomach, Open Approach
0D110Z9	Bypass Upper Esophagus to Duodenum, Open Approach
0D110ZA	Bypass Upper Esophagus to Jejunum, Open Approach
0D110ZB	Bypass Upper Esophagus to Ileum, Open Approach
0D113J4	Bypass Upper Esophagus to Cutaneous with Synthetic Substitute, Percutaneous Approach
0D11474	Bypass Upper Esophagus to Cutaneous with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D11476	Bypass Upper Esophagus to Stomach with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D11479	Bypass Upper Esophagus to Duodenum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D1147A	Bypass Upper Esophagus to Jejunum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach

ICD-10 Procedure Code	Code Description
0D1147B	Bypass Upper Esophagus to Ileum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D114J4	Bypass Upper Esophagus to Cutaneous with Synthetic Substitute, Percutaneous Endoscopic Approach
0D114J6	Bypass Upper Esophagus to Stomach with Synthetic Substitute, Percutaneous Endoscopic Approach
0D114J9	Bypass Upper Esophagus to Duodenum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D114JA	Bypass Upper Esophagus to Jejunum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D114JB	Bypass Upper Esophagus to Ileum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D114K4	Bypass Upper Esophagus to Cutaneous with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D114K6	Bypass Upper Esophagus to Stomach with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D114K9	Bypass Upper Esophagus to Duodenum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D114KA	Bypass Upper Esophagus to Jejunum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D114KB	Bypass Upper Esophagus to Ileum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D114Z4	Bypass Upper Esophagus to Cutaneous, Percutaneous Endoscopic Approach
0D114Z6	Bypass Upper Esophagus to Stomach, Percutaneous Endoscopic Approach
0D114Z9	Bypass Upper Esophagus to Duodenum, Percutaneous Endoscopic Approach
0D114ZA	Bypass Upper Esophagus to Jejunum, Percutaneous Endoscopic Approach
0D114ZB	Bypass Upper Esophagus to Ileum, Percutaneous Endoscopic Approach
0D11874	Bypass Upper Esophagus to Cutaneous with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D11876	Bypass Upper Esophagus to Stomach with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D11879	Bypass Upper Esophagus to Duodenum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D1187A	Bypass Upper Esophagus to Jejunum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D1187B	Bypass Upper Esophagus to Ileum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D118J4	Bypass Upper Esophagus to Cutaneous with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D118J6	Bypass Upper Esophagus to Stomach with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D118J9	Bypass Upper Esophagus to Duodenum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D118JA	Bypass Upper Esophagus to Jejunum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D118JB	Bypass Upper Esophagus to Ileum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D118K4	Bypass Upper Esophagus to Cutaneous with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D118K6	Bypass Upper Esophagus to Stomach with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic

ICD-10 Procedure Code	Code Description
0D118K9	Bypass Upper Esophagus to Duodenum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D118KA	Bypass Upper Esophagus to Jejunum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D118KB	Bypass Upper Esophagus to Ileum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D118Z4	Bypass Upper Esophagus to Cutaneous, Via Natural or Artificial Opening Endoscopic
0D118Z6	Bypass Upper Esophagus to Stomach, Via Natural or Artificial Opening Endoscopic
0D118Z9	Bypass Upper Esophagus to Duodenum, Via Natural or Artificial Opening Endoscopic
0D118ZA	Bypass Upper Esophagus to Jejunum, Via Natural or Artificial Opening Endoscopic
0D118ZB	Bypass Upper Esophagus to Ileum, Via Natural or Artificial Opening Endoscopic
0D12074	Bypass Middle Esophagus to Cutaneous with Autologous Tissue Substitute, Open Approach
0D12076	Bypass Middle Esophagus to Stomach with Autologous Tissue Substitute, Open Approach
0D12079	Bypass Middle Esophagus to Duodenum with Autologous Tissue Substitute, Open Approach
0D1207A	Bypass Middle Esophagus to Jejunum with Autologous Tissue Substitute, Open Approach
0D1207B	Bypass Middle Esophagus to Ileum with Autologous Tissue Substitute, Open Approach
0D120J4	Bypass Middle Esophagus to Cutaneous with Synthetic Substitute, Open Approach
0D120J6	Bypass Middle Esophagus to Stomach with Synthetic Substitute, Open Approach
0D120J9	Bypass Middle Esophagus to Duodenum with Synthetic Substitute, Open Approach
0D120JA	Bypass Middle Esophagus to Jejunum with Synthetic Substitute, Open Approach
0D120JB	Bypass Middle Esophagus to Ileum with Synthetic Substitute, Open Approach
0D120K4	Bypass Middle Esophagus to Cutaneous with Nonautologous Tissue Substitute, Open Approach
0D120K6	Bypass Middle Esophagus to Stomach with Nonautologous Tissue Substitute, Open Approach
0D120K9	Bypass Middle Esophagus to Duodenum with Nonautologous Tissue Substitute, Open Approach
0D120KA	Bypass Middle Esophagus to Jejunum with Nonautologous Tissue Substitute, Open Approach
0D120KB	Bypass Middle Esophagus to Ileum with Nonautologous Tissue Substitute, Open Approach
0D120Z4	Bypass Middle Esophagus to Cutaneous, Open Approach
0D120Z6	Bypass Middle Esophagus to Stomach, Open Approach
0D120Z9	Bypass Middle Esophagus to Duodenum, Open Approach
0D120ZA	Bypass Middle Esophagus to Jejunum, Open Approach
0D120ZB	Bypass Middle Esophagus to Ileum, Open Approach
0D123J4	Bypass Middle Esophagus to Cutaneous with Synthetic Substitute, Percutaneous Approach
0D12474	Bypass Middle Esophagus to Cutaneous with Autologous Tissue Substitute, Percutaneous Endoscopic Approach

ICD-10 Procedure Code	Code Description
0D12476	Bypass Middle Esophagus to Stomach with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D12479	Bypass Middle Esophagus to Duodenum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D1247A	Bypass Middle Esophagus to Jejunum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D1247B	Bypass Middle Esophagus to Ileum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D124J4	Bypass Middle Esophagus to Cutaneous with Synthetic Substitute, Percutaneous Endoscopic Approach
0D124J6	Bypass Middle Esophagus to Stomach with Synthetic Substitute, Percutaneous Endoscopic Approach
0D124J9	Bypass Middle Esophagus to Duodenum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D124JA	Bypass Middle Esophagus to Jejunum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D124JB	Bypass Middle Esophagus to Ileum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D124K4	Bypass Middle Esophagus to Cutaneous with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D124K6	Bypass Middle Esophagus to Stomach with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D124K9	Bypass Middle Esophagus to Duodenum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D124KA	Bypass Middle Esophagus to Jejunum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D124KB	Bypass Middle Esophagus to Ileum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D124Z4	Bypass Middle Esophagus to Cutaneous, Percutaneous Endoscopic Approach
0D124Z6	Bypass Middle Esophagus to Stomach, Percutaneous Endoscopic Approach
0D124Z9	Bypass Middle Esophagus to Duodenum, Percutaneous Endoscopic Approach
0D124ZA	Bypass Middle Esophagus to Jejunum, Percutaneous Endoscopic Approach
0D124ZB	Bypass Middle Esophagus to Ileum, Percutaneous Endoscopic Approach
0D12874	Bypass Middle Esophagus to Cutaneous with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D12876	Bypass Middle Esophagus to Stomach with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D12879	Bypass Middle Esophagus to Duodenum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D1287A	Bypass Middle Esophagus to Jejunum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D1287B	Bypass Middle Esophagus to Ileum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D128J4	Bypass Middle Esophagus to Cutaneous with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D128J6	Bypass Middle Esophagus to Stomach with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D128J9	Bypass Middle Esophagus to Duodenum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D128JA	Bypass Middle Esophagus to Jejunum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic

ICD-10 Procedure Code	Code Description
0D128JB	Bypass Middle Esophagus to Ileum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D128K4	Bypass Middle Esophagus to Cutaneous with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D128K6	Bypass Middle Esophagus to Stomach with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D128K9	Bypass Middle Esophagus to Duodenum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D128KA	Bypass Middle Esophagus to Jejunum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D128KB	Bypass Middle Esophagus to Ileum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D128Z4	Bypass Middle Esophagus to Cutaneous, Via Natural or Artificial Opening Endoscopic
0D128Z6	Bypass Middle Esophagus to Stomach, Via Natural or Artificial Opening Endoscopic
0D128Z9	Bypass Middle Esophagus to Duodenum, Via Natural or Artificial Opening Endoscopic
0D128ZA	Bypass Middle Esophagus to Jejunum, Via Natural or Artificial Opening Endoscopic
0D128ZB	Bypass Middle Esophagus to Ileum, Via Natural or Artificial Opening Endoscopic
0D13074	Bypass Lower Esophagus to Cutaneous with Autologous Tissue Substitute, Open Approach
0D13076	Bypass Lower Esophagus to Stomach with Autologous Tissue Substitute, Open Approach
0D13079	Bypass Lower Esophagus to Duodenum with Autologous Tissue Substitute, Open Approach
0D1307A	Bypass Lower Esophagus to Jejunum with Autologous Tissue Substitute, Open Approach
0D1307B	Bypass Lower Esophagus to Ileum with Autologous Tissue Substitute, Open Approach
0D130J4	Bypass Lower Esophagus to Cutaneous with Synthetic Substitute, Open Approach
0D130J6	Bypass Lower Esophagus to Stomach with Synthetic Substitute, Open Approach
0D130J9	Bypass Lower Esophagus to Duodenum with Synthetic Substitute, Open Approach
0D130JA	Bypass Lower Esophagus to Jejunum with Synthetic Substitute, Open Approach
0D130JB	Bypass Lower Esophagus to Ileum with Synthetic Substitute, Open Approach
0D130K4	Bypass Lower Esophagus to Cutaneous with Nonautologous Tissue Substitute, Open Approach
0D130K6	Bypass Lower Esophagus to Stomach with Nonautologous Tissue Substitute, Open Approach
0D130K9	Bypass Lower Esophagus to Duodenum with Nonautologous Tissue Substitute, Open Approach
0D130KA	Bypass Lower Esophagus to Jejunum with Nonautologous Tissue Substitute, Open Approach
0D130KB	Bypass Lower Esophagus to Ileum with Nonautologous Tissue Substitute, Open Approach
0D130Z4	Bypass Lower Esophagus to Cutaneous, Open Approach
0D130Z6	Bypass Lower Esophagus to Stomach, Open Approach
0D130Z9	Bypass Lower Esophagus to Duodenum, Open Approach
0D130ZA	Bypass Lower Esophagus to Jejunum, Open Approach
0D130ZB	Bypass Lower Esophagus to Ileum, Open Approach

ICD-10 Procedure Code	Code Description
0D133J4	Bypass Lower Esophagus to Cutaneous with Synthetic Substitute, Percutaneous Approach
0D13474	Bypass Lower Esophagus to Cutaneous with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D13476	Bypass Lower Esophagus to Stomach with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D13479	Bypass Lower Esophagus to Duodenum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D1347A	Bypass Lower Esophagus to Jejunum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D1347B	Bypass Lower Esophagus to Ileum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D134J4	Bypass Lower Esophagus to Cutaneous with Synthetic Substitute, Percutaneous Endoscopic Approach
0D134J6	Bypass Lower Esophagus to Stomach with Synthetic Substitute, Percutaneous Endoscopic Approach
0D134J9	Bypass Lower Esophagus to Duodenum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D134JA	Bypass Lower Esophagus to Jejunum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D134JB	Bypass Lower Esophagus to Ileum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D134K4	Bypass Lower Esophagus to Cutaneous with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D134K6	Bypass Lower Esophagus to Stomach with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D134K9	Bypass Lower Esophagus to Duodenum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D134KA	Bypass Lower Esophagus to Jejunum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D134KB	Bypass Lower Esophagus to Ileum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D134Z4	Bypass Lower Esophagus to Cutaneous, Percutaneous Endoscopic Approach
0D134Z6	Bypass Lower Esophagus to Stomach, Percutaneous Endoscopic Approach
0D134Z9	Bypass Lower Esophagus to Duodenum, Percutaneous Endoscopic Approach
0D134ZA	Bypass Lower Esophagus to Jejunum, Percutaneous Endoscopic Approach
0D134ZB	Bypass Lower Esophagus to Ileum, Percutaneous Endoscopic Approach
0D13874	Bypass Lower Esophagus to Cutaneous with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D13876	Bypass Lower Esophagus to Stomach with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D13879	Bypass Lower Esophagus to Duodenum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D1387A	Bypass Lower Esophagus to Jejunum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D1387B	Bypass Lower Esophagus to Ileum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D138J4	Bypass Lower Esophagus to Cutaneous with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D138J6	Bypass Lower Esophagus to Stomach with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic

ICD-10 Procedure Code	Code Description
0D138J9	Bypass Lower Esophagus to Duodenum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D138JA	Bypass Lower Esophagus to Jejunum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D138JB	Bypass Lower Esophagus to Ileum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D138K4	Bypass Lower Esophagus to Cutaneous with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D138K6	Bypass Lower Esophagus to Stomach with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D138K9	Bypass Lower Esophagus to Duodenum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D138KA	Bypass Lower Esophagus to Jejunum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D138KB	Bypass Lower Esophagus to Ileum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D138Z4	Bypass Lower Esophagus to Cutaneous, Via Natural or Artificial Opening Endoscopic
0D138Z6	Bypass Lower Esophagus to Stomach, Via Natural or Artificial Opening Endoscopic
0D138Z9	Bypass Lower Esophagus to Duodenum, Via Natural or Artificial Opening Endoscopic
0D138ZA	Bypass Lower Esophagus to Jejunum, Via Natural or Artificial Opening Endoscopic
0D138ZB	Bypass Lower Esophagus to Ileum, Via Natural or Artificial Opening Endoscopic
0D15074	Bypass Esophagus to Cutaneous with Autologous Tissue Substitute, Open Approach
0D15076	Bypass Esophagus to Stomach with Autologous Tissue Substitute, Open Approach
0D15079	Bypass Esophagus to Duodenum with Autologous Tissue Substitute, Open Approach
0D1507A	Bypass Esophagus to Jejunum with Autologous Tissue Substitute, Open Approach
0D1507B	Bypass Esophagus to Ileum with Autologous Tissue Substitute, Open Approach
0D150J4	Bypass Esophagus to Cutaneous with Synthetic Substitute, Open Approach
0D150J6	Bypass Esophagus to Stomach with Synthetic Substitute, Open Approach
0D150J9	Bypass Esophagus to Duodenum with Synthetic Substitute, Open Approach
0D150JA	Bypass Esophagus to Jejunum with Synthetic Substitute, Open Approach
0D150JB	Bypass Esophagus to Ileum with Synthetic Substitute, Open Approach
0D150K4	Bypass Esophagus to Cutaneous with Nonautologous Tissue Substitute, Open Approach
0D150K6	Bypass Esophagus to Stomach with Nonautologous Tissue Substitute, Open Approach
0D150K9	Bypass Esophagus to Duodenum with Nonautologous Tissue Substitute, Open Approach
0D150KA	Bypass Esophagus to Jejunum with Nonautologous Tissue Substitute, Open Approach
0D150KB	Bypass Esophagus to Ileum with Nonautologous Tissue Substitute, Open Approach
0D150Z4	Bypass Esophagus to Cutaneous, Open Approach
0D150Z6	Bypass Esophagus to Stomach, Open Approach
0D150Z9	Bypass Esophagus to Duodenum, Open Approach

ICD-10 Procedure Code	Code Description
0D150ZA	Bypass Esophagus to Jejunum, Open Approach
0D150ZB	Bypass Esophagus to Ileum, Open Approach
0D153J4	Bypass Esophagus to Cutaneous with Synthetic Substitute, Percutaneous Approach
0D15474	Bypass Esophagus to Cutaneous with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D15476	Bypass Esophagus to Stomach with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D15479	Bypass Esophagus to Duodenum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D1547A	Bypass Esophagus to Jejunum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D1547B	Bypass Esophagus to Ileum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D154J4	Bypass Esophagus to Cutaneous with Synthetic Substitute, Percutaneous Endoscopic Approach
0D154J6	Bypass Esophagus to Stomach with Synthetic Substitute, Percutaneous Endoscopic Approach
0D154J9	Bypass Esophagus to Duodenum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D154JA	Bypass Esophagus to Jejunum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D154JB	Bypass Esophagus to Ileum with Synthetic Substitute, Percutaneous Endoscopic Approach
0D154K4	Bypass Esophagus to Cutaneous with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D154K6	Bypass Esophagus to Stomach with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D154K9	Bypass Esophagus to Duodenum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D154KA	Bypass Esophagus to Jejunum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D154KB	Bypass Esophagus to Ileum with Nonautologous Tissue Substitute, Percutaneous Endoscopic Approach
0D154Z4	Bypass Esophagus to Cutaneous, Percutaneous Endoscopic Approach
0D154Z6	Bypass Esophagus to Stomach, Percutaneous Endoscopic Approach
0D154Z9	Bypass Esophagus to Duodenum, Percutaneous Endoscopic Approach
0D154ZA	Bypass Esophagus to Jejunum, Percutaneous Endoscopic Approach
0D154ZB	Bypass Esophagus to Ileum, Percutaneous Endoscopic Approach
0D15874	Bypass Esophagus to Cutaneous with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D15876	Bypass Esophagus to Stomach with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D15879	Bypass Esophagus to Duodenum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D1587A	Bypass Esophagus to Jejunum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D1587B	Bypass Esophagus to Ileum with Autologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D158J4	Bypass Esophagus to Cutaneous with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic

ICD-10 Procedure Code	Code Description
0D158J6	Bypass Esophagus to Stomach with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D158J9	Bypass Esophagus to Duodenum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D158JA	Bypass Esophagus to Jejunum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D158JB	Bypass Esophagus to Ileum with Synthetic Substitute, Via Natural or Artificial Opening Endoscopic
0D158K4	Bypass Esophagus to Cutaneous with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D158K6	Bypass Esophagus to Stomach with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D158K9	Bypass Esophagus to Duodenum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D158KA	Bypass Esophagus to Jejunum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D158KB	Bypass Esophagus to Ileum with Nonautologous Tissue Substitute, Via Natural or Artificial Opening Endoscopic
0D158Z4	Bypass Esophagus to Cutaneous, Via Natural or Artificial Opening Endoscopic
0D158Z6	Bypass Esophagus to Stomach, Via Natural or Artificial Opening Endoscopic
0D158Z9	Bypass Esophagus to Duodenum, Via Natural or Artificial Opening Endoscopic
0D158ZA	Bypass Esophagus to Jejunum, Via Natural or Artificial Opening Endoscopic
0D158ZB	Bypass Esophagus to Ileum, Via Natural or Artificial Opening Endoscopic
0DB10ZZ	Excision of Upper Esophagus, Open Approach
0DB13ZZ	Excision of Upper Esophagus, Percutaneous Approach
0DB14ZZ	Excision of Upper Esophagus, Percutaneous Endoscopic Approach
0DB17ZZ	Excision of Upper Esophagus, Via Natural or Artificial Opening
0DB18ZZ	Excision of Upper Esophagus, Via Natural or Artificial Opening Endoscopic
0DB20ZZ	Excision of Middle Esophagus, Open Approach
0DB23ZZ	Excision of Middle Esophagus, Percutaneous Approach
0DB24ZZ	Excision of Middle Esophagus, Percutaneous Endoscopic Approach
0DB27ZZ	Excision of Middle Esophagus, Via Natural or Artificial Opening
0DB28ZZ	Excision of Middle Esophagus, Via Natural or Artificial Opening Endoscopic
0DB30ZZ	Excision of Lower Esophagus, Open Approach
0DB33ZZ	Excision of Lower Esophagus, Percutaneous Approach
0DB34ZZ	Excision of Lower Esophagus, Percutaneous Endoscopic Approach
0DB37ZZ	Excision of Lower Esophagus, Via Natural or Artificial Opening
0DB38ZZ	Excision of Lower Esophagus, Via Natural or Artificial Opening Endoscopic
0DB50ZZ	Excision of Esophagus, Open Approach
0DB53ZZ	Excision of Esophagus, Percutaneous Approach
0DB54ZZ	Excision of Esophagus, Percutaneous Endoscopic Approach
0DB57ZZ	Excision of Esophagus, Via Natural or Artificial Opening
0DB58ZZ	Excision of Esophagus, Via Natural or Artificial Opening Endoscopic
0DT10ZZ	Resection of Upper Esophagus, Open Approach
0DT14ZZ	Resection of Upper Esophagus, Percutaneous Endoscopic Approach

ICD-10 Procedure Code	Code Description
0DT17ZZ	Resection of Upper Esophagus, Via Natural or Artificial Opening
0DT18ZZ	Resection of Upper Esophagus, Via Natural or Artificial Opening Endoscopic
0DT20ZZ	Resection of Middle Esophagus, Open Approach
0DT24ZZ	Resection of Middle Esophagus, Percutaneous Endoscopic Approach
0DT27ZZ	Resection of Middle Esophagus, Via Natural or Artificial Opening
0DT28ZZ	Resection of Middle Esophagus, Via Natural or Artificial Opening Endoscopic
0DT30ZZ	Resection of Lower Esophagus, Open Approach
0DT34ZZ	Resection of Lower Esophagus, Percutaneous Endoscopic Approach
0DT37ZZ	Resection of Lower Esophagus, Via Natural or Artificial Opening
0DT38ZZ	Resection of Lower Esophagus, Via Natural or Artificial Opening Endoscopic
0DT44ZZ	Resection of Esophagogastric Junction, Percutaneous Endoscopic Approach
0DT50ZZ	Resection of Esophagus, Open Approach
0DT54ZZ	Resection of Esophagus, Percutaneous Endoscopic Approach
0DT57ZZ	Resection of Esophagus, Via Natural or Artificial Opening
0DT58ZZ	Resection of Esophagus, Via Natural or Artificial Opening Endoscopic
0DT60ZZ	Resection of Stomach, Open Approach
0DT64ZZ	Resection of Stomach, Percutaneous Endoscopic Approach
0DT67ZZ	Resection of Stomach, Via Natural or Artificial Opening
0DT68ZZ	Resection of Stomach, Via Natural or Artificial Opening Endoscopic
0DX60Z5	Transfer Stomach to Esophagus, Open Approach
0DX64Z5	Transfer Stomach to Esophagus, Percutaneous Endoscopic Approach
0DX80Z5	Transfer Small Intestine to Esophagus, Open Approach
0DX84Z5	Transfer Small Intestine to Esophagus, Percutaneous Endoscopic Approach
0DXE0Z5	Transfer Large Intestine to Esophagus, Open Approach
0DXE4Z5	Transfer Large Intestine to Esophagus, Percutaneous End

ICD-10 Malignant Tumor and Carcinoma in Situ Diagnosis Codes

ICD-10 Diagnosis Code	Code Description
C15.3	Malignant neoplasm of upper third of esophagus
C15.4	Malignant neoplasm of middle third of esophagus
C15.5	Malignant neoplasm of lower third of esophagus
C15.8	Malignant neoplasm of overlapping sites of esophagus
C15.9	Malignant neoplasm of esophagus, unspecified
C16.0	Malignancy of the cardio-esophageal junction
D00.1	Carcinoma in situ of esophagus

Pancreatic Resection for Cancer Measure Specifications

For pancreatic resection for cancer, there are two sets of ICD-10 codes for counting patient discharges. The first set of codes is to identify patients who have had the procedure. The second set of codes is to identify patients with a specific diagnosis.

Count the number of patients, ages 18 years and older, discharged with the following ICD-10 codes in any procedure field AND any of the following ICD-10 codes in any diagnosis field.

ICD-10 Pancreatic Resection for Cancer Procedure Codes

ICD-10 Procedure Code	Code Description
0DB90ZZ	Excision of Duodenum, Open Approach
0DB93ZZ	Excision of Duodenum, Percutaneous Approach
0DB94ZZ	Excision of Duodenum, Percutaneous Endoscopic Approach
0DB97ZZ	Excision of Duodenum, Via Natural or Artificial Opening
0DB98ZZ	Excision of Duodenum, Via Natural or Artificial Opening Endoscopic
0DT90ZZ	Resection of Duodenum, Open Approach
0DT94ZZ	Resection of Duodenum, Percutaneous Endoscopic Approach
0DT97ZZ	Resection of Duodenum, Via Natural or Artificial Opening
0DT98ZZ	Resection of Duodenum, Via Natural or Artificial Opening Endoscopic
0FBG0ZZ	Excision of Pancreas, Open Approach
0FBG3ZZ	Excision of Pancreas, Percutaneous Approach
0FBG4ZZ	Excision of Pancreas, Percutaneous Endoscopic Approach
0FBG8ZZ	Excision of Pancreas, Via Natural or Artificial Opening Endoscopic
0FTG0ZZ	Resection of Pancreas, Open Approach
0FTG4ZZ	Resection of Pancreas, Percutaneous Endoscopic Approach

ICD-10 Malignant Tumor Diagnosis Codes

ICD-10 Diagnosis Code	Code Description
C7A.1	Malignant poorly differentiated neuroendocrine tumors
C7A.8	Other malignant neuroendocrine tumors
C17.0	Malignant neoplasm of duodenum
C24.0	Malignant neoplasm of extrahepatic bile duct
C24.1	Malignant neoplasm of ampulla of Vater
C24.8	Malignant neoplasm of overlapping sites of biliary tract
C24.9	Malignant neoplasm of biliary tract, unspecified
C25.0	Malignant neoplasm of head of pancreas
C25.1	Malignant neoplasm of body of pancreas
C25.2	Malignant neoplasm of tail of pancreas
C25.3	Malignant neoplasm of pancreatic duct
C25.4	Malignant neoplasm of endocrine pancreas
C25.7	Malignant neoplasm of other parts of pancreas
C25.8	Malignant neoplasm of overlapping sites of pancreas
C25.9	Malignant neoplasm of pancreas, unspecified

Rectal Cancer Surgery Measure Specifications

For rectal cancer surgery, there are two sets of ICD-10 codes for counting patient discharges. The first set of codes is to identify patients who have had the procedure. The second set of codes is to identify patients with a specific diagnosis.

Count the number of patients, ages 18 years and older, discharged with the following ICD-10 codes in any procedure field AND any of the following ICD-10 codes in any diagnosis field.

ICD-10 Rectal Cancer Surgery Procedure Codes

ICD-10 Procedure Code	Code Description
0DBP0ZZ	Excision of Rectum, Open Approach
0DBP3ZZ	Excision of Rectum, Percutaneous Approach
0DBP4ZZ	Excision of Rectum, Percutaneous Endoscopic Approach
0DBP7ZZ	Excision of Rectum, Via Natural or Artificial Opening
0DBP8ZZ	Excision of rectum, via natural or artificial opening endoscopic
0DTP0ZZ	Resection of Rectum, Open Approach
0DTP4ZZ	Resection of Rectum, Percutaneous Endoscopic Approach
0DTP7ZZ	Resection of Rectum, Via Natural or Artificial Opening
0DTP8ZZ	Resection of Rectum, Via Natural or Artificial Opening Endoscopic

ICD-10 Malignant Tumor and Carcinoma in Situ Diagnosis Codes

ICD-10 Diagnosis Code	Code Description
C19	Malignant neoplasm of rectosigmoid junction
C20	Malignant neoplasm of rectum
C21.0	Malignant neoplasm of anus, unspecified
C21.1	Malignant neoplasm of anal canal
C21.2	Malignant neoplasm of cloacogenic zone
C21.8	Malignant neoplasm of overlapping sites of rectum, anus and anal canal
C78.5	Secondary malignant neoplasm of large intestine and rectum
D01.1	Carcinoma in situ of rectosigmoid junction
D01.2	Carcinoma in situ of rectum
D01.3	Carcinoma in situ of anus and anal canal

Bariatric Surgery for Weight Loss Measure Specifications

For bariatric surgery for weight loss, there are three sets of codes. One set of ICD-10 codes for counting inpatient discharges and one set of CPT codes for counting outpatient discharges. The first two sets of codes are to identify patients who have had the procedure. The third set of codes (ICD-10 diagnosis codes) is to identify patients with a specific diagnosis.

To determine the number of patients, ages 18 years and older, discharged for this procedure, hospitals have two options:

1. Count the number of patients, ages 18 years and older, discharged with the following ICD-10 codes or the CPT Codes in any procedure field AND any of the following ICD-10 codes in the **primary** diagnosis field. This method does not require chart review.
2. Count the number of patients, ages 18 years and older, discharged with the following ICD-10 codes or the CPT Codes in any procedure field AND any of the following ICD-10 codes in any diagnosis field. In addition, the procedure must have been done **explicitly for weight loss purposes** (i.e., presence of one of the diagnosis codes is necessary, but not sufficient for inclusion). This method requires chart review to ensure the procedure was performed explicitly for weight loss purposes.

ICD-10 Bariatric Surgery Procedure Codes

ICD-10 Procedure Code	Code Description
0D16079	Bypass Stomach to Duodenum with Autologous Tissue Substitute, Open Approach
0D1607A	Bypass Stomach to Jejunum with Autologous Tissue Substitute, Open Approach
0D1607B	Bypass Stomach to Ileum with Autologous Tissue Substitute, Open Approach
0D160Z9	Bypass Stomach to Duodenum, Open Approach
0D160ZA	Bypass Stomach to Jejunum, Open Approach
0D160ZB	Bypass Stomach to Ileum, Open Approach
0D16479	Bypass Stomach to Duodenum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D1647A	Bypass Stomach to Jejunum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D1647B	Bypass Stomach to Ileum with Autologous Tissue Substitute, Percutaneous Endoscopic Approach
0D164Z9	Bypass Stomach to Duodenum, Percutaneous Endoscopic Approach
0D164ZA	Bypass Stomach to Jejunum, Percutaneous Endoscopic Approach
0D164ZB	Bypass Stomach to Ileum, Percutaneous Endoscopic Approach
0DB60Z3	Excision of Stomach, Open Approach, Vertical
0DB60ZZ	Excision of Stomach, Open Approach
0DB63Z3	Excision of Stomach, Percutaneous Approach, Vertical
0DB63ZZ	Excision of Stomach, Percutaneous Approach
0DB64Z3	Excision of Stomach, Percutaneous Endoscopic Approach, Vertical
0DB64ZZ	Excision of Stomach, Percutaneous Endoscopic Approach

ICD-10 Morbid Obesity Diagnosis Codes

ICD-10 Diagnosis Code	Code Description
E66.01	Morbid (severe) obesity due to excess calories
E66.09	Other obesity due to excess calories

ICD-10 Diagnosis Code	Code Description
E66.8	Other obesity
Z68.35	Body mass index (BMI) 35.0-35.9, adult
Z68.36	Body mass index (BMI) 36.0-36.9, adult
Z68.37	Body mass index (BMI) 37.0-37.9, adult
Z68.38	Body mass index (BMI) 38.0-38.9, adult
Z68.39	Body mass index (BMI) 39.0-39.9, adult
Z68.41	Body mass index (BMI) 40.0-44.9, adult
Z68.42	Body mass index (BMI) 45.0-49.9, adult
Z68.43	Body mass index (BMI) 50-59.9, adult
Z68.44	Body mass index (BMI) 60.0-69.9, adult
Z68.45	Body mass index (BMI) 70 or greater, adult

CPT Bariatric Surgery for Weight Loss Procedure Codes

Leapfrog has provided a set of CPT codes for counting **patients** discharged from your hospital who have undergone the procedure during the reporting period on an outpatient basis.

CPT Codes are provided in downloadable Excel files on the Survey Dashboard. To access the files, click the CPT Code Workbook button next to Section 9. You will be required to complete the American Medical Association's Terms of Use before downloading the Excel file and using the individual CPT codes to query your EHR or billing system. You are only required to complete the Terms of Use once per Survey Cycle (April 1 – November 30).

The CPT Code Workbooks (Excel files) are labeled for Section 3A: Total Hip Replacement, Total Knee Replacement, and Bariatric Surgery for Weight Loss and Section 9C: Volume of Procedures. Please note, if you are part of a hospital system, each hospital will need to complete the Terms of Use. This is a requirement of the American Medical Association.

Using the CPT codes found for Bariatric Surgery for Weight Loss in the "Instructions" sheet, count the total number of adult (18 years of age or older) patients discharged for the procedures with the CPT codes listed AND any of the above ICD-10 diagnosis codes in the **primary** diagnosis field. The CPT codes can be in any procedure field.

Total Knee Replacement Measure Specifications

For total knee replacement, there are two sets of codes. One set of ICD-10 codes for counting inpatient discharges and one CPT code for counting outpatient discharges. The two sets of codes are to identify patients who have had the procedure.

To determine the number of patients, ages 18 years and older, discharged for this procedure, hospitals have two options:

- Count the number of patients, ages 18 years and older, discharged with any of the following ICD-10 codes in the **primary** procedure field or the CPT Code in any procedure field. This method does not require chart review. Hospitals should report on total knee replacement procedures performed in the outpatient locations included in Section 9: Outpatient Procedures.
- Count the number of patients, ages 18 years and older, discharged with any of the following ICD-10 codes or CPT Code in any procedure field. **For inpatient cases using ICD-10 codes, exclude any patients where the procedure was done as a revision** (i.e., the presence of one of the procedure codes is necessary, but not sufficient for inclusion). This method requires chart review of inpatient cases to ensure that revisions are not included. Chart review is not required for outpatient cases using the specified CPT Code. Hospitals should report on total knee replacement procedures performed in the outpatient locations included in Section 9: Outpatient Procedures.

ICD-10 Total Knee Replacement Procedure Codes

ICD10 Procedure Code	Code Description
0SRC0J9	Replacement of Right Knee Joint with Synthetic Substitute, Cemented, Open Approach
0SRC0JA	Replacement of Right Knee Joint with Synthetic Substitute, Uncemented, Open Approach
0SRC0JZ	Replacement of Right Knee Joint with Synthetic Substitute, Open Approach
0SRD0J9	Replacement of Left Knee Joint with Synthetic Substitute, Cemented, Open Approach
0SRD0JA	Replacement of Left Knee Joint with Synthetic Substitute, Uncemented, Open Approach
0SRD0JZ	Replacement of Left Knee Joint with Synthetic Substitute, Open Approach
0SRC069	Replacement of Right Knee Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Cemented, Open Approach
0SRC06A	Replacement of Right Knee Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Uncemented, Open Approach
0SRC06Z	Replacement of Right Knee Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Open Approach
0SRD069	Replacement of Left Knee Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Cemented, Open Approach
0SRD06A	Replacement of Left Knee Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Uncemented, Open Approach
0SRD06Z	Replacement of Left Knee Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Open Approach

CPT Total Knee Replacement Procedure Code

Leapfrog has provided a CPT code for counting **patients** discharged from your hospital who have undergone the procedure during the reporting period on an outpatient basis.

CPT Codes are provided in downloadable Excel files on the Survey Dashboard. To access the files, click the CPT Code Workbook button next to Section 9. You will be required to complete the American Medical

Association’s Terms of Use before downloading the Excel file and using the individual CPT codes to query your EHR or billing system. You are only required to complete the Terms of Use once per Survey Cycle (April 1 – November 30).

The CPT Code Workbooks (Excel files) are labeled for Section 3A: Total Hip Replacement, Total Knee Replacement, and Bariatric Surgery for Weight Loss and Section 9C: Volume of Procedures. Please note, if you are part of a hospital system, each hospital will need to complete the Terms of Use. This is a requirement of the American Medical Association.

Using the CPT code found for Total Knee Replacement in the “Instructions” sheet, count the total number of adult (18 years of age or older) patients discharged for the procedure with the CPT code listed. The CPT code can be in any procedure field.

Total Hip Replacement Measure Specifications

For total hip replacement, there are two sets of codes. One set of ICD-10 codes for counting inpatient discharges and one CPT code for counting outpatient discharges. The two sets of codes are to identify patients who have had the procedure.

To determine the number of patients, ages 18 years and older, discharged for this procedure, hospitals have two options:

- Count the number of patients, ages 18 years and older, discharged with any of the following ICD-10 codes in the **primary** procedure field or the CPT code in any procedure field. This method does not require chart review. Hospitals should report on total hip replacement procedures performed in the outpatient locations included in Section 9: Outpatient Procedures.
- Count the number of patients, ages 18 years and older, discharged with any of the following ICD-10 codes or the CPT code in any procedure field. **For inpatient cases using ICD-10 codes, exclude any patients where the procedure was done as a revision** (i.e., the presence of one of the procedure codes is necessary, but not sufficient for inclusion). This method requires chart review of inpatient cases to ensure that revisions are not included. Chart review is not required for outpatient cases using the specified CPT Code. Hospitals should report on total hip replacement procedures performed in the outpatient locations included in Section 9: Outpatient Procedures.

ICD-10 Total Hip Replacement Procedure Codes

ICD10 Procedure Code	Code Description
0SR9019	Replacement of Right Hip Joint with Metal Synthetic Substitute, Cemented, Open Approach
0SR901A	Replacement of Right Hip Joint with Metal Synthetic Substitute, Uncemented, Open Approach
0SR901Z	Replacement of Right Hip Joint with Metal Synthetic Substitute, Open Approach
0SR9029	Replacement of Right Hip Joint with Metal on Polyethylene Synthetic Substitute, Cemented, Open Approach
0SR902A	Replacement of Right Hip Joint with Metal on Polyethylene Synthetic Substitute, Uncemented, Open Approach
0SR902Z	Replacement of Right Hip Joint with Metal on Polyethylene Synthetic Substitute, Open Approach
0SR9039	Replacement of Right Hip Joint with Ceramic Synthetic Substitute, Cemented, Open Approach
0SR903A	Replacement of Right Hip Joint with Ceramic Synthetic Substitute, Uncemented, Open Approach
0SR903Z	Replacement of Right Hip Joint with Ceramic Synthetic Substitute, Open Approach
0SR9049	Replacement of Right Hip Joint with Ceramic on Polyethylene Synthetic Substitute, Cemented, Open Approach
0SR904A	Replacement of Right Hip Joint with Ceramic on Polyethylene Synthetic Substitute, Uncemented, Open Approach
0SR904Z	Replacement of Right Hip Joint with Ceramic on Polyethylene Synthetic Substitute, Open Approach
0SR90J9	Replacement of Right Hip Joint with Synthetic Substitute, Cemented, Open Approach
0SR90JA	Replacement of Right Hip Joint with Synthetic Substitute, Uncemented, Open Approach
0SR90JZ	Replacement of Right Hip Joint with Synthetic Substitute, Open Approach
0SRA009	Replacement of Right Hip Joint, Acetabular Surface with Polyethylene Synthetic Substitute, Cemented, Open Approach
0SRA00A	Replacement of Right Hip Joint, Acetabular Surface with Polyethylene Synthetic Substitute, Uncemented, Open Approach

0SRA00Z	Replacement of Right Hip Joint, Acetabular Surface with Polyethylene Synthetic Substitute, Open Approach
0SRA019	Replacement of Right Hip Joint, Acetabular Surface with Metal Synthetic Substitute, Cemented, Open Approach
0SRA01A	Replacement of Right Hip Joint, Acetabular Surface with Metal Synthetic Substitute, Uncemented, Open Approach
0SRA01Z	Replacement of Right Hip Joint, Acetabular Surface with Metal Synthetic Substitute, Open Approach
0SRA039	Replacement of Right Hip Joint, Acetabular Surface with Ceramic Synthetic Substitute, Cemented, Open Approach
0SRA03A	Replacement of Right Hip Joint, Acetabular Surface with Ceramic Synthetic Substitute, Uncemented, Open Approach
0SRA03Z	Replacement of Right Hip Joint, Acetabular Surface with Ceramic Synthetic Substitute, Open Approach
0SRA0J9	Replacement of Right Hip Joint, Acetabular Surface with Synthetic Substitute, Cemented, Open Approach
0SRA0JA	Replacement of Right Hip Joint, Acetabular Surface with Synthetic Substitute, Uncemented, Open Approach
0SRA0JZ	Replacement of Right Hip Joint, Acetabular Surface with Synthetic Substitute, Open Approach
0SRB019	Replacement of Left Hip Joint with Metal Synthetic Substitute, Cemented, Open Approach
0SRB01A	Replacement of Left Hip Joint with Metal Synthetic Substitute, Uncemented, Open Approach
0SRB01Z	Replacement of Left Hip Joint with Metal Synthetic Substitute, Open Approach
0SRB029	Replacement of Left Hip Joint with Metal on Polyethylene Synthetic Substitute, Cemented, Open Approach
0SRB02A	Replacement of Left Hip Joint with Metal on Polyethylene Synthetic Substitute, Uncemented, Open Approach
0SRB02Z	Replacement of Left Hip Joint with Metal on Polyethylene Synthetic Substitute, Open Approach
0SRB039	Replacement of Left Hip Joint with Ceramic Synthetic Substitute, Cemented, Open Approach
0SRB03A	Replacement of Left Hip Joint with Ceramic Synthetic Substitute, Uncemented, Open Approach
0SRB03Z	Replacement of Left Hip Joint with Ceramic Synthetic Substitute, Open Approach
0SRB049	Replacement of Left Hip Joint with Ceramic on Polyethylene Synthetic Substitute, Cemented, Open Approach
0SRB04A	Replacement of Left Hip Joint with Ceramic on Polyethylene Synthetic Substitute, Uncemented, Open Approach
0SRB04Z	Replacement of Left Hip Joint with Ceramic on Polyethylene Synthetic Substitute, Open Approach
0SRB0J9	Replacement of Left Hip Joint with Synthetic Substitute, Cemented, Open Approach
0SRB0JA	Replacement of Left Hip Joint with Synthetic Substitute, Uncemented, Open Approach
0SRB0JZ	Replacement of Left Hip Joint with Synthetic Substitute, Open Approach
0SRE009	Replacement of Left Hip Joint, Acetabular Surface with Polyethylene Synthetic Substitute, Cemented, Open Approach
0SRE00A	Replacement of Left Hip Joint, Acetabular Surface with Polyethylene Synthetic Substitute, Uncemented, Open Approach
0SRE00Z	Replacement of Left Hip Joint, Acetabular Surface with Polyethylene Synthetic Substitute, Open Approach
0SRE019	Replacement of Left Hip Joint, Acetabular Surface with Metal Synthetic Substitute, Cemented, Open Approach

0SRE01A	Replacement of Left Hip Joint, Acetabular Surface with Metal Synthetic Substitute, Uncemented, Open Approach
0SRE01Z	Replacement of Left Hip Joint, Acetabular Surface with Metal Synthetic Substitute, Open Approach
0SRE039	Replacement of Left Hip Joint, Acetabular Surface with Ceramic Synthetic Substitute, Cemented, Open Approach
0SRE03A	Replacement of Left Hip Joint, Acetabular Surface with Ceramic Synthetic Substitute, Uncemented, Open Approach
0SRE03Z	Replacement of Left Hip Joint, Acetabular Surface with Ceramic Synthetic Substitute, Open Approach
0SRE0J9	Replacement of Left Hip Joint, Acetabular Surface with Synthetic Substitute, Cemented, Open Approach
0SRE0JA	Replacement of Left Hip Joint, Acetabular Surface with Synthetic Substitute, Uncemented, Open Approach
0SRE0JZ	Replacement of Left Hip Joint, Acetabular Surface with Synthetic Substitute, Open Approach
0SR9069	Replacement of Right Hip Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Cemented, Open Approach
0SR906A	Replacement of Right Hip Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Uncemented, Open Approach
0SR906Z	Replacement of Right Hip Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Open Approach
0SRB069	Replacement of Left Hip Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Cemented, Open Approach
0SRB06A	Replacement of Left Hip Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Uncemented, Open Approach
0SRB06Z	Replacement of Left Hip Joint with Oxidized Zirconium on Polyethylene Synthetic Substitute, Open Approach

CPT Total Hip Replacement Procedure Code

Leapfrog has provided a CPT code for counting **patients** discharged from your hospital who have undergone the procedure during the reporting period on an outpatient basis.

CPT Codes are provided in downloadable Excel files on the Survey Dashboard. To access the files, click the CPT Code Workbook button next to Section 9. You will be required to complete the American Medical Association's Terms of Use before downloading the Excel file and using the individual CPT codes to query your EHR or billing system. You are only required to complete the Terms of Use once per Survey Cycle (April 1 – November 30).

The CPT Code Workbooks (Excel files) are labeled for Section 3A: Total Hip Replacement, Total Knee Replacement, and Bariatric Surgery for Weight Loss and Section 9C: Volume of Procedures. Please note, if you are part of a hospital system, each hospital will need to complete the Terms of Use. This is a requirement of the American Medical Association.

Using the CPT code found for Total Hip Replacement in the "Instructions" sheet, count the total number of adult (18 years of age or older) patients discharged for the procedure with the CPT code listed. The CPT code can be in any procedure field.

Norwood Procedure Measure Specifications

For Norwood procedure, there are two sets of ICD-10 procedure codes for counting patient discharges. For a patient to be counted, they must have at least one ICD-10 procedure code from each list.

The first set of codes is to identify patients who have had an arch repair. The second set of codes is to identify patients who have had a shunt.

Count the number of patients, ages 17 years and younger, discharged with at least one of the following ICD-10 procedure codes from each list in any procedure field (i.e., each patient discharge will need to have at least one ICD-10 procedure code from the arch repair set AND at least one ICD-10 procedure code from the shunt set). Both procedures would need to be done as part of the same operative session.

ICD-10 Arch Repair Procedure Codes

ICD-10 Procedure Code	Code Description
02UX07Z	Supplement Thoracic Aorta, Ascending/Arch with Autologous Tissue Substitute, Open Approach
02UX0JZ	Supplement Thoracic Aorta, Ascending/Arch with Synthetic Substitute, Open Approach
02UX0KZ	Supplement Thoracic Aorta, Ascending/Arch with Nonautologous Tissue Substitute, Open Approach

ICD-10 Shunt Procedure Codes

ICD-10 Procedure Code	Code Description
021K08P	Bypass Right Ventricle to Pulmonary Trunk with Zooplastic Tissue Substitute, Open Approach
021K08Q	Bypass Right Ventricle to Right Pulmonary Artery with Zooplastic Tissue Substitute, Open Approach
021K08R	Bypass Right Ventricle to Left Pulmonary Artery with Zooplastic Tissue Substitute, Open Approach
021K09P	Bypass Right Ventricle to Pulmonary Trunk with Autologous Venous Tissue, Open Approach
021K09Q	Bypass Right Ventricle to Right Pulmonary Artery with Autologous Venous Tissue, Open Approach
021K09R	Bypass Right Ventricle to Right Pulmonary Artery with Autologous Venous Tissue, Open Approach
021K0AP	Bypass Right Ventricle to Pulmonary Trunk with Autologous Arterial Tissue, Open Approach
021K0AQ	Bypass Right Ventricle to Right Pulmonary Artery with Autologous Arterial Tissue, Open Approach
021K0AR	Bypass Right Ventricle to Right Pulmonary Artery with Autologous Arterial Tissue, Open Approach
021K0JP	Bypass Right Ventricle to Pulmonary Trunk with Synthetic Substitute, Open Approach
021K0JQ	Bypass Right Ventricle to Right Pulmonary Artery with Synthetic Substitute, Open Approach
021K0JR	Bypass Right Ventricle to Left Pulmonary Artery with Synthetic Substitute, Open Approach
021K0KP	Bypass Right Ventricle to Pulmonary Trunk with Nonautologous Tissue Substitute, Open Approach
021K0KQ	Bypass Right Ventricle to Right Pulmonary Artery with Nonautologous Tissue Substitute, Open Approach

ICD-10 Procedure Code	Code Description
021K0KR	Bypass Right Ventricle to Right Pulmonary Artery with Nonautologous Tissue Substitute, Open Approach
021Q08A	Bypass Right Pulmonary Artery from Innominate Artery with Zooplastic Tissue, Open Approach
021Q08B	Bypass Right Pulmonary Artery from Subclavian with Zooplastic Tissue, Open Approach
021Q08D	Bypass Right Pulmonary Artery from Carotid with Zooplastic Tissue, Open Approach
021Q09A	Bypass Right Pulmonary Artery from Innominate Artery with Autologous Venous Tissue, Open Approach
021Q09B	Bypass Right Pulmonary Artery from Subclavian with Autologous Venous Tissue, Open Approach
021Q09D	Bypass Right Pulmonary Artery from Carotid with Autologous Venous Tissue, Open Approach
021Q0AA	Bypass Right Pulmonary Artery from Innominate Artery with Autologous Arterial Tissue, Open Approach
021Q0AB	Bypass Right Pulmonary Artery from Subclavian with Autologous Arterial Tissue, Open Approach
021Q0AD	Bypass Right Pulmonary Artery from Carotid with Autologous Arterial Tissue, Open Approach
021Q0JA	Bypass Right Pulmonary Artery from Innominate with Synthetic Substitute, Open Approach
021Q0JB	Bypass Right Pulmonary Artery from Subclavian with Synthetic Substitute, Open Approach
021Q0JD	Bypass Right Pulmonary Artery from Carotid with Synthetic Substitute, Open Approach
021Q0KA	Bypass Right Pulmonary Artery from Innominate Artery with Nonautologous Tissue Substitute, Open Approach
021Q0KB	Bypass Right Pulmonary Artery from Subclavian with Nonautologous Tissue Substitute, Open Approach
021Q0KD	Bypass Right Pulmonary Artery from Carotid with Nonautologous Tissue Substitute, Open Approach
021V0ZP	Bypass Superior Vena Cava to Pulmonary Trunk, Open Approach
021V0ZQ	Bypass Superior Vena Cava to Right Pulmonary Artery, Open Approach
021V0ZR	Bypass Superior Vena Cava to Left Pulmonary Artery, Open Approach

Safe Surgery Checklist for Adult and Pediatric Complex Surgery Measure Specifications

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Source: The Leapfrog Group, WHO Surgical Safety Checklist, AHRQ Endoscopy Checklist
Reporting Period: Latest 6-month period prior to submission of this section of the Survey
<p>Safe Surgery Checklist Workbook (Excel)</p> <p>To complete the data collection and respond to questions #6-8, hospitals should download the Safe Surgery Checklist Workbook (Excel). This workbook includes five tabs: Instructions, Section 3C (Complex Surgery), Section 3C – Data Entry, Section 9D (Outpatient Procedures), Section 9D – Data Entry. The Section 3C tabs can be used to complete the safe surgery checklist audits for this subsection and to calculate the response to select in the Online Survey Tool for question #8.</p> <p>The workbook is available on the Survey Materials Webpage.</p>
<p>Sampling:</p> <p>Hospitals that perform procedures in both Section 3A and Section 9C, and submit both Section 3 and 9, can randomly sample 15 patients (who had one of the procedures included in Section 3A) and measure and report adherence to the safe surgery checklist based on that sample.</p> <p>Hospitals that ONLY perform the procedures in Section 3A, or that ONLY submit Section 3, must randomly sample 30 patients (who had one of the procedures included in Section 3A) and measure and report adherence to the safe surgery checklist based on that sample.</p> <p>When sampling from a larger population of cases, hospitals that perform multiple procedures (e.g., bariatric surgery for weight loss, total hip replacement, total knee replacement, etc.) included in Section 3A and hospitals that perform procedures for both adult and pediatric patients (e.g., the Norwood Procedures) should obtain a representative sample (i.e., include patients who underwent different procedures and include both adult and pediatric patients, if applicable).</p> <p><i>Free-standing pediatric hospitals that perform the Norwood Procedure and hospitals that reported a combined total hospital volume of less than the sufficient sample for all the procedures in Section 3A can sample any patients that had a procedure performed under general anesthesia.</i></p>
<p>Question #6: Did your hospital perform an audit (either in-person or via the medical record or other EHR data) on a sufficient sample of patients who underwent a procedure included in Section 3A and measure adherence to the safe surgery checklist?</p> <p>To respond “yes” to question #6, hospitals must measure and document whether all the elements in questions #3, #4, and #5 were verbalized in the presence of the appropriate personnel for each sampled case. Hospitals that completed the audit should respond “yes” to this question regardless of whether the adherence to the checklist was 100%. Hospitals will report on adherence to the checklist in question #8.</p>
<p>Question #7: What method was used to perform the audit on a sufficient sample in question #6?</p> <p>Hospitals can only perform a retrospective audit by reviewing medical records or EHR data if adherence to the safe surgery checklist is clearly documented in the medical record or EHR and the documentation clearly demonstrates <u>that each element was verbalized in the presence of the appropriate personnel</u>. If hospitals cannot clearly determine whether (a) the checklist element was verbalized and (b) that the appropriate personnel were present via a retrospective audit, an in-person observational audit must be completed.</p> <p>Hospitals can perform the in-person audits up to six months prior to and during the reporting period.</p>

Question #8: Based on your hospital's audit (either in-person or via the medical record or other EHR data) on a sufficient sample of patients who underwent a procedure included in Section 3A, what was your hospital's documented rate of adherence to the safe surgery checklist (i.e., what percentage of the sampled cases had all elements in questions #3, #4, and #5 completed)?

Based on the audit completed for question #6, determine the total number of patient audits where **all** elements of the safe surgery checklist (included in questions #3, #4, and #5) were read aloud in the presence of the appropriate personnel 1) before the induction of anesthesia, 2) before the skin incision and/or the procedure began, and 3) before the patient left the operating and/or procedure room.

Included cases:

- The patient audit shows that **all** elements of the safe surgery checklist, at **all** three time points, were read aloud in the presence of the appropriate personnel.

Excluded cases:

- The patient audit does **not** demonstrate that all elements of the safe surgery checklist were read aloud in the presence of the appropriate personnel (i.e., one or more elements of the checklist were not read aloud or the appropriate personnel were not present during the checklist).
- The patient audit does **not** demonstrate that the safe surgery checklist was read aloud in the presence of the appropriate personnel at all three time points (i.e., before anesthesia, before skin incision, and before the patient leaves).

Important Note: If a Safe Surgery Checklist element includes the qualifier “if applicable,” hospitals should determine if that element of the checklist was applicable to the patient and procedure being performed. If the element was determined to be “not applicable” to the patient and procedure, it does not count against the total adherence. For example, if your hospital performed a bariatric surgery for weight loss, the availability of a device on-site would not be applicable. Therefore, when completing the Safe Surgery Checklist Workbook for this patient, indicate N/A for that element (device on-site) and your hospital would be able to count that case as adherent.

See [FAQs](#) for additional information about responding to the questions in this section.

Adult and Pediatric Complex Surgery Frequently Asked Questions (FAQs)

General Questions

1. Does this section apply to critical access hospitals?

Yes. In general, critical access hospitals do not perform many of the procedures that are included in this section, but if the critical access hospital does perform the procedure, the standards still apply.

2. Should we select a procedure from the list in Section 3A question #2 if we only perform that procedure on an outpatient basis?

Hospitals that only perform total hip replacements, total knee replacements, and/or bariatric surgery for weight loss on an outpatient basis should select and report on those procedures in Section 3A.

Otherwise, hospitals should not select and report on the remaining eight procedures if the procedures are always scheduled with the intention of the patient being released on the same day.

If some of the procedures are scheduled with the intention of the patient being admitted and staying in the hospital overnight, the hospital should report on performing the procedure in question #2 and then **ONLY** report on the total number of patients discharged following an inpatient stay.

3. My hospital has a tumor center that only performs total hip replacements and total knee replacements as they come up during a tumor removal surgery, should we select these procedures from the list in Section 3A question #2?

If your hospital has a tumor center where orthopedic tumor surgeries are performed and the **ONLY** total hip replacement and/or total knee replacement procedures ever done at that hospital are for the treatment of the tumor, you should not select and report on total hip replacements or total knee replacements in Section 3A.

Hospital Volume

4. How should hospitals calculate volume using a 24-month annual average?

To report on a 24-month annual average, calculate the total volume over the past 24 months, and then divide by 2 (i.e., the volume of year one plus the volume of year 2 divided by two equals the 24-month annual average).

5. Can we count patients who have had one of the procedures listed in Section 3A in combination with another procedure not listed in Section 3A?

Yes, hospitals should count all patients that meet the criteria specified in the [Hospital and Surgeon Volume Measure Specifications](#). For example, if a patient has a CABG and a mitral valve repair done at the same time, the hospital should count the patient when calculating total volume for mitral valve repair and replacement.

6. When counting patients, should we only include those patients who had the procedure performed on a scheduled basis?

No. Once a hospital selects a procedure from the list in question #2, they should use the measure specifications for each procedure to count all patients that meet the criteria. This includes patients who had the procedure performed urgently.

7. If a hospital elects to begin a new service line of procedures, how should the hospital report its volume and surgeon volumes while establishing the new line?

Leapfrog gives hospitals an 18-month grace period before having to report on hospital and surgeon volume for a new procedure. From the day that the hospital performs the procedure for the first time, the hospital and its surgeons will have 18 months to reach the annual volume standard. During this period, the hospital does not have to report its procedure volumes for the hospital or surgeons. However, once the hospital reaches the end of the 18-month grace period, it must report its hospital and surgeon procedure volume.

8. How should we deal with a temporary drop in volume due to losing a surgeon's service?

To accommodate fluctuations in hospital volumes, hospitals have the option of reporting on their average case volumes over a 24-month period.

9. Can we count minimally invasive and/or robotic Mitral Valve Repair and Replacement procedures towards our hospital's volume?

Yes, the ICD-10 codes Leapfrog has provided for this procedure will capture minimally invasive and/or robotic MVR procedures in the hospital's count as these are the codes used for those cases.

10. Our hospital has a free-standing outpatient surgery center that shares our license and/or CMS Certification Number (CCN). Many of our same day total hip replacements, total knee replacements, and bariatric surgery for weight loss procedures are performed there. Can we include these procedures in our total hospital volume when responding to question #3 in Section 3A?

Yes, hospitals may count patient discharges with the appropriate CPT procedure code during the reporting period from hospital outpatient departments that share your hospital's license and/or CMS Certification Number (CCN), including, but not limited to free-standing surgery centers and hospital outpatient departments.

Surgeon Volume

11. Does the specific procedure and minimum annual surgeon volume standard listed need to be included in our process for privileging surgeons?

Yes. Hospitals must ensure that the specific procedure (as defined using the ICD-10 and CPT procedure codes) and minimum annual surgeon volume standard are included in your process for privileging surgeons. Diagnosis codes can be ignored.

12. Does our privileging process for surgeons have to include the annual surgeon volume standard for initial privileging only or ongoing/renewal of privileging as well?

Both. Leapfrog's minimum annual surgeon volume standards should be fully integrated into your hospital's process for privileging surgeons, including both initial and ongoing privileging. There are two exceptions:

- a. Surgeons who have just finished training: see [FAQ #14](#) below.
- b. Surgeons who were not active for the entire reporting period: see [FAQ #15](#) below.

13. When counting annual surgeon volume for the purposes of privileging, should we consider procedures performed by the surgeon at other hospitals?

Yes. When determining whether a surgeon has met or has exceeded Leapfrog's minimum annual surgeon volume standard, we expect that hospitals will consider total experience in the privileging process – this would include procedures performed within the reporting period at different facilities. It is our understanding that through [the OPPE process](#), hospitals have access to total surgeon volume, including the number of procedures performed at other hospitals.

14. For determining surgeon volume for the purposes of privileging, how should we count procedures that involve surgeons who have just finished training and are building up their experience?

Surgeons who have just finished their training should receive a 24-month grace period to build up their experience. After that point, the surgeon's volume should be tracked and included in privileging decisions. The procedures performed by this surgeon during the reporting period should still be counted towards the hospital's volume total, as the broader staff still had the experience with the procedure.

15. If a surgeon was not “active” during the entire reporting period (e.g., just hired, sabbatical, illness, etc.), should they be included when responding to question #5?

If a surgeon was absent for an extended time during the reporting period, the procedures performed by this surgeon during the reporting period should still be counted towards the hospital's procedure total (question #3). However, the surgeon would not need to be considered when responding to question #5 regarding whether your hospital's process for privileging includes the surgeon having to meet Leapfrog's minimum annual surgeon volume standards until they have been active again for an entire reporting period (likely the next year).

16. When counting surgeon volume for the purposes of privileging, if a procedure is completed by two surgeons (i.e., an assistant or co-surgeon) would they both be able to count the case?

If a surgeon assists another surgeon or is a co-surgeon during a procedure, the procedure should NOT count for both surgeons' procedure totals. The case should be applied to a single surgeon.

17. When determining which surgeons should be required to meet Leapfrog's minimum annual surgeon volume standards for the process of privileging, should surgeons who only ever perform a procedure in emergency circumstances and never perform the procedure on a scheduled basis be included?

For the purposes of reporting on question #5 in Section 3A, hospitals can exclude surgeons who will only ever perform a procedure in emergency circumstances if they never perform the procedure on a scheduled basis.

However, for the purposes of reporting on question #3 in Section 3A, hospitals should count all patients discharged with the relevant procedure or diagnosis for those procedures indicated in question #2 in Section 3A. See FAQ #6.

Surgical Appropriateness

18. What documents can be used as supporting evidence of a hospital's implementation of surgical appropriateness?

Hospitals may use meeting minutes, centers of excellence criteria, retrospective reviews of surgical cases, or any other materials that show that there are surgical appropriateness standards of care.

19. How often do we need to perform “regular retrospective reviews” as described in question #3 in Section 3B? How many should we perform?

Hospitals should perform regular retrospective reviews more than once per year. Some hospitals may decide to perform the reviews quarterly or even monthly, depending on the size of the service.

Hospitals should review a sample of at least 30 cases per year total across all procedures they reported implementing appropriateness criteria for in Section 3B question #3. For example, hospitals implementing appropriateness criteria for all five procedures in question #3 should review 6 cases per procedure each year. Hospitals reporting a combined total of less than 30 cases across all procedures indicated in Section 3B question #3 should review all cases.

20. What is a multidisciplinary tumor board?

A multidisciplinary tumor board is a board that may include radiologists, pathologists, surgeons, medical oncologists, radiation oncologists, nurses, social workers, psychiatrists or psychologists, palliative care staff, nutritional services, and physical/occupational therapy, that prospectively reviews cancer cases to ensure surgical appropriateness.

21. Our hospital's multidisciplinary tumor board reviews some, but not all cancer cases. Can we check the box for each cancer procedure in Section 3B, question #6?

In 2023, hospitals can receive credit for any cancer procedure where at least some cases are presented to their multidisciplinary tumor board for prospective review to ensure surgical appropriateness.

Safe Surgery Checklist for Adult and Pediatric Complex Surgery**22. Can different elements of the “Before the induction of anesthesia” checklist (question #3) be performed in different places?**

Yes, hospitals may perform some elements of the pre-anesthesia checklist outside of the operating room. Hospitals may read aloud the following elements in a pre-procedure (preop) area: Patient ID, Confirmation of procedure, Patient consent, Site marked, if applicable, and Allergies assessed.

Hospitals should not remove conversation prompts as it is harmful to the purpose of the checklist and should continue debriefing and requiring participation of the surgical team members in key moments.

23. Do the Safe Surgery Checklist components included in questions #3, #4, and #5 need to be in one document that would align with the [WHO example](#) or can they be in different documents (i.e., pre-anesthesia notes, surgeon H&P, and pre-surgical checklists, etc.)?

It is possible to have separate checklists for each phase of the surgical procedure (i.e., before the induction of anesthesia, before the skin incision and/or before the procedure begins, and before the patient leaves the operating or procedure room). However, each individual component included in questions #3, #4, and #5 should be listed on the checklist(s) and hospitals should document whether the components were read aloud at the appropriate time with the appropriate members of the surgical team present.

24. How is the “whole surgical team” defined?

“Whole surgical team” is comprised of the surgeons, anesthesia professionals, nurses, technicians, and other operating room personnel involved in surgery. (Based off the [World Alliance for Patient Safety Implementation Manual Surgical Safety Checklist \(First Edition\)](#)).

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SECTION 4: MATERNITY CARE

This section includes questions and reference information for Section 4: Maternity Care. Please carefully review the questions, endnotes, and reference information (e.g., measure specifications, notes, and frequently asked questions) before you begin. Failure to review the reference information could result in inaccurate responses.

Section 4: 2023 Maternity Care**Maternity Care Fact Sheet and Bibliography:**

<https://ratings.leapfroggroup.org/measure/hospital/2023/maternity-care>

Hospitals that did not deliver newborns during the reporting period or did not have an open labor and delivery unit during the entire reporting period should select “no” in question #2 and move on to the Affirmation of Accuracy. Your hospital’s results will be displayed as “Does Not Apply” on Leapfrog’s public reporting website.

Section 4 includes questions about elective delivery, cesarean birth, episiotomy, newborn bilirubin screening, and DVT prophylaxis for women undergoing cesarean delivery. The section also includes questions about high-risk deliveries, including volume and outcomes. In 2023, the subsection on maternity care volume (4A) includes new questions about maternity care services that will be publicly reported. The subsection on cesarean birth (4C) includes new optional fact-finding questions about cesarean births stratified by racial and ethnic categories that will not be scored or publicly reported.

Each hospital achieving the standard for Elective Deliveries:

Meets or is better than the 5.0% target for performance on the nationally endorsed outcome measure.

Each hospital achieving the standard for Cesarean Birth:

Meets or is better than the 23.6% target for performance on the nationally endorsed outcome measure.

Each hospital achieving the standard for Episiotomy:

Meets or is better than the 5.0% target for performance on the nationally endorsed outcome measure.

Each hospital achieving the standard for Newborn Bilirubin Screening Prior to Discharge:

Meets or exceeds the 90% target for the process measure of care.

Each hospital achieving the standard for Appropriate DVT Prophylaxis in Women Undergoing Cesarean Delivery:

Meets or exceeds the 90% target for the process measure of care.

Each hospital achieving the standard for High-Risk Deliveries:

Achieves favorable hospital volume characteristics for high-risk deliveries by admitting 50 or more very low birth weight newborns/year to its neonatal ICU,

OR

Achieves favorable outcomes for high-risk deliveries as measured by the Vermont Oxford Network.

Download the 2023 Leapfrog Hospital Survey Scoring Algorithm on the [Scoring and Results webpage](#).

4A: Maternity Care Volume and Services

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: This section is only applicable to hospitals that deliver newborn babies. Hospitals that do not deliver newborn babies should select “no” in question #2 in 4A and move on to the Affirmation of Accuracy. Your hospital’s results will be displayed a “Does Not Apply” on Leapfrog’s public reporting website.

Note 2: Hospitals must adhere to the reporting period selected below and use the same 12-month reporting period for all subsections in Section 4: Maternity Care.

Note 3: Maternity Care Services (questions #4-9) will not be scored but will be used in public reporting.

Specifications: See [Maternity Care Volume and Services Measure Specifications](#) in the Reference Information beginning on page 156.

Reporting Period: 12 months

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) 12-month reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
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Maternity Care Volume

2) Did the hospital deliver newborn babies during the reporting period? <i>If “no” or “yes, but unit is now closed or wasn’t open for the entire reporting period,” skip the remaining questions in Section 4, including all subsections, and go to the Affirmation of Accuracy. The hospital will be scored as “Does Not Apply.”</i>	Yes No <i>Yes, but unit is now closed or wasn’t open for the entire reporting period</i>
3) Total number of live births (i.e., liveborn infants) at this hospital location for the reporting period. <i>If fewer than 10 cases, skip the remaining questions in Section 4, including all subsections, and go to the Affirmation of Accuracy. The hospital will be scored as “Unable to Calculate Score” or “Does Not Apply.”</i>	_____

Maternity Care Services

4) Does your hospital have certified nurse-midwives and/or certified midwives deliver newborns?	Yes No
5) Does your hospital use doulas for labor and delivery? <i>Select all that apply.</i>	<input type="checkbox"/> Yes, the hospital employs or contracts with doulas <input type="checkbox"/> Yes, the hospital allows patients to bring their own doulas <input type="checkbox"/> No
6) Does your hospital offer breastfeeding/lactation consultants? <i>Select all that apply.</i>	<input type="checkbox"/> Yes, in the hospital <input type="checkbox"/> Yes, in the outpatient setting <input type="checkbox"/> Yes, at home after discharge <input type="checkbox"/> No
7) Is your hospital designated as a Baby-Friendly hospital based on the World Health Organization/UNICEF Baby-Friendly Hospital Initiative (i.e., they adhere to the Ten Steps to Successful Breastfeeding)?	Yes No
8) Does your hospital routinely offer vaginal birth after cesarean section (VBAC)?	Yes No
9) Does your hospital offer postpartum tubal ligation during the labor and delivery admission?	Yes No

4B: Elective Deliveries

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Note: Hospitals must adhere to the reporting period selected below and use the same 12-month reporting period for all subsections in Section 4: Maternity Care.

Specifications: See [Elective Deliveries Measure Specifications](#) in the Reference Information beginning on page 157.

Reporting Period: 12 months

Answer questions #1-5 based on all cases (or a sufficient sample of them)

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Sufficient Sample: See [Elective Deliveries Measure Specifications](#) for instructions for identifying a sufficient sample for questions #2 and #3.

1) 12-month reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
2) Total number of mothers (or sufficient sample of them) that delivered newborns with ≥ 37 weeks of gestation completed and < 39 weeks of gestation completed, with Excluded Populations removed: <i>If fewer than 10 cases met the criteria for the denominator, skip questions #3-5 and continue to the next subsection. The hospital will be scored as “Unable to Calculate Score.”</i>	_____
3) Total number of mothers indicated in question #2 that had their newborn delivered electively (not spontaneously):	_____
4) Do the responses in questions #2 and #3 above represent a sample of cases?	Yes No
5) If “yes” to question #4, did your hospital sample using The Joint Commission’s sampling algorithm or Leapfrog’s sampling instructions, as provided in the Maternity Care Reference Information?	<i>The Joint Commission The Leapfrog Group</i>

4C: Cesarean Birth

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Note: Hospitals must adhere to the reporting period selected below and use the same 12-month reporting period for all subsections in Section 4: Maternity Care.

Specifications: See [Cesarean Birth Measure Specifications](#) in the Reference Information beginning on page 159.

Reporting Period: 12 months

Answer questions #1-5 based on all cases (or a sufficient sample of them for questions #2-3)

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Sufficient Sample: See [Cesarean Birth Measure Specifications](#) for instructions for identifying a sufficient sample for questions #2 and #3.

1) 12-month reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
2) Total number of nulliparous mothers (or sufficient sample of them) that delivered a live term singleton newborn in the vertex presentation with ≥ 37 weeks of gestation completed, with Excluded Populations removed: <i>If fewer than 10 cases met the criteria for the denominator, skip questions #3-7 and continue to the next subsection. The hospital will be scored as “Unable to Calculate Score.”</i>	_____
3) Total number of mothers indicated in question #2 that had their newborn delivered via cesarean section:	_____
4) Do the responses in questions #2 and #3 above represent a sample of cases?	Yes No
5) If “yes” to question #4, did your hospital sample using The Joint Commission’s sampling algorithm or Leapfrog’s sampling instructions, as provided in the Maternity Care Reference Information?	<i>The Joint Commission The Leapfrog Group</i>

Additional Questions (Optional – Fact Finding Only)

6) Did your hospital stratify NTSV cesarean births by the racial and ethnic categories below for the reporting period and do you choose to report those data to this Survey? <i>If “no” to question #6, skip question #7 and continue to the next subsection.</i>		Yes No
7) Enter your hospital’s responses below by racial and ethnic category: <i>If the number of cases for a racial and ethnic category is less than 10 (in column a), skip column b and then move to the next category. If zero, enter “0” in column a.</i>		
Racial and Ethnic Category	a) Total number of nulliparous mothers (or sufficient sample of them) that delivered a live term singleton newborn in the vertex presentation with ≥ 37 weeks of gestation completed, with Excluded Populations removed (denominator)	b) Total number of mothers indicated in question #7a that had their newborn delivered via cesarean section (numerator)
Non-Hispanic White	_____	_____
Non-Hispanic Black	_____	_____
Non-Hispanic American Indian or Alaska Native	_____	_____
Non-Hispanic Asian or Pacific Islander	_____	_____
Hispanic	_____	_____
Non-Hispanic Other (including two or more races)	_____	_____
Unknown	_____	_____

4D: Episiotomy

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Note: Hospitals must adhere to the reporting period selected below and use the same 12-month reporting period for all subsections in Section 4: Maternity Care.

Specifications: See [Episiotomy Measure Specifications](#) in the Reference Information beginning on page 163.

Reporting Period: 12 months

Answer questions #1-3 based on all cases.

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) 12-month reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
2) Total number of vaginal deliveries, with Excluded Populations removed: <i>If fewer than 10 cases met the criteria for the denominator, skip question #3 and continue to the next subsection. The hospital will be scored as “Unable to Calculate Score.”</i>	_____
3) Total number of mothers indicated in question #2 that had an episiotomy procedure performed:	_____

4E: Process Measures of Quality

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Note: Hospitals must adhere to the reporting period selected below and use the same 12-month reporting period for all subsections in Section 4: Maternity Care.

Specifications: See [Process Measures of Quality Measure Specifications](#) in the Reference Information beginning on page 164.

Reporting Period: 12 months

Answer questions #1-9 based on all cases (or a sufficient sample of them).

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Sufficient Sample: See [Process Measures of Quality Measure Specifications](#) for instructions for identifying a sufficient sample for questions #3-4 and #7-8.

1) 12-month reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
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Newborn Bilirubin Screening Prior to Discharge

2) Did your hospital perform a medical record audit on all cases (or a sufficient sample of them) and measure adherence to the newborn bilirubin screening prior to discharge clinical guideline? <i>If “no” to question #2, skip questions #3-5 and continue to question #6. The hospital will be scored as “Limited Achievement.”</i> <i>If “yes, but fewer than 10 cases met the inclusion criteria for the denominator,” skip questions #3-5 and continue to question #6. The hospital will be scored as “Unable to Calculate Score.”</i>	Yes No Yes, but fewer than 10 cases met the inclusion criteria for the denominator
3) Number of cases measured against the guideline, either all cases or a sufficient sample of them (denominator):	_____
4) Number of cases in question #3 that adhere to the clinical process guideline (numerator):	_____
5) Do the responses in questions #3 and #4 represent a sample of cases?	Yes No

Appropriate DVT Prophylaxis in Women Undergoing Cesarean Delivery

<p>6) Did your hospital perform a medical record audit on all cases (or a sufficient sample of them) and measure adherence to the appropriate DVT prophylaxis in women undergoing cesarean delivery clinical guideline?</p> <p><i>If “no” to question #6, skip questions #7-9 and continue to the next subsection. The hospital will be scored as “Limited Achievement.”</i></p> <p><i>If “yes, but fewer than 10 cases met the inclusion criteria for the denominator,” skip questions #7-9 and continue to the next subsection. The hospital will be scored as “Unable to Calculate Score.”</i></p>	<p>Yes No Yes, but fewer than 10 cases met the inclusion criteria for the denominator</p>
<p>7) Number of cases measured against the guideline, either all cases or a sufficient sample of them (denominator):</p>	<p>_____</p>
<p>8) Number of cases in question #7 that adhere to the clinical process guideline (numerator):</p>	<p>_____</p>
<p>9) Do the responses in questions #7 and #8 represent a sample of cases?</p>	<p>Yes No</p>

4F: High-Risk Deliveries

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

<p>1) Does your hospital electively admit high-risk deliveries²⁹?</p> <p><i>If “no” to question #1, skip the remaining questions in Section 4F, and go to the Affirmation of Accuracy.</i></p>	<p>Yes No</p>
<p>2) Does your hospital operate a neonatal ICU (NICU), or is it co-located³⁰ with a hospital that operates a NICU, that admits or accepts transfers of very low birth weight babies³¹?</p> <p><i>If “no” to question #2, skip the remaining questions in Section 4F, and go to the Affirmation of Accuracy. The hospital will be scored as “Limited Achievement.”</i></p> <p><i>If the NICU is co-located in another hospital and your hospital immediately transfers all complicated newborns there, answer question #3 and either questions #4-5 or #6-10 based on information pertaining to the co-located hospital’s NICU.</i></p>	<p>Yes No</p>
<p>3) Hospitals that participate in the Vermont Oxford Network (VON) and have a recent 12-month report available may elect to report their hospital’s Volume (questions #4-5)</p> <p>OR</p> <p>the VON’s Death or Morbidity Measure³² (questions #6-10).</p> <p>Hospitals that do not participate in the Vermont Oxford Network should report their hospital’s Volume (questions #4-5).</p> <p>Please indicate which measure the hospital will report on:</p> <p><i>If you elect to report on Volume, answer questions #4-5 and skip questions #6-10.</i></p> <p><i>If you elect to report on the VON National Performance Measure, skip questions #4-5. Please ensure that you have entered an accurate VON Transfer Code in the Hospital Profile and submitted the Data Share Authorization letter to VON. Otherwise, you will be scored as “Declined to Respond.”</i></p>	<p>Volume</p> <p>VON National Performance Measure</p>

Neonatal Intensive Care Unit(s) – Volume

Important Note: Hospitals must adhere to the reporting period selected below and use the same 12-month reporting period for all subsections in Section 4: Maternity Care.

Specifications: See [Neonatal Intensive Care Unit\(s\) – Volume Measure Specifications](#) in the Reference Information beginning on page 166.

Reporting Period: 12 months

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

4) 12-month reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
5) For the reporting period, how many very low birth weight babies were admitted to your hospital's neonatal intensive care unit(s)?	_____

Neonatal Intensive Care Unit(s) – National Performance Measurement

Important Note: Hospitals participating in the Vermont Oxford Network (VON) may opt to report on the VON National Performance Measure. In order for Leapfrog to obtain the data from VON, the VON data must be finalized, and hospitals must complete the following steps:

1. Provide an accurate [VON Transfer Code](#) in the Hospital Profile,
2. Complete a [Data Sharing Authorization](#) letter and submit it to [VON](#) by **June 15**. Hospitals that submitted their Data Sharing Authorization letter to VON in previous Leapfrog Hospital Survey Cycles will not have to re-submit a new letter in 2023.
3. Select “VON National Performance Measure” in question #3, and
4. Submit the 2023 Leapfrog Hospital Survey by the June 30 Submission Deadline.

Hospitals that select “VON National Performance Measure” in question #3, but do not adhere to the other steps will be scored and publicly reported as “Declined to Respond” for the High-Risk Deliveries measure.

Specifications: See [Neonatal Intensive Care Unit\(s\) – National Performance Measurement Measure Specifications](#) in the Reference Information beginning on page 166.

Reporting Period: 12 months

Responses are based on the latest **12-month** report received from the Vermont Oxford Network (VON) for the **Death or Morbidity Measure**.

- 2021 VON data
- 2022 VON data (*usually available after August 31*)

Leapfrog will update the VON data three times per Survey Cycle for all hospitals that have completed the steps noted above. See deadlines and reporting periods in the [Neonatal Intensive Care Unit\(s\) - National Performance Measurement Measure Specifications](#).

6) Most recent 12-month reporting period for which VON performance results are available:	No response required here. Determined automatically based on VON data.
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7) Hospital's volume based on VON report:	<i>No response required here. Determined automatically based on VON data.</i>
8) Hospital's SMR 95% lower bound based on VON report:	<i>No response required here. Determined automatically based on VON data.</i>
9) Hospital's observed to expected ratio of morbidity or mortality (SMR shrunken) based on VON report:	<i>No response required here. Determined automatically based on VON data.</i>
10) Hospital's SMR 95% upper bound based on VON report:	<i>No response required here. Determined automatically based on VON data.</i>

Section 4: 2023 Maternity Care Reference Information

What's New in the 2023 Survey

Leapfrog is updating the measure specifications from The Joint Commission (TJC) for PC-01 Elective Deliveries (Section 4B) and PC-02 Cesarean Birth (Section 4C) for those hospitals that do not already submit data to TJC and therefore need to retrospectively collect data. Hospitals measuring these quality indicators and reporting results to TJC should continue to use the data reported to TJC when responding to these subsections of the Survey. Hospitals participating in the California Maternal Quality Care Collaborative (CMQCC) Maternal Data Center may continue to use the data provided in their CMQCC reports when responding to subsections 4B: Elective Deliveries, 4C: Cesarean Birth, 4D: Episiotomy, and 4E: Process Measures of Quality. Hospitals participating in the Michigan Obstetrics Initiative (OBI) may also continue to use the data provided in their OBI reports to report on subsection 4C: Cesarean Birth.

Section 4A: Maternity Care Volume and Services

Leapfrog is renaming Section 4A: Maternity Care Volume to Section 4A: Maternity Care Volume and Services and adding new questions regarding service offerings that will be used for public reporting only. The new questions focus on the availability of midwives and doulas, breastfeeding support, vaginal delivery after cesarean section, and postpartum tubal ligation. The questions will not be scored but are required and will be used in public reporting.

Section 4C: Cesarean Birth

Under the guidance of Leapfrog's [Maternity Care Expert Panel](#), Leapfrog is adding a set of optional fact-finding questions to this subsection to collect cesarean birth rates stratified by racial and ethnic category. Hospitals are asked to provide numerators and denominators for the cesarean birth measure for each of the following racial and ethnic categories: Non-Hispanic White, Non-Hispanic Black, Non-Hispanic American Indian or Alaska Native, Non-Hispanic Asian or Pacific Islander, Hispanic, and Non-Hispanic Other (including two or more races). These fact-finding questions are optional and will not be used in scoring or public reporting in 2023.

There are no changes to the scoring algorithm for Section 4C: Cesarean Birth.

Section 4F: High-Risk Deliveries – Neonatal Intensive Care Unit(s) – National Performance Measurement

Leapfrog is continuing to obtain data directly from the Vermont Oxford Network (VON) for those hospitals that electively admit high-risk deliveries and opt to use VON's Death or Morbidity Outcome Measure when reporting on Section 4F: High-Risk Deliveries. Hospitals still need to complete the following steps:

1. Complete a Data Sharing Authorization letter and submit it to VON by the appropriate dates (Hospitals that successfully submitted a Data Sharing Authorization letter in previous Leapfrog Hospital Survey Cycles will not be required to submit a new letter in 2023),
2. Select "VON National Performance Measure" in Section 4F: High-Risk Deliveries question #3,
3. Provide an accurate VON Transfer Code in the Hospital Profile (this will be pre-populated if previously provided), and
4. Submit the Leapfrog Hospital Survey by the appropriate date.

Hospitals that select "VON National Performance Measure" in question #3 of Section 4F: High-Risk Deliveries, but do not complete all the steps listed above will be scored and publicly reported as "Declined to Respond" for the High-Risk Deliveries measure.

Change Summary Since Release

June 13, 2023 – Section 4D Episiotomy and Section 4E Process Measures of Quality: Added APR-DRG and Tricare DRG codes used to identify vaginal deliveries and cesarean deliveries for reporting on

[Episiotomy](#) in Section 4D and [DVT Prophylaxis](#) in Section 4E. Please review the updated measure specifications on pages 163 and 165 of the Survey.

Maternity Care Measure Specifications

Maternity Care Volume and Services

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Reporting Period: 12 months

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Question #3: The number of live births (i.e., infants) at this hospital location (inborn cases only), reported to your state during the reporting period.

Alternatively, the below list of Z codes can be used to identify live births, with the caution that these codes are coded for the newborn, not the mother; likely to be found in your hospital's birth CIS/medical record system; but often not in claims data since normal newborn care may be included in the mother's claim without baby's diagnosis coding.

Z38.00 – Z38.01: Single liveborn infant, born in hospital

Z38.30 – Z38.31: Twin liveborn infant, born in hospital

Z38.61 – Z38.69: Other multiple liveborn infant, born in hospital

Note: This data point is simply used to qualify a hospital for further reporting of the normal delivery measures.

Elective Deliveries

Important Notes:

Note 1: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Note 2: Leapfrog uses the specifications created by The Joint Commission (TJC) for the Elective Deliveries measure. As such, Leapfrog will update its instructions annually, and more frequently if appropriate, to maintain alignment with TJC. Hospitals can access TJC's measure specifications directly using the links in the table below.

Note 3: Elective Deliveries can be reported based on all eligible cases OR a sufficient sample of cases as outlined in the denominator specifications.

<p>Source: Joint Commission PC-01 (version 2022B2)</p>
<p>Reporting Period: 12 months</p> <ul style="list-style-type: none"> • Surveys submitted prior to September 1: <ul style="list-style-type: none"> • 01/01/2022 – 12/31/2022 • Surveys (re)submitted on or after September 1: <ul style="list-style-type: none"> • 07/01/2022 – 06/30/2023
<p>If you measured this quality indicator, reported the results to The Joint Commission (TJC), and continue to submit these data to The Joint Commission, use those data when responding to this subsection of the Survey.</p> <p>Hospitals participating in the California Maternal Quality Care Collaborative (CMQCC) Maternal Data Center may use the data provided in CMQCC reports when responding to this subsection of the Survey. Download instructions for using the CMQCC reports on the Survey and CPOE Materials webpage.</p> <p>Otherwise, use TJC's PC-01 Elective Deliveries measure specifications (version 2022B2) to retrospectively collect and report data for this measure. The PC-01 measure specifications are outlined below. To access the measure specifications directly on The Joint Commission's website visit: https://manual.jointcommission.org/releases/TJC2022B2/MIF0166.html.</p> <p>Note: Leapfrog will also accept data collected for ePC-01 using TJC's electronic clinical quality measure (eCQM) specifications for the appropriate reporting period. eCQM specifications can be found here.</p>
<p>Sampling Cases: Hospitals that report the Perinatal Care Measure Set to TJC may use the sampling methodology used by the TJC to report on these questions.</p> <p>Otherwise, hospitals opting to identify a sufficient sample of mothers for this measure, in lieu of full case reporting, should follow the instructions below. Hospitals may refer to the TJC Measure Algorithm flow chart at the bottom of the TJC specifications linked above, for an example of how to identify cases.</p> <ul style="list-style-type: none"> • Review your hospital's first delivery as of April 15, 2022 if submitting a Survey prior to September 1 (or <i>optionally</i>, July 15, 2022 if (re)submitting a Survey on or after September 1, 2023 and sampling from 07/01/2022 – 06/30/2023 discharges). • Evaluate this case against the inclusion criteria; retain the case for the sample if it meets the inclusion criteria. • Evaluate this case against the exclusion criteria; retain the case for the sample if it <u>does not</u> meet any of the listed exclusions. • Move to the next delivery and evaluate for inclusion/exclusion applicability. • Continue through cases in sequential order until a sample of at least 30 cases is reached, or all cases discharged during the reporting period are reviewed, whichever comes first.

Question #2 (denominator): Patients delivering newborns with ≥ 37 and < 39 weeks of gestation completed with **Excluded Populations** removed.

Note: The denominator should include both mothers that had their newborn delivered electively and mothers that delivered spontaneously at the specified weeks of gestation.

Included Populations:

- ICD-10-PCS Principal Procedure Code or ICD-10-PCS Other Procedure Codes for delivery as defined in Appendix A, Table [11.01.1](#).
- ICD-10-CM Principal Diagnosis Code or ICD-10-CM Other Diagnosis Codes for planned cesarean birth in labor as defined in Appendix A, Table [11.06.1](#).

Excluded Populations:

ICD-10-CM Principal Diagnosis Code or ICD-10-CM Other Diagnosis Codes for conditions possibly justifying elective delivery prior to 39 weeks gestation as defined in Appendix A, Table [11.07](#)

- [History of prior stillbirth](#)
- Less than 8 years of age
- Greater than or equal to 65 years of age
- Length of stay > 120 days
- [Gestational Age](#) < 37 or ≥ 39 weeks or UTD

Data Elements: Visit <https://manual.jointcommission.org/releases/TJC2022B2/MIF0166.html>.

If fewer than 10 cases during the reporting period, skip the next question.

Question #3 (numerator): Patients with elective deliveries included in the denominator.

Included Populations:

ICD-10-PCS Principal Procedure Code or ICD-10-PCS Other Procedure Codes for one or more of the following:

- Medical induction of labor as defined in Appendix A, Table [11.05](#) while not in [Labor](#) prior to the procedure
- Cesarean birth as defined in Appendix A, Table [11.06](#) and all the following:
 - not in [Labor](#)
 - no history of a [Prior Uterine Surgery](#)

Excluded Populations: None

Data Elements: Visit <https://manual.jointcommission.org/releases/TJC2022B2/MIF0166.html>.

Cesarean Birth

Important Notes:

Note 1: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Note 2: Leapfrog uses the specifications created by The Joint Commission (TJC) for the Cesarean Births measure. As such, Leapfrog will update its instructions annually, and more frequently if appropriate, to maintain alignment with TJC. Hospitals can access the TJC's measure specifications directly using the links in the table below.

Note 3: Cesarean Births can be reported based on all eligible cases OR a sufficient sample of cases as outlined in the denominator specifications.

<p>Source: Joint Commission PC-02 (version 2022B2)</p>
<p>Reporting Period: 12 months</p> <ul style="list-style-type: none"> • Surveys submitted prior to September 1: <ul style="list-style-type: none"> • 01/01/2022 – 12/31/2022 • Surveys (re)submitted on or after September 1: <ul style="list-style-type: none"> • 07/01/2022 – 06/30/2023
<p>If you measured this quality indicator, reported the results to The Joint Commission (TJC), and continue to submit these data to The Joint Commission, use those data when responding to this subsection of the Survey.</p> <p>Hospitals participating in the California Maternal Quality Care Collaborative (CMQCC) Maternal Data Center may use the data provided in CMQCC reports when responding to this subsection of the Survey. Download instructions for using the CMQCC reports on the Survey and CPOE Materials webpage.</p> <p>Hospitals participating in the Michigan Obstetrics Initiative (OBI) may use the data provided in OBI reports when responding to this subsection of the Survey.</p> <p>Otherwise, use TJC's PC-02 Cesarean Birth measure specifications (version 2022B2) to retrospectively collect and report data for this measure. The PC-02 measure specifications are outlined below. To access the measure specifications directly on The Joint Commission's website, visit https://manual.jointcommission.org/releases/TJC2022B2/MIF0167.html.</p> <p>Note: Leapfrog will also accept data collected for ePC-02 using TJC's electronic clinical quality measure (eCQM) specifications for the appropriate reporting period. eCQM specifications can be found here.</p>
<p>Sampling Cases: Hospitals that report the Perinatal Care Measure Set to TJC may use the sampling methodology used by the TJC to report on questions #2-3.</p> <p>Otherwise, hospitals opting to identify a sufficient sample of mothers for this measure, in lieu of full case reporting, should follow the instructions below. Hospitals may refer to the TJC Measure Algorithm flow chart at the bottom of each set of specifications linked above, for an example of how to identify cases.</p> <ul style="list-style-type: none"> • Review your hospital's first delivery as of April 15, 2022 if submitting a Survey prior to September 1 (or <i>optionally</i>, July 15, 2022 if (re)submitting a Survey on or after September 1, 2023 and sampling from 07/01/2022 – 06/30/2023 discharges). • Evaluate this case against the inclusion criteria; retain the case for the sample if it meets the inclusion criteria. • Evaluate this case against the exclusion criteria; retain the case for the sample if it <u>does not</u> meet any of the listed exclusions. • Move to the next delivery and evaluate for inclusion/exclusion applicability. • Continue through cases in sequential order until a sample of at least 30 cases is reached, or all cases discharged during the reporting period are reviewed, whichever comes first.

Question #2 (denominator): Nulliparous patients delivered of a live term singleton newborn in vertex presentation with **Excluded populations** removed.

Note: The denominator should include both nulliparous mothers with a live term singleton newborn in vertex presentation that had their newborn delivered via cesarean section and nulliparous mothers that delivered vaginally.

Included Populations:

- ICD-10-PCS Principal Procedure Code or ICD-10-PCS Other Procedure Codes for delivery as defined in Appendix A, Table [11.01.1](#).
- Nulliparous patients with ICD-10-CM Principal Diagnosis Code or ICD-10-CM Other Diagnosis Codes for outcome of delivery as defined in Appendix A, Table [11.08](#), and with a delivery of a newborn with 37 weeks or more of gestation completed.

Excluded Populations:

- ICD-10-CM Principal Diagnosis Code or ICD-10-CM Other Diagnosis Codes for multiple gestations and other presentations as defined in Appendix A, Table [11.09](#)
- Less than 8 years of age
- Greater than or equal to 65 years of age
- Length of stay >120 days
- [Gestational Age](#) < 37 weeks or UTD

Data Elements: Visit <https://manual.jointcommission.org/releases/TJC2022B2/MIF0167.html>.

If fewer than 10 cases during the reporting period, skip the next question.

Question #3 (numerator): Patients in the denominator with cesarean births.

Included Populations:

ICD-10-PCS Principal Procedure Code or ICD-10-PCS Other Procedure Codes for cesarean birth as defined in Appendix A, Table [11.06](#)

Excluded Populations: None

Data Elements: Visit <https://manual.jointcommission.org/releases/TJC2022B2/MIF0167.html>.

Additional Questions (Optional – Fact Finding Only)

Source: Joint Commission PC-02 (version [2022B2](#))

Reporting Period: 12 months

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Hospitals participating in the California Maternal Quality Care Collaborative (CMQCC) Maternal Data Center may use the data provided in CMQCC reports when responding to these optional, fact-finding questions. Download instructions for using the CMQCC reports on the [Survey and CPOE Materials webpage](#).

Hospitals reporting to the U.S. News & World Report Maternity Services Survey may use the data provided to U.S. News & World Report when responding to these optional, fact-finding questions.

Otherwise, hospitals should use TJC's PC-02 Cesarean Birth measure specifications (version [2022B2](#)) to retrospectively review **all cases** and then stratify those cases by the racial/ethnic categories provided. The PC-02 measure specifications are outlined below. To access the measure specifications directly on

The Joint Commission's website, visit
<https://manual.jointcommission.org/releases/TJC2022B2/MIF0167.html>.

Question #7a (denominator): Total number of nulliparous patients delivered of a live term singleton newborn in vertex presentation with **Excluded populations** removed by the following racial/ethnic categories:

- Non-Hispanic White
- Non-Hispanic Black
- Non-Hispanic American Indian or Alaska Native
- Non-Hispanic Asian or Pacific Islander
- Hispanic
- Non-Hispanic Other (including two or more races)
- Unknown

Each patient should only be counted once and included in the appropriate racial/ethnic category based on known racial/ethnic information. If race or ethnicity is unknown, include the case in the "Unknown" category. Hispanic patients should be included in the "Hispanic" category regardless of race. If a racial/ethnic category is not used by your hospital, include the case in the "Unknown" category, i.e., if patients with more than one race are listed as "multiracial" regardless of their ethnicity, include these cases in the "Unknown" category.

Hospitals reporting to U.S. News can use the same racial/ethnic categories when reporting to Leapfrog. Hospital using CMQCC can use the below crosswalk or information provided directly in their Leapfrog CMQCC report:

CMQCC Race/Ethnicity	U.S. News Race/Ethnicity	Leapfrog Race/Ethnicity
Hispanic-US Born	Hispanic	Hispanic
Hispanic Non-US Born		
White	Non-Hispanic White	Non-Hispanic White
Black	Non-Hispanic Black	Non-Hispanic Black
Asian	Non-Hispanic Asian or Pacific Islander	Non-Hispanic Asian or Pacific Islander
Pacific Islander		
Native American	Non-Hispanic Other (including two or more races)	Non-Hispanic Other (including two or more races)
Other		
Multiracial	Unknown	Unknown

Note: The denominator should include both nulliparous mothers with a live term singleton newborn in vertex presentation that had their newborn delivered via cesarean section and nulliparous mothers that delivered vaginally.

Included Populations:

- ICD-10-PCS Principal Procedure Code or ICD-10-PCS Other Procedure Codes for delivery as defined in Appendix A, Table [11.01.1](#).
- Nulliparous patients with ICD-10-CM Principal Diagnosis Code or ICD-10-CM Other Diagnosis Codes for outcome of delivery as defined in Appendix A, Table [11.08](#), and with a delivery of a newborn with 37 weeks or more of gestation completed.

Excluded Populations:

- ICD-10-CM Principal Diagnosis Code or ICD-10-CM Other Diagnosis Codes for multiple gestations and other presentations as defined in Appendix A, Table [11.09](#)
- Less than 8 years of age
- Greater than or equal to 65 years of age
- Length of stay >120 days
- [Gestational Age](#) < 37 weeks or UTD

Data Elements: Visit <https://manual.jointcommission.org/releases/TJC2022B2/MIF0167.html>.

If fewer than 10 cases during the reporting period for any racial/ethnic category, skip the next question.

Question #7b (numerator): Patients in the denominator with cesarean births by the following racial/ethnic categories:

- Non-Hispanic White
- Non-Hispanic Black
- Non-Hispanic American Indian or Alaska Native
- Non-Hispanic Asian or Pacific Islander
- Hispanic
- Non-Hispanic Other (including two or more races)
- Unknown

Included Populations:

ICD-10-PCS Principal Procedure Code or ICD-10-PCS Other Procedure Codes for cesarean birth as defined in Appendix A, Table [11.06](#)

Excluded Populations: None

Data Elements: Visit <https://manual.jointcommission.org/releases/TJC2022B2/MIF0167.html>.

Episiotomy

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

<p>Source: National Quality Forum #0470</p>
<p>Reporting Period: 12 months</p> <ul style="list-style-type: none"> • Surveys submitted prior to September 1: <ul style="list-style-type: none"> ○ 01/01/2022 – 12/31/2022 • Surveys (re)submitted on or after September 1: <ul style="list-style-type: none"> ○ 07/01/2022 – 06/30/2023
<p>Hospitals participating in the California Maternal Quality Care Collaborative (CMQCC) Maternal Data Center may use the data provided in CMQCC reports when responding to this subsection of the Survey. Download instructions for using the CMQCC reports on the Survey and CPOE Materials webpage.</p>
<p>Question #2 (denominator): Total number of vaginal deliveries during the reporting period, with Excluded Populations removed.</p> <p>For the purposes of this measure, use the following MS-DRGs to identify a vaginal delivery:</p> <ul style="list-style-type: none"> • 768: Vaginal delivery with O.R. procedure except sterilization and/or D&C • 796: Vaginal delivery with sterilization/D&C with MCC • 797: Vaginal delivery with sterilization/D&C with CC • 798: Vaginal delivery with sterilization/D&C without CC/MCC • 805: Vaginal delivery without sterilization/D&C with MCC • 806: Vaginal delivery without sterilization/D&C with CC • 807: Vaginal delivery without sterilization/D&C without CC/MCC <p>The following APR-DRGs should also be used to identify a vaginal delivery if your hospital uses APR-DRG coding:</p> <ul style="list-style-type: none"> • 541: Vaginal delivery with sterilization and/or D&C • 542: Vaginal delivery with complicating procedures excluding sterilization and/or D&C • 560: Vaginal delivery <p>The following Tricare DRGs should also be used to identify a vaginal delivery if your hospitals uses Tricare DRG coding:</p> <ul style="list-style-type: none"> • 762 Vaginal delivery with sterilization or D&C with MCC • 763 Vaginal delivery with sterilization or D&C with CC • 764 Vaginal delivery with sterilization or D&C without CC/MCC • 768 Vaginal delivery with O.R. procedure except sterilization and/or D&C • 805 Vaginal delivery without sterilization or D&C with MCC • 806 Vaginal delivery without sterilization or D&C with CC • 807 Vaginal delivery without sterilization or D&C without CC/MCC <p>Excluded Populations: Exclude any cases with the following ICD-10-CM diagnostic code in any field:</p> <ul style="list-style-type: none"> • O66.0: Obstructed labor due to shoulder dystocia
<p>Question #3 (numerator): Total number of mothers included in question #2 (the denominator) that had an episiotomy procedure performed.</p> <p>For the purposes of this measure, the following ICD-10-PCS procedure codes should be used for identifying an episiotomy:</p> <ul style="list-style-type: none"> • 0W8NXZZ: Division of female perineum, external approach

Process Measures of Quality

Important Notes:

Note 1: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Note 2: For Maternity Care Process Measures, hospitals with a sufficient sample size (as defined below), can randomly sample for the denominator of each indicator, and measure and report adherence based on that sample. Most likely, the numerator criteria for these two measures will require medical chart review if these specific data are not already extracted or coded consistently for other purposes.

Newborn Bilirubin Screening Prior to Discharge

<p>Source: Providence Health</p>
<p>Reporting Period: 12 months</p> <ul style="list-style-type: none"> • Surveys submitted prior to September 1: <ul style="list-style-type: none"> ○ 01/01/2022 – 12/31/2022 • Surveys (re)submitted on or after September 1: <ul style="list-style-type: none"> ○ 07/01/2022 – 06/30/2023
<p>Hospitals participating in the California Maternal Quality Care Collaborative (CMQCC) Maternal Data Center may use the data provided in CMQCC reports when responding to this subsection of the Survey. Download instructions for using the CMQCC reports on the Survey and CPOE Materials webpage.</p>
<p>Sampling: If you have <u>fewer than 30 cases</u> that meet the criteria for inclusion in the denominator of the process measure during the time period of the medical record audit, include ALL of these cases in measuring adherence to the process guidelines. You need NOT use more than 12 months of historical data to increase the eligible cases beyond 30; just measure and report on ALL eligible cases that you have in that reporting period.</p> <p>If you have <u>more than 30 cases</u> that meet the criteria for inclusion in the denominator of the process measure during the time period of the medical record audit, you may randomly sample at least 30 of them for the denominator of each guideline, and measure and report adherence based on that sample.</p>
<p>Question #3 (denominator): Eligible cases include all normal newborns born at or beyond 35 completed weeks gestation that were delivered in the hospital during the reporting period (all inborns) with Excluded Populations removed.</p> <p>Excluded Populations:</p> <ul style="list-style-type: none"> • admitted to a neonatal ICU, either at your hospital or another hospital; or • with parental refusal to test; or • prenatal documentation of severe congenital anomalies in the newborn and documentation that the newborn will receive comfort care measures only; or • newborn died prior to discharge
<p>Question #4 (numerator): Number of eligible cases included in the denominator who have a serum or transcutaneous bilirubin screen prior to discharge to identify risk of hyperbilirubinemia.</p>

Appropriate DVT Prophylaxis in Women Undergoing Cesarean Delivery

Source: National Quality Forum #0473

Reporting Period: 12 months

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Hospitals participating in the California Maternal Quality Care Collaborative (CMQCC) Maternal Data Center may use the data provided in CMQCC reports when responding to this subsection of the Survey. Download instructions for using the CMQCC reports on the [Survey and CPOE Materials webpage](#).

Sampling: If you have fewer than 30 cases that meet the criteria for inclusion in the denominator of the process measure during the time period of the medical record audit, include ALL of these cases in measuring adherence to the process guidelines. You need NOT use more than 12 months of historical data to increase the eligible cases beyond 30; just measure and report on ALL eligible cases that you have in that reporting period.

If you have more than 30 cases that meet the criteria for inclusion in the denominator of the process measure during the time period of the medical record audit, you may randomly sample at least 30 of them for the denominator of each guideline, and measure and report adherence based on that sample.

Question #7 (denominator): Eligible cases include all women undergoing cesarean delivery during the reporting period.

Include cases with one of the following MS-DRG codes:

- 783: Cesarean section with sterilization with MCC
- 784: Cesarean section with sterilization with CC
- 785: Cesarean section with sterilization without CC/MCC
- 786: Cesarean section without sterilization with MCC
- 787: Cesarean section without sterilization with CC
- 788: Cesarean section without sterilization without CC/MCC

The following APR-DRGs should also be used to identify a cesarean delivery if your hospital uses APR-DRG coding:

- 539: Cesarean section with sterilization
- 540: Cesarean section without sterilization

The following Tricare DRGs should also be used to identify a cesarean delivery if your hospitals uses Tricare DRG coding:

- 771 Cesarean section without sterilization with MCC
- 772 Cesarean section without sterilization with CC
- 773 Cesarean section without sterilization without CC/MCC
- 783 Cesarean section with sterilization with MCC
- 784 Cesarean section with sterilization with CC
- 785 Cesarean section with sterilization without CC/MCC

Excluded Populations: None.

Question #8 (numerator) Number of eligible cases included in the denominator who received either fractionated or unfractionated heparin or heparinoid, or pneumatic compression devices prior to surgery.

Note: Use of a pneumatic compression device may be documented in the OR log but must be placed pre-operatively to qualify for inclusion in the numerator.

For a list of approved pneumatic compression devices, see the devices listed under “Intermittent Pneumatic Compression Device (IPC)” in [Table 2.1 VTE Prophylaxis Inclusion Table](#).

High-Risk Deliveries

Important Notes:

Note 1: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Note 2: Hospitals should report on the selection from Section 4F question #3 (**either** Volume OR the National Performance Measure (VON)).

Neonatal Intensive Care Unit(s) – Volume

Hospitals opting to report on Volume should only use ICD-10-CM codes as indicated in the specifications below. When calculating hospital volume, count the number of patients with any one or more of the specified diagnosis codes for high-risk deliveries, subject to the other inclusion/exclusion criteria below. The diagnosis codes may be in any field. The count can include inborn as well as transfer cases.

Source: The Leapfrog Group	
Reporting Period: 12 months	
<ul style="list-style-type: none"> • Surveys submitted prior to September 1: <ul style="list-style-type: none"> • 01/01/2022 – 12/31/2022 • Surveys (re)submitted on or after September 1: <ul style="list-style-type: none"> • 07/01/2022 – 06/30/2023 	
Question #5 (Instructions for Volume Reporting)	
Included Populations:	
Number of newborns admitted to the neonatal ICU with the following ICD-10-CM codes:	
ICD-10-CM Code	Description
P05.02	Newborn light for gestational age, 500-749 grams
P05.03	Newborn light for gestational age, 750-999 grams
P05.04	Newborn light for gestational age, 1000-1249 grams
P05.05	Newborn light for gestational age, 1250-1499 grams
P05.12	Newborn small for gestational age, 500-749 grams
P05.13	Newborn small for gestational age, 750-999 grams
P05.14	Newborn small for gestational age, 1000-1249 grams
P05.15	Newborn small for gestational age, 1250-1499 grams
P05.2	Newborn affected by fetal malnutrition not light or small for gestational age
P05.9	Newborn affected by slow intrauterine growth, unspecified
P07.02	Extremely low birth weight newborn, 500-749 grams
P07.03	Extremely low birth weight newborn, 750-999 grams
P07.14	Other low birth weight newborn, 1000-1249 grams
P07.15	Other low birth weight newborn, 1250-1499 grams
Excluded Populations: Newborns admitted to the neonatal ICU weighing 1500 grams or more.	

Neonatal Intensive Care Unit(s) – National Performance Measurement

Hospitals participating in the Vermont Oxford Network (VON) may opt to report on the VON National Performance Measure. In order for Leapfrog to obtain the data from VON, the VON data must be finalized, and hospitals must complete the following steps:

1. Provide an accurate [VON Transfer Code](#) in the Hospital Profile,
2. Complete a [Data Sharing Authorization](#) letter and submit it to [VON](#) by **June 15** (Hospitals that submitted their Data Sharing Authorization letter to VON in in previous Leapfrog Hospital Survey Cycles will not have to re-submit a new letter in 2023),
3. Select “VON National Performance Measure” in question #3, and
4. Submit the 2023 Leapfrog Hospital Survey by the June 30 Submission Deadline.

Hospitals that select “VON National Performance Measure” in question #3, but do not adhere to the other steps will be scored and publicly reported as “Declined to Respond” for the High-Risk Deliveries measure.

Data will be available for review on the Leapfrog Hospital Survey Hospital Details Page beginning on July 12. The VON data Leapfrog obtains can be found in the Vermont Oxford Network’s Nightingale Internet Reporting System. Leapfrog has provided instructions for using the VON Nightingale online tool on the [Survey and CPOE Materials webpage](#). These instructions can be used to verify the data that Leapfrog is obtaining from VON, which includes:

Variable	From the Vermont Oxford Network (SMR Report from Nightingale online tool)
Volume	From the latest 12-month standardized mortality or morbidity ratio (SMR) report for Death or Morbidity, the hospital’s “N” for the volume of cases for the reporting period.
SMR 95% (lower bound)	From the same report, the hospital’s “SMR 95% (lower)” for Death or Morbidity. This represents the lower value of your hospital’s 95% confidence interval.
SMR (shrunken)	From the same report, the hospital’s “SMR (shrunken)” for Death or Morbidity. This is the weighted average of the hospital value and the population (Vermont Oxford Network) mean value.
SMR 95% (upper bound)	From the same report, the hospital’s “SMR 95% (upper)” for Death or Morbidity. This represents the upper value of your hospital’s 95% confidence interval.

Deadlines and Reporting Periods

Leapfrog will update the VON data three times per Survey Cycle for all hospitals that have completed the steps noted above according to the deadlines and reporting periods listed in the following table:

Complete and submit Data Sharing Authorization to VON by*	Data downloaded from VON will be scored and publicly reported for hospitals that have submitted Section 4 by	VON Reporting Period	Available on Hospital Details Page and Public Reporting Website on
June 15, 2023	June 30, 2023	2021	July 12, 2023 Hospital Details Page
August 15, 2023	August 31, 2023	2022**	July 25, 2023 Public Reporting Website
November 15, 2023	November 30, 2023	2022	September 8, 2023*** December 7, 2023***

* Hospitals that successfully submitted a Data Sharing Authorization letter in previous years will not be required to submit another letter in 2023.

**Anticipated release of 2022 VON data.

*** Available on Hospital Details Page on the same date as public release of Survey Results

See [FAQs](#) for additional information about responding to the questions in this section.

Maternity Care Frequently Asked Questions (FAQs)

- 1. Under normal circumstances our hospital does not perform newborn deliveries. However, during the reporting period we had a few emergency situations and/or transfers. Should we indicate delivering newborns during the reporting period?**

If your hospital does not electively perform newborn deliveries (i.e., no labor/delivery unit, only admit in an emergency situation), you would respond “no” to question #2 in Section 4A, as hospitals are instructed to report inborns only.

- 2. Should newborns that are delivered outside of the hospital that are brought to labor and delivery be included in the total number of live births in Section 4A?**

When calculating the total number of live births in Section 4A question #3, refer to the measure specifications for Maternity Care Volume. Only inborns should be included in the total volume.

- 3. Should hospitals use delivery date or discharge date when including births/cases for the measures reported in Section 4 Maternity Care?**

The discharge date should be used to determine whether a case falls within the reporting period specified.

- 4. In Section 4E, what tools should hospitals use for managing patients that receive a serum or transcutaneous bilirubin screen to assess the risk of hyperbilirubinemia prior to discharge?**

One example a hospital can use is the Bhutani Nomogram. For an example, please see: *American Academy of Pediatrics Clinical Practice Guidelines: Management of Hyperbilirubinemia in the Newborn Infant 35 or More Weeks of Gestation*.

<http://pediatrics.aappublications.org/content/114/1/297.full>

Tip: To view any Figure in the reference, click on it to open, then again to enlarge.

Other tools may also be used if the serum or transcutaneous screen is conducted prior to discharge and risk is managed appropriately.

- 5. In Section 4E, what codes or diagnoses should be used to identify severe congenital anomalies for exclusions for newborn bilirubin screening prior to discharge?**

Leapfrog’s Maternity Care Expert Panel has opted to not overcomplicate the measure by providing ICD-codes for identifying congenital anomalies in the newborn. Instead, we would suggest that you focus on identifying the following exclusions: documentation that the newborn will receive comfort care measures only, which would accompany the prenatal documentation of severe congenital anomalies in the newborn.

If you have concerns about the level of effort required to identify these exclusions, please note that Leapfrog allows hospitals to report on a sample of 30 cases for those facilities that opt to report on a sample instead of full case reporting. You can find those instructions in the [Maternity Care Process Measure Specifications](#).

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SECTION 5: ICU PHYSICIAN STAFFING (IPS)

This section includes questions and reference information for Section 5: ICU Physician Staffing (IPS). Please carefully review the questions, endnotes, and reference information (e.g., measure specifications, notes, and frequently asked questions) before you begin. Failure to review the reference information could result in inaccurate responses.

Section 5: 2023 ICU Physician Staffing (IPS) Standard

ICU Physician Staffing (IPS) Fact Sheet and Bibliography:

<https://ratings.leapfroggroup.org/measure/hospital/2023/critical-care>

Hospitals that do not admit critical care patients or operate an adult or pediatric general medical and/or surgical ICU or neuro ICU should select “No” in question #2 and move on to the Affirmation of Accuracy. Your hospital’s results will be displayed as “Does Not Apply” on Leapfrog’s public reporting website.

Hospitals that regularly admit critical care patients to a non-critical care unit or mixed acuity unit, should select “Yes” in question #2 and respond to all applicable questions. The standard applies to all adult and pediatric general medical and/or surgical critical care patients and neuro critical care patients (medical and surgical), including those patients in non-critical care and mixed acuity units.

Section 5 includes questions about the management of critical care patients and the staffing structure of your hospital’s pediatric and adult general medical and/or surgical ICUs and neuro ICUs.

Each hospital achieving the standard for ICU Physician Staffing assures that:

All critical care patients³³ in its [adult or pediatric general medical and/or surgical ICUs and neuro ICUs](#)³⁴ are [managed or co-managed](#)³⁵ by physicians [certified in critical care medicine](#)³⁶ who:

- Are [ordinarily present in the ICU](#)³⁷ during daytime hours for at least 8 hours per day, 7 days per week, and during this time provide clinical care [exclusively](#)³⁷ in the ICU
OR
- Are present via [telemedicine](#)³⁸, in combination with on-site intensivist coverage, for a total of 24 hours per day, 7 days per week; meet all of Leapfrog’s ICU requirements for intensivist presence in the ICU via telemedicine; and supported by an on-site intensivist who establishes and revises the daily care plan for each ICU patient, **and**
- At other times*
 - Return more than 95% of ICU calls within 5 minutes, based on a [quantified analysis](#)³⁹ of notification device response time; and
 - Can rely on a [physician, physician assistant, nurse practitioner](#)⁴², or an [FCCS-certified nurse “effector”](#)⁴⁰ who is in the hospital and able to reach ICU patients within 5 minutes in more than 95% of cases, based on a quantified hospital analysis of response time of the effector reaching the patient.

*Not applicable for hospitals with 24/7 intensivist coverage.

Download the 2023 Leapfrog Hospital Survey Scoring Algorithm on the [Scoring and Results webpage](#).

5: ICU Physician Staffing (IPS)

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: Each of the endnotes referenced in the questions below must be reviewed before responding to each question.

Note 2: Some intensivist “presence” may be accomplished via tele-intensivists per Leapfrog’s specifications ([More Information](#)³⁸). However, at this time hospitals cannot achieve the standard through the sole use of tele-intensivists.

Note 3: On an interim basis, other categories of physicians may be considered by Leapfrog to be “certified in Critical Care Medicine” ([More Information](#)³⁶).

Note 4: The standard applies to all adult and pediatric general medical and/or surgical critical care patients and neuro critical care patients (medical and surgical), including those patients in non-critical care and mixed acuity units.

Reporting Period: 3 months

Answer questions #1-15 based on the staffing structure currently in place at the time that you submit this section of the Survey. The staffing structure should have been in place for at least the past 3 months and reflect the ordinary staffing structure for each applicable ICU.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

<p>1) What is the latest 3-month reporting period for which your hospital is submitting responses to this section? 3 months ending:</p>	<p style="text-align: center;">_____/_____ Format: Month/Year</p>
<p>2) Does your hospital operate any adult or pediatric general medical and/or surgical ICUs or neuro ICUs³⁴?</p> <p><i>If your hospital has more than one applicable ICU, respond to all questions in this section based on the ICU that has the lowest level of staffing by physicians certified in critical care medicine (More Information³⁴).</i></p> <p><i>If your hospital does not operate an applicable ICU but regularly admits critical care patients to non-critical care or mixed acuity units, select “yes” and respond to the remaining questions in Section 5.</i></p> <p><i>If “no” to question #2, skip the remaining questions in Section 5 and go to the Affirmation of Accuracy. The hospital will be scored as “Does Not Apply.”</i></p>	<p style="text-align: center;">Yes No</p>

<p>3) Is the ICU staffed with physicians who are certified in critical care medicine³⁶ and present on-site or via telemedicine?</p> <p><i>If “no” to question #3, skip the remaining questions in Section 5 and go to the Affirmation of Accuracy. This hospital will be scored as “Limited Achievement.”</i></p>	<p>Yes, the ICU is staffed with physicians certified in critical care medicine</p> <p>Yes, the ICU is staffed with physicians certified in critical care medicine based on Leapfrog’s expanded definition</p> <p>No, the ICU is not staffed with any physicians certified in critical care medicine</p>
<p>4) Do the physicians who are certified in critical care medicine³⁶ (whether present on-site or via telemedicine) manage or co-manage all critical care patients³³ in the ICU?</p> <p><i>If “no” to question #4, skip questions #5-11 and continue to question #12.</i></p>	<p>Yes, all patients are managed or co-managed by a physician certified in critical care medicine when the physician is present (on-site or via telemedicine)</p> <p>No, not all patients are managed or co-managed by a physician certified in critical care medicine when the physician is present (on-site or via telemedicine)</p>

There are currently [two different options](#) to achieve Leapfrog’s ICU Physician Standard: on-site intensivist coverage for 8 hours a day/7 days per week or 24/7 tele-intensivist coverage with some daily on-site intensivist coverage. Questions #5 and #6 are meant to differentiate between these two options; however, they are both worth the same credit. Hospitals that have 24/7 **on-site** intensivist coverage, and who meet all the criteria listed, should respond “yes” to question #5.

<p>5) Are all critical care patients³³ in the ICU managed or co-managed³⁵ by one or more physicians certified in critical care medicine³⁶ who meet all of the following criteria:</p> <ul style="list-style-type: none"> • ordinarily present³⁷ on-site in the ICU during daytime hours • for at least 8 hours per day, 7 days per week • providing clinical care exclusively³⁷ in the ICU during these hours? <p><i>If “yes” to question #5, skip question #6 and continue to question #7.</i></p>	<p>Yes</p> <p>No</p>
<p>6) Are all critical care patients³³ in the ICU managed or co-managed³⁵ by one or more physicians certified in critical care medicine³⁶ who meet all of the following criteria:</p> <ul style="list-style-type: none"> • present via telemedicine, in combination with on-site intensivist coverage, for a total of 24 hours per day, 7 days per week • meet all of Leapfrog’s ICU requirements for intensivist presence in the ICU via telemedicine (More Information³⁸) • supported by an on-site intensivist who establishes and revises the daily care plan for each ICU patient? 	<p>Yes</p> <p>No</p>

If “no” to question #5 and question #6, skip questions #7-8 and continue to question #9.

<p>7) When the physicians (from question #3) are not present in the ICU on-site or via telemedicine, do they return more than 95% of calls/pages/texts from these units within five minutes, based on a quantified analysis³⁹ of notification device response time? (More information on the use of telemedicine to cover calls⁴¹)</p>	<p>Yes</p> <p>No</p> <p><i>Not applicable; intensivists are present on-site 24/7</i></p>
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8) When the physicians (from question #3) are not present on-site in the ICU or not able to physically reach an ICU patient within 5 minutes, can they rely on a physician, physician assistant, nurse practitioner ⁴² , or FCCS-certified nurse or intern “effector” ⁴⁰ who is in the hospital and able to reach these ICU patients within five minutes in more than 95% of the cases, based on a quantified analysis ³⁹ of response time of the effector reaching the patient?	Yes No <i>Not applicable; intensivists are present on-site 24/7</i>
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If “no” to either question #7 or #8 in this section, continue to questions #9-15. If “yes” or “not applicable; intensivists are present on-site 24/7” to questions #7 and #8, skip the remaining questions in Section 5 and go to the Affirmation of Accuracy.

9) Are all critical care patients ³³ in the ICU managed or co-managed ³⁵ by one or more physicians certified in critical care medicine ³⁶ who meet all of the following criteria: <ul style="list-style-type: none"> • ordinarily present³⁷ on-site in the ICU during daytime hours • for at least 8 hours per day, 4 days per week or 4 hours per day, 7 days per week • providing clinical care exclusively³⁷ in the ICU during these hours? 	Yes No
10) Are all critical care patients ³³ in the ICU managed or co-managed ³⁵ by one or more physicians certified in critical care medicine ³⁶ who meet all of the following criteria: <ul style="list-style-type: none"> • present via telemedicine for 24 hours per day, 7 days per week • meet all of Leapfrog’s modified ICU requirements for intensivist presence in the ICU via telemedicine (More Information⁴³) • supported in the establishment and revision of daily care planning for each ICU patient by an on-site intensivist, hospitalist, anesthesiologist, or physician trained in emergency medicine? 	Yes No
11) Are all critical care patients ³³ in the ICU managed or co-managed ³⁵ by one or more physicians certified in critical care medicine ³⁶ who are: <ul style="list-style-type: none"> • on-site at least 4 days per week to establish or revise daily care plans for each critical care patient in the ICU? 	Yes No

If “yes” to question #9, #10, or #11, skip question #12 and continue to question #13.

12) If not all critical care patients ³³ are managed or co-managed ³⁵ by physicians certified in critical care medicine ³⁶ , either on-site or via telemedicine ³⁸ , are some critical care patients managed or co-managed by these physicians who are: <ul style="list-style-type: none"> • ordinarily present³⁷ on-site in the ICU during daytime hours • for at least 8 hours per day, 4 days per week or 4 hours per day, 7 days per week • providing clinical care exclusively³⁷ in the ICU during these hours? 	Yes No
13) Does an on-site clinical pharmacist do all the following: <ul style="list-style-type: none"> • at least 5 days per week, makes daily on-site rounds on all critical care patients³³ in the ICU • on the other 2 days per week, returns more than 95% of calls/pages/texts from the unit within 5 minutes, based on a quantified analysis³⁹ of notification device response time OR <ul style="list-style-type: none"> • makes daily on-site rounds on all critical care patients³³ in the ICU 7 days per week? 	Yes No <i>Clinical pharmacist rounds 7 days per week</i>

14) Does a physician certified in critical care medicine ³⁶ lead daily interprofessional rounds on-site on all critical care patients ³³ in the ICU 7 days per week?	Yes No
15) Are physicians certified in critical care medicine ³⁶ responsible for all ICU admission and discharge decisions when they are: <ul style="list-style-type: none"> • present on-site for at least 8 hours per day, 4 days per week or 4 hours per day, 7 days per week? 	Yes No

Section 5: 2023 ICU Physician Staffing (IPS) Reference Information***What's New in the 2023 Survey***

Based on feedback from hospitals, Leapfrog is updating the wording of several questions in this section to increase clarity regarding the criteria to respond “yes.”

First, question #3, which asks if physicians certified in critical care are managing or co-managing all critical care patients in applicable intensive care units (ICUs), is being separated into two questions. Second, we are updating questions #11 and #14 to specify the minimum number of hours required for intensivist coverage.

Next, for hospitals that operate more than one type of ICU included in Leapfrog’s standard (adult or pediatric general medical and/or surgical ICUs or neuro ICUs) with varying staffing models, Leapfrog is adding a note to remind hospitals that they should respond to questions in this section based on the ICU that has the lowest level of staffing by physicians certified in critical care medicine as described in endnote #34. Additionally, we are updating the phrasing throughout the section to reference the ICU that has the lowest level of staffing by physicians certified in critical care medicine more clearly.

While there are no changes to the scoring algorithm for Section 5: ICU Physician Staffing (IPS), Leapfrog is updating question references in the scoring algorithm to reflect the updated question numbering described above.

Change Summary Since Release

June 13, 2023 – Updated [endnote #36 Certified in Critical Care Medicine](#) to reflect updated pathway to certification offered by ABNS for neurointensivists. Please review updated [endnote #36](#) on pages 311-312 of the Survey.

ICU Physician Staffing (IPS) Frequently Asked Questions (FAQs)

General Questions

1. **What is the reporting period for this measure?**
Hospitals should report on Section 5 based on their staffing structure at the time they submit this section of the Survey. The staffing structure must have been in place for at least the past 3 months and should reflect the ordinary staffing structure for the ICU.
2. **How should hospitals report if they have more than one type of qualifying ICU?**
If your hospital has more than one applicable ICU, respond to all questions in this section based on the ICU that has the lowest level of staffing by physicians certified in critical care medicine. Review [endnote 34](#) for more information.
3. **Does Leapfrog’s IPS standard apply to mixed acuity units? A multi-organizational service unit (MOSU) unit?**
The standard applies to all adult and pediatric general medical and/or surgical critical care patients and neuro critical care patients (medical and surgical), including those patients in mixed acuity units and other non-critical care units.
5. **Are cardiac critical care patients in a general medical and/or surgical ICU required to be co-managed by an intensivist?**
Cardiac critical care patients in a general medical or surgical ICU that are in beds dedicated to cardiac critical care patients and being cared for by dedicated cardiac physicians (e.g., cardiologist or cardiac surgeon) and nursing staff are not included in Leapfrog's ICU Physician Staffing standard and do not need to be managed/co-managed by the intensivist. However, cardiac critical care patients in a general medical or surgical ICU bed being cared for by general medical or surgical critical care physicians and nursing staff are included in the standard and do need to be managed/co-managed by the intensivist. Additional clarification is available [here](#).

Certification

5. **Is there any empirical basis for specifying a minimum annual number of days of ICU experience for each board-eligible physician providing ICU care?**
No. Accordingly, if it is added to the Leapfrog standard in the future, it will be based on newly published research and expert advice.
6. **Do all intensivists serving as tele-intensivists need to meet Leapfrog’s definition of “certified in critical care medicine”?**
Yes. All intensivists who serve as tele-intensivists do need to meet [Leapfrog’s definition](#) of “certified in critical care medicine.”
7. **Is a physician who is awaiting the results of the certification test considered “certified in critical care medicine”?**
A physician is considered certified in critical care medicine as long as the board that originally certified the physician in critical care medicine deems that physician certified. Intensivists that are close to having their certification lapse should check with the board that certified them to see how exactly that board defines a lapsed certification.
8. **How should intensivists trained in critical medicine in a foreign country be treated for purposes of meeting the ICU Physician Staffing (IPS) Standard?**
Foreign trained physicians who were certified in critical care medicine in the country in which they trained would be considered certified for the purposes of the ICU Physician Staffing (IPS) standard.

Telemedicine

9. Can you clarify what you mean by combined presence of tele-intensivists and on-site intensivists, as needed, for 24 hours per day/7 days per week in question #6?

Hospitals can achieve Leapfrog’s IPS Standard by having daily on-site intensivist coverage combined with a telemedicine service that meets all ten of [the Leapfrog requirements](#). For example, an on-site intensivist takes two hours in the morning to establish and revise the daily care plan for each ICU patient, then a tele-intensivist picks up management or co-management of the ICU patients and is continually monitoring all critical care patients for the remaining 22 hours, for a combined total of 24 hours per day/7 days per week.

Hospitals should minimize [the number of hand-offs](#) between the on-site intensivist and the tele-intensivist during a 24-hour period and maximize the continuous time that one type of intensivist is caring for critical care patients. **Leapfrog does not recommend more than 4 hand-offs per 24 hours.**

10. Our hospital uses a telemedicine service to provide coverage in our ICU for 16 hours/7 days per week coverage when the on-site intensivist is not present at the hospital. Can our hospital still fully meet Leapfrog’s standard?

Hospitals that use telemedicine to cover “call” for the on-site intensivist are able to fully meet Leapfrog’s standard if: (1) the telemedicine service meets all ten of [the Leapfrog requirements](#); and (2) the hospital has an “effector” (physician/PA/NP/FCCS-certified nurse or intern) on-site during that time period to carry out the tele-intensivist’s orders and can reach the ICU patient within 5 minutes, 95% of the time.

Response Time

11. Does Leapfrog specify standards for second tier calls (e.g., the initial call to a physician is not answered within 5 minutes)?

No. We do not intend to reach this level of detail in our specifications, absent a compelling case that the gain would offset its added complexity.

12. Are there definitions for what constitutes high and low urgency calls/pages/texts?

No, and hospitals don’t have to focus only on high urgency calls/pages/texts, but some notification device systems can make this differentiation, and, in these instances, low urgency calls/pages/texts can be excluded from the analysis of response times.

13. If my hospital has few instances where there is no intensivist coverage, how should we conduct the response time audit? Can we perform mock pages to satisfy the intent?

Unannounced mock pages would meet the intent. For the audit to be reliable, 20 unannounced mock pages over 90 days should be evaluated.

14. When an intensivist is not on-site in the ICU, can hospitals use a non-FCCS-certified CRNA as the “effector?”

No. To serve as the “effector,” CRNAs require FCCS-certification.

15. When an intensivist is not on-site in the ICU, can hospitals use FCCS-certified interns as the “effector?”

Yes. An FCCS-certified intern can serve as the “effector.”

Rounding

16. How does Leapfrog define “makes on-site daily rounds” for clinical pharmacists in question #13?

A clinical pharmacist would need to make on-site daily rounds (5 or 7 days per week) on **all** critical care patients (not some or most critical care patients) in **all** applicable ICUs (adult or pediatric general medical and/or surgical ICUs and neuro ICUs).

Please note that if your clinical pharmacist is rounding 5 days per week, then, during the other 2 days per week, a clinical pharmacist still needs to respond to calls/texts/pages within 5 minutes, as determined by a response time audit.

17. Are pharmacy residents considered clinical pharmacists in question #13?

To be considered a clinical pharmacist, Leapfrog only requires that the pharmacist have a Doctor of Pharmacy (PharmD) degree. Therefore, a PharmD graduate completing a residency would meet Leapfrog’s criterion to be considered a clinical pharmacist.

18. What roles should be included in interprofessional rounds in question #14?

For rounds to be considered interprofessional, the team should include 3 or more persons. Typical personnel that would be part of the rounding team include physician, nurse, pharmacist, physical and/or occupational therapist, and nutritionist.

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SECTION 6: PATIENT SAFETY PRACTICES

This section includes questions and reference information for Section 6: Patient Safety Practices. Please carefully review the questions, endnotes, and reference information (e.g., measure specifications, notes, and frequently asked questions) before you begin. Failure to review the reference information could result in inaccurate responses.

Section 6: 2023 Patient Safety Practices

NQF Safe Practices Fact Sheets: <https://ratings.leapfroggroup.org/measure/hospital/2023/effective-leadership-prevent-errors> and <https://ratings.leapfroggroup.org/measure/hospital/2023/staff-work-together-prevent-errors>

Hand Hygiene Fact Sheet and Bibliography:

<https://ratings.leapfroggroup.org/measure/hospital/2023/handwashing>

Nursing Workforce Fact Sheet and Bibliography:

<https://ratings.leapfroggroup.org/measure/hospital/2023/nursing-workforce>

Section 6 includes questions about your hospital's adherence to two National Quality Forum-endorsed Safe Practices, questions about your hospital's nursing staffing and skill mix, and questions about hand hygiene practices. In 2023, the subsection on nurse staffing and skill mix (6C) will be scored and publicly reported.

Each hospital achieving the standard for NQF Safe Practice #1 – Culture of Safety Leadership Structures and Systems and NQF Safe Practice #2 – Culture Measurement, Feedback, and Intervention:

Has earned 100% of points (adopted all elements) for the NQF Safe Practice.

Each hospital achieving the standard for Total Nursing Care Hours per Patient Day:

Has a ratio of total nursing care hours per patient day that is greater than or equal to the 50th percentile (where higher is better) for that hospital's cohort (small teaching, large teaching, non-teaching, pediatric, or critical access hospital).

Each hospital achieving the standard for RN Hours per Patient Day:

Has a ratio of RN hours per patient day that is greater than or equal to the 50th percentile (where higher is better) for that hospital's cohort (small teaching, large teaching, non-teaching, pediatric, or critical access hospital).

Each hospital achieving the standard for Nursing Skill Mix:

Has a percentage of total productive nursing hours worked by RN nursing staff that is higher than or equal to the 50th percentile (where higher is better) for the hospital's cohort (small teaching, large teaching, non-teaching, pediatric, or critical access hospitals).

Each hospital achieving the standard for Percentage of RNs who are BSN-Prepared:

Has a percentage of RNs who are BSN-prepared that is greater than or equal to 80%.

Each hospital achieving the standard for Hand Hygiene:

Has met all elements for the Monitoring domain including collecting compliance data on at least 200 hand hygiene opportunities* each month in each patient care unit. Has also met all elements for the Feedback domain, as well as **2 of the 3** remaining domains for hand hygiene:

- Training and Education Domain
- Infrastructure Domain
- Culture Domain

OR

Has met all elements for the Monitoring domain including collecting compliance data on at least 100 hand hygiene opportunities* each month in each patient care unit, as well as **all 4** remaining domains for hand hygiene:

- Feedback Domain
- Training and Education Domain
- Infrastructure Domain
- Culture Domain

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**or at least the number of hand hygiene opportunities outlined based on the unit type in [Tables 1-3](#) or [Tables 4-6](#).*

Download the 2023 Leapfrog Hospital Survey Scoring Algorithm on the [Scoring and Results webpage](#).

Instructions for Reporting on Section 6: Patient Safety Practices

1. **Prepare:**
 - a. Download and review a copy of the National Quality Forum's *Safe Practices for Better Healthcare – 2010 Update* report for reporting on subsections 6A, 6B, and NQF Safe Practice #9 in 6C (see link on <http://leapfroggroup.org/survey-materials/survey-and-cpoe-materials>).
 - b. Print and review a hard copy of (1) the Survey questions, (2) the practice-specific FAQs, and (3) the scoring algorithm.
2. **Identify Individuals to Assist:** Decide who should participate on your team to assist in collection of the documentation for assessment.
3. **Plan:** The team should be briefed and assigned duties to help capture the key information necessary for submission of this section.
4. **Collect and Maintain:** Key documentation must be collected to support answering the questions in this section of the Survey. Please refer to the [Survey Binder](#) for examples of acceptable documentation. Documentation should be maintained to ensure that your hospital can respond to Leapfrog's request for documentation should you be selected for our [Monthly Documentation Requirements](#). Reviews are performed every month during the Survey Cycle (April 1 to November 30) and throughout the Corrections Period). In addition, the documentation can be helpful if your hospital is planning to update and resubmit this section of the Survey prior to November 30.
5. **Assess:** When all the supporting documents are assembled, it is recommended that hospitals review their final responses to Section 6 with the CEO and/or responsible leadership. Hospitals should update their answers online as they adopt additional practices throughout the Survey Cycle (April 1 to November 30). As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.
6. **Submit:** Section 6 must be completed and affirmed before it can be submitted with the Survey.

Important Note: In the Online Hospital Survey Tool, make sure to click the “**Review of this Practice Complete**” checkbox at the bottom of each safe practice (subsections 6A-6B only) even if no items are checked, to mark the Safe Practice as complete. This checkbox must be checked for NQF-endorsed Safe Practices #1 and #2 in order to affirm Section 6 in the Online Hospital Survey Tool.

	<p>d <input type="checkbox"/> the interdisciplinary patient safety team communicated regularly with senior administrative leadership regarding both of the following and documented these communications in meeting minutes (pp. 76-77):</p> <ul style="list-style-type: none"> • progress in meeting safety goals; and • provide team training to caregivers. <p>e <input type="checkbox"/> the hospital reported adverse events to external mandatory or voluntary programs. (p.77)</p>
1.3 ABILITY	<p>Within the last 12 months, in regard to implementation of the patient safety program, the board (governance) and senior administrative leadership have provided resources to cover the implementation as evidenced by:</p> <p>a <input type="checkbox"/> dedicated patient safety program budgets to support the program, staffing, and technology investment. (p.77)</p>
1.4 ACTION	<p>Within the last 12 months, structures and systems have been in place to ensure that senior administrative leadership is taking direct action, as evidenced by:</p> <p>a <input type="checkbox"/> CEO and senior administrative leadership are personally engaged in reinforcing patient safety improvements, e.g., “walk-arounds,” and reporting to the board (governance). Calendars reflect allocated time. (p.78)</p> <p>b <input type="checkbox"/> CEO has actively engaged leaders from service lines, midlevel management, nursing leadership, and physician leadership in patient safety improvement actions. (p.79)</p> <p>c <input type="checkbox"/> hospital has established a structure for input into the patient safety program by licensed independent practitioners and the organized medical staff and physician leadership. Input documented in meeting minutes or materials. (p.79)</p>
1.5	<p><input type="checkbox"/> Review of this Safe Practice is complete. <i>This checkbox is in the Online Hospital Survey Tool to ensure that your hospital has reviewed the data entry for the above questions. This question must be marked, even if no items are checked.</i></p>

6B: NQF Safe Practice #2 – Culture Measurement, Feedback, and Intervention

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: Page numbers throughout this subsection refer to the [NQF Safe Practices for Better Healthcare – 2010 Update](#) report, not this document.

Note 2: Hyperlinks throughout this subsection refer to the [Patient Safety Practices FAQs](#) beginning on page 213, not to endnotes. These hyperlinks are not included in the Online Survey Tool.

Note 3: Throughout this subsection, the culture of safety survey referenced in each practice element refers to one administered in the last 24 months as indicated in 2.1a. This means that the same culture of safety survey should be used when responding to each practice element.

Check all boxes that apply.

2.1	<p>Within the last 24 months, in regard to culture measurement, our organization has done the following:</p> <p>a <input type="checkbox"/> conducted a culture of safety survey of our employees using a nationally recognized tool that has demonstrated validity, consistency, and reliability. The units surveyed account for at least 50% of the aggregated care delivered to patients within the hospital and include the high patient safety risk units or departments. (p.88)</p> <p style="text-align: center;"><i>If item ‘a’ is not checked, no other items in NQF Safe Practice #2 may be checked.</i></p> <p>b <input type="checkbox"/> portrayed the results of the culture of safety survey in a report, which reflects both hospital-wide and individual unit level results, as applicable. (p.88)</p> <p>c <input type="checkbox"/> benchmarked results of the culture of safety survey against external organizations, such as “like” hospitals or other hospitals within the same health system.</p> <p>d <input type="checkbox"/> compared results of the culture of safety surveys across roles and staff levels.</p> <p>e <input type="checkbox"/> service line, midlevel managers, or senior administrative leaders used the results of the culture of safety survey to debrief at the relevant unit level, using semi-structured approaches for the debriefings and presenting results in aggregate form to ensure the anonymity of survey respondents.</p>
2.2	<p>Within the last 24 months, in regard to accountability for improvements in culture measurement, our organization has done the following:</p> <p>a <input type="checkbox"/> shared the results of the culture of safety survey with the board (governance) and senior administrative leadership in a formal report and discussion. (p.88)</p> <p>b <input type="checkbox"/> included in performance evaluation criteria for senior administrative leadership both the response rates to the culture of safety survey and the use of the culture of safety survey results in the improvement efforts.</p>

<p>2.3</p> <p>ABILITY</p>	<p>Within the last 12 months, in regard to culture measurement, the organization has done the following (or has had the following in place):</p> <p>a <input type="checkbox"/> conducted staff education program(s) on methods to improve the culture of safety, tailored to the organization’s culture of safety survey results.</p> <p>b <input type="checkbox"/> included the costs of culture measurement/follow-up activities in the patient safety program budget.</p>
<p>2.4</p> <p>ACTION</p>	<p>Within the last 12 months, in regard to culture measurement, feedback, and interventions, our organization has done the following (or has had the following in place):</p> <p>a <input type="checkbox"/> developed or implemented explicit, hospital-wide organizational policies and procedures for regular culture measurement. (p.88)</p> <p>b <input type="checkbox"/> disseminated the results of the culture of safety survey widely across the institution, and senior administrative leadership held follow-up meetings with the sampled units to discuss the unit’s results and concerns. (p.88)</p> <p>c <input type="checkbox"/> identified performance improvement interventions based on the culture of safety survey results, which were shared with senior administrative leadership and subsequently measured and monitored. (p.88)</p>
<p>2.5</p>	<p><input type="checkbox"/> Review of this Safe Practice is complete.</p> <p><i>This checkbox is in the Online Hospital Survey Tool to ensure that your hospital has reviewed the data entry for the above questions. This question must be marked, even if no items are checked.</i></p>

6C: Nursing Workforce

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Specifications: See [Nursing Workforce Measure Specifications](#) in the Reference Information beginning on page 201.

Nurse Staffing and Skill Level

Reporting Period: 12 months

- Survey submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) 12-month reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
2) Does your hospital submit data on Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, Nursing Skill Mix, and/or Percentage of RNs who are BSN-Prepared to any of the following nursing databases? <i>Select all that apply.</i>	<input type="checkbox"/> National Database of Nursing Quality Indicators (NDNQI) <input type="checkbox"/> PatientCareLink <input type="checkbox"/> Other <input type="checkbox"/> None of the above
3) Did your hospital calculate the Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, and Nursing Skill Mix measures for the reporting period, and do you choose to report those data to this Survey? <i>If “no” to question #3, skip questions #4-10 and continue to question #11. The hospital will be scored as “Limited Achievement.”</i>	Yes No
4) Which method did your hospital use to calculate the total number of patient days for each medical, surgical and/or med-surg unit?	Midnight census Patient days from actual hours Patient days from multiple census reports Midnight census and patient days from actual hours for short stay patients Other

Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, and Nursing Skill Mix

5) Does your hospital operate any adult and/or pediatric Medical Units (excluding step-down and progressive units) ? <i>If “no” to question #5, skip question #6 and continue to question #7.</i>	Yes No
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6) Enter your hospital's responses below by quarter across all adult and/or pediatric Medical Units (excluding step-down and progressive units) for the reporting period selected in question #1:			
	(a) Total number of patient days:	(b) Total number of productive hours worked by employee or contract nursing staff with direct patient care responsibilities (RN, LPN/LVN, and UAP):	(c) Total number of productive hours worked by RN nursing staff with direct patient care responsibilities:
Quarter 1			
Quarter 2			
Quarter 3			
Quarter 4			

7) Does your hospital operate any adult and/or pediatric Surgical Units (excluding step-down and progressive units) ? <i>If "no" to question #7, skip question #8 and continue to question #9.</i>	Yes No
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8) Enter your hospital's responses below by quarter across all adult and/or pediatric Surgical Units (excluding step-down and progressive units) for the reporting period selected in question #1:			
	(a) Total number of patient days:	(b) Total number of productive hours worked by employee or contract nursing staff with direct patient care responsibilities (RN, LPN/LVN, and UAP):	(c) Total number of productive hours worked by RN nursing staff with direct patient care responsibilities:
Quarter 1			
Quarter 2			
Quarter 3			
Quarter 4			

9) Does your hospital operate any adult and/or pediatric Med-Surg Units (excluding step-down and progressive units) ? <i>If "no" to question #9, skip question #10 and continue to question #11.</i>	Yes No
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10) Enter your hospital's responses below by quarter across all adult and/or pediatric Med-Surg Units (excluding step-down and progressive units) for the reporting period selected in question #1:			
	(a) Total number of patient days:	(b) Total number of productive hours worked by employee or contract nursing staff with direct patient care responsibilities (RN, LPN/LVN, and UAP):	(c) Total number of productive hours worked by RN nursing staff with direct patient care responsibilities:
Quarter 1			
Quarter 2			
Quarter 3			
Quarter 4			

Percentage of RNs who are BSN-Prepared

Reporting Period: 12 months

Answer questions #11-13 based on the most recent day within the last 12-months for which you have complete data.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

<p>11) Did your hospital calculate the Percentage of RNs who are BSN-Prepared measure for the reporting period, and do you choose to report those data to this Survey?</p> <p><i>If “no” to question #11, skip questions #12-13 and continue to question #14. The hospital will be scored as “Limited Achievement.”</i></p>	<p style="text-align: center;">Yes No</p>
<p>12) Total number of employed RN nursing staff at the hospital with direct patient care responsibilities:</p>	<p style="text-align: center;">_____</p>
<p>13) Total number of employed RN nursing staff at the hospital with direct patient care responsibilities who have a BSN degree or higher (e.g., MSN, DNP, PhD):</p>	<p style="text-align: center;">_____</p>

NQF Safe Practice #9 – Nursing Workforce

Important Note: Hyperlinks in question #15 refer to the [Patient Safety Practices FAQs](#) beginning on page 213, not to endnotes. These hyperlinks are not included in the Online Survey Tool.

<p>14) Is your hospital currently recognized as an American Nurses Credentialing Center (ANCC) Magnet® organization or a 2020 Pathway to Excellence® organization?</p> <p><i>If “yes, our hospital is a current American Nurses Credentialing Center (ANCC) Magnet® organization” or “yes, our hospital is a 2020 Pathway to Excellence® organization,” skip question #15, and continue to the next subsection.</i></p> <p><i>Pathway to Excellence® hospitals that received the designation prior to 2020 must select “No.”</i></p>	<p style="text-align: center;">Yes, our hospital is a current American Nurses Credentialing Center (ANCC) Magnet® organization Yes, our hospital is a 2020 Pathway to Excellence® organization No</p>
<p>15) Within the last 12 months, to ensure adequate and competent nursing staff service and nursing leadership at all levels, our organization has:</p>	
<p>a. held nursing leadership directly accountable for improvements in performance through performance reviews or compensation.</p>	<p style="text-align: center;">Yes No</p>
<p>b. included nursing leadership as part of the hospital senior administrative leadership team.</p>	<p style="text-align: center;">Yes No</p>

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<p>c. held the board (governance) and senior administrative leadership accountable for the provision of financial resources to ensure adequate nurse staffing levels.</p>	<p>Yes No</p>
<p>d. budgeted financial resources for balancing staffing levels and skill levels to improve performance.</p>	<p>Yes No</p>
<p>e. developed a staffing plan, with input from nurses, to ensure that adequate nursing staff-to-patient ratios are achieved.</p>	<p>Yes No</p>

6D: Hand Hygiene

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: Question #22 will not be scored or publicly reported.

Note 2: Hyperlinks not followed by a superscript throughout this subsection refer to the [Patient Safety Practices FAQs](#) beginning on page 213. These hyperlinks are not included in the Online Survey Tool.

Note 3: The framework and questions in this subsection are modeled after the World Health Organization’s [Hand Hygiene Self-Assessment Framework](#).

Note 4: Hospital responses should reflect [patient care units](#) only, including all inpatient units, outpatient units (pre-operative and post-operative), observation units, and emergency department units. Only include outpatient locations that share your hospital’s license and/or CMS Certification Number (CCN).

Specifications: See [Hand Hygiene Measure Specifications](#) in the Reference Information beginning on page 205.

Reporting Period: Answer questions #1-22 based on the practices currently in place at the time you submit this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Training and Education

<p>1) Do individuals who touch patients or who touch items that will be used by patients⁴⁴ in your patient care units receive hand hygiene training from a professional with appropriate training and skills⁴⁵ at both:</p> <ul style="list-style-type: none"> • the time of onboarding, and • annually thereafter? <p><i>If “no” to question #1, skip questions #2-3 and continue to question #4.</i></p>	<p>Yes No</p>
<p>2) In order to pass the initial hand hygiene training, do individuals who touch patients or who touch items that will be used by patients⁴⁴ in your patient care units need to physically demonstrate proper hand hygiene with soap and water and alcohol-based hand sanitizer?</p>	<p>Yes No</p>
<p>3) Are all six of the following topics included in your hospital’s initial and annual hand hygiene training?</p> <ul style="list-style-type: none"> • Evidence linking hand hygiene and infection prevention • When individuals who touch patients or who touch items that will be used by patients⁴⁴ should perform hand hygiene (e.g., WHO’s 5 Moments for Hand Hygiene, CDC’s Guideline for Hand Hygiene) • How individuals who touch patients or who touch items that will be used by patients⁴⁴ should clean their 	<p>Yes No</p>

<p>hands with alcohol-based hand sanitizer and soap and water as to ensure they cover all surfaces of hands and fingers, including thumbs and fingernails</p> <ul style="list-style-type: none"> • When gloves should be used in addition to hand washing (e.g., caring for <i>C. diff.</i> patients) and how hand hygiene should be performed when gloves are used • The minimum time that should be spent performing hand hygiene with soap and water and alcohol-based hand sanitizer • How hand hygiene compliance is monitored 	
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Infrastructure

<p>4) Does your hospital have a process in place to ensure that all the following are done, as necessary, and quarterly audits are conducted on a sample of dispensers in your patient care units to ensure that the process is followed?</p> <ul style="list-style-type: none"> • Refill paper towels, soap dispensers, and alcohol-based hand sanitizer dispensers when they are empty or near empty • Replace batteries in automated paper towel dispensers, soap dispensers, and alcohol-based hand sanitizer dispensers (if automated dispensers are used in the patient care units) 	<p>Yes No</p>
<p>5) Do all rooms and bed spaces in your patient care units have:</p> <ul style="list-style-type: none"> • an alcohol-based hand sanitizer dispenser located at the entrance to the room or bed space, and • alcohol-based hand sanitizer dispenser(s) located inside the room or bed space that are equally accessible to the location of all patients in the room or bed space? 	<p>Yes No</p>
<p>6) Does your hospital conduct audits of the volume of alcohol-based hand sanitizer that is delivered with each activation of a wall-mounted dispenser (manual and automated) on a sample of dispensers in your patient care units at all the following times:</p> <ul style="list-style-type: none"> • upon installation, • whenever the brand of product or system changes, and • whenever adjustments are made to the dispensers? <p>OR</p> <p>Has your hospital conducted an audit of the volume of alcohol-based hand sanitizer that is delivered with each activation of a wall-mounted dispenser (manual and automated) on a sample of your hospital's <u>existing</u> dispensers if there have been no changes to any dispensers?</p> <p><i>If "no" or "does not apply, wall-mounted dispensers are not used," skip question #7 and continue to question #8.</i></p>	<p>Yes No <i>Does not apply, wall-mounted dispensers are not used</i></p>
<p>7) Do all of the audited dispensers deliver, with one activation, 1.0 mL of alcohol-based hand sanitizer OR a volume of alcohol-based hand sanitizer that covers the hands completely and requires 15 or more seconds for hands to dry (on average)?</p>	<p>Yes No</p>

Monitoring

<p>8) Does your hospital collect hand hygiene compliance data on at least 200 hand hygiene opportunities, or at least the number of hand hygiene opportunities outlined based on the unit type in Tables 1-3, each <u>month</u> in each patient care unit?</p> <p><i>If “yes” to question #8, skip questions #9-10 and continue to question #11.</i></p>	<p><i>Yes, using an electronic compliance monitoring system throughout all patient care units</i> <i>Yes, using an electronic compliance monitoring system throughout some patient care units and only direct observation in all other patient care units</i> <i>Yes, using only direct observation throughout all patient care units</i> No</p>
<p>9) Does your hospital collect hand hygiene compliance data on at least 100 hand hygiene opportunities, or at least the number of hand hygiene opportunities outlined based on the unit type in Tables 4-6, each <u>month</u> in each patient care unit?</p> <p><i>If “yes” to question #9, skip question #10 and continue to question #11.</i></p>	<p><i>Yes, using an electronic compliance monitoring system throughout all patient care units</i> <i>Yes, using an electronic compliance monitoring system throughout some patient care units and only direct observation in all other patient care units</i> <i>Yes, using only direct observation throughout all patient care units</i> No</p>
<p>10) Does your hospital collect hand hygiene compliance data on at least 100 hand hygiene opportunities each <u>quarter</u> in each patient care unit?</p> <p><i>If “no” to question #10, skip questions #11-19 and continue to question #20.</i></p>	<p><i>Yes, using an electronic compliance monitoring system throughout all patient care units</i> <i>Yes, using an electronic compliance monitoring system throughout some patient care units and only direct observation in all other patient care units</i> <i>Yes, using only direct observation throughout all patient care units</i> No</p>
<p>11) Does your hospital use hand hygiene coaches or compliance observers to provide individuals who touch patients or who touch items that will be used by patients⁴⁴ in your patient care units with feedback on both when they are and are not compliant with performing hand hygiene?</p>	<p>Yes No</p>

Direct Monitoring – Electronic Compliance Monitoring System

If “yes, using an electronic compliance monitoring system throughout all patient care units” or “yes, using an electronic compliance monitoring system throughout some patient care units and only direct observation in all other patient care units” to question #8, question #9, or question #10, answer questions #12-13 based on the units that use an electronic compliance monitoring system.

<p>12) In those patient care units where an electronic compliance monitoring system is used, does the monitoring system used meet both of the following criteria?</p> <ul style="list-style-type: none"> • The system can identify both opportunities for hand hygiene and that hand hygiene was performed • The hospital itself has validated the accuracy of the data collected by the electronic compliance monitoring system 	<p>Yes No</p>
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<p>13) In those patient care units where an electronic compliance monitoring system is used, are direct observations also conducted for coaching and intervention purposes that meet all the following criteria?</p> <ul style="list-style-type: none"> • Observers immediately intervene prior to any harm occurring to provide non-compliant individuals with immediate feedback • Observations identify both opportunities for hand hygiene and compliance with those opportunities • Observations determine who practiced hand hygiene, verify when they practiced it, and whether their technique was correct • Observations within a unit are conducted weekly or monthly across all shifts and on all days of the week proportional to the number of individuals who touch patients or who touch items that will be used by patients⁴⁴ on duty for that shift • Observations capture a representative sample of the different roles of individuals who touch patients or who touch items that will be used by patients⁴⁴ (e.g., nurses, physicians, techs, environmental services workers) 	<p>Yes No</p>
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Direct Monitoring – Direct Observation

If “yes, using an electronic compliance monitoring system throughout some patient care units and only direct observation in all other patient care units” or “yes, using only direct observation” to question #8, question #9, or question #10 answer questions #14-15 based on the units that do NOT use an electronic compliance monitoring system.

<p>14) In those patient care units where an electronic compliance monitoring system is NOT used, do the direct observations meet all the following criteria?</p> <ul style="list-style-type: none"> • Observations identify both opportunities for hand hygiene and compliance with those opportunities • Observations determine who practiced hand hygiene, verify when they practiced it, and whether their technique was correct • Observations within a unit are conducted weekly or monthly across all shifts and on all days of the week proportional to the number of individuals who touch patients or who touch items that will be used by patients⁴⁴ on duty for that shift • Observations are conducted to capture a representative sample of the different roles of individuals who touch patients or who touch items that will be used by patients⁴⁴ (e.g., nurses, physicians, techs, environmental services workers) 	<p>Yes No</p>
<p>15) Does your hospital have a system in place for both the initial and recurrent training and validation of hand hygiene compliance observers?</p>	<p>Yes No</p>

Feedback

16) Are unit-level hand hygiene compliance data fed back to individuals who touch patients or who touch items that will be used by patients ⁴⁴ at least monthly for improvement work?	Yes No
17) Are unit-level hand hygiene compliance data used for creating unit-level action plans?	Yes No
18) Is regular (at least every 6 months) feedback of hand hygiene compliance data, with demonstration of trends over time, given to: <ul style="list-style-type: none"> • senior administrative leadership, physician leadership, and nursing leadership, • the board (governance), and • the medical executive committee? <p><i>If “no” to question #18, skip question #19 and continue to question #20.</i></p>	Yes No
19) If “yes” to question #18, is senior administrative leadership , physician leadership , and nursing leadership held directly accountable for hand hygiene performance through performance reviews or compensation ?	Yes No

Culture

20) Are patients and visitors invited to remind individuals who touch patients or who touch items that will be used by patients ⁴⁴ to perform hand hygiene?	Yes No
21) Have all of the following individuals (or their equivalents) demonstrated a commitment to support hand hygiene improvement in the last year (e.g., a written or verbal commitment delivered to those individuals who touch patients or who touch items that will be used by patients ⁴⁴)? <ul style="list-style-type: none"> • Chief Executive Officer • Chief Medical Officer • Chief Nursing Officer 	Yes No
22) Do all rooms and bed spaces in your patient care units have a sink for hand washing within 20 feet of the patient’s bed that is easily accessible to individuals who touch patients or who touch items that will be used by patients ⁴⁴ ?	Yes No

Affirmation of Accuracy

As the hospital CEO or as an employee of the hospital to whom the hospital CEO has delegated responsibility, I have reviewed this information pertaining to the Patient Safety Practices Section at our hospital, and I hereby certify that this information is true, accurate, and reflects the current, normal operating circumstances at our hospital. I am authorized to make this certification on behalf of our hospital.

The hospital and I understand that The Leapfrog Group, its members, the public and entities and persons who contract or have other business dealings with The Leapfrog Group are relying on the truth and accuracy of this information. The hospital and I also understand that The Leapfrog Group will make this information and/or analyses of this information public through the Survey Results public reporting website, The Leapfrog Group’s Hospital Safety Grade, and/or other Leapfrog Group products and services. This information and/or analyses and all intellectual property rights therein shall be and remain the sole and exclusive property of The Leapfrog Group in which The Leapfrog Group retains exclusive ownership. This information does not infringe upon any third-party intellectual property rights or any other third-party rights whatsoever and is free and clear of all encumbrances and liens of any kind. The hospital and I acknowledge that The Leapfrog Group may use this information in a commercial manner for profit. The hospital shall be liable for and shall hold harmless and indemnify The Leapfrog Group from any and all damages, demands, costs, or causes of action resulting from any inaccuracies in the information or any misrepresentations in this Affirmation of Accuracy. The Leapfrog Group and its members and entities and persons who contract or have other business dealings with The Leapfrog Group reserve the right to omit or disclaim information that is not current, accurate or truthful.

Affirmed by _____, the hospital’s _____,
(first name and last name) *(title)*
on _____.
(date)

Section 6: 2023 Patient Safety Practices Reference Information

What's New in the 2023 Survey

Section 6C: Nursing Workforce

Leapfrog is scoring and publicly reporting results for the Nurse Staffing and Skill Level measures (Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, Nursing Skill Mix, and Percentage of RNs who are BSN-Prepared) and is moving these measures to Section 6C: Nursing Workforce. In preparation for scoring and public reporting, we are also adding a question regarding which method was used to calculate the total number of patient days for each medical, surgical, and/or med-surg unit.

Leapfrog is also updating the measure specifications for Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, and Nursing Skill Mix to more closely align with the National Database of Nursing Quality Indicators' (NDNQI) definitions of medical, surgical, and medical/surgical units. Previously hospitals were instructed to exclude units from reporting if at least 90% of the patients in the unit require telemetry, or the primary reason for admission to the unit is the patient's need for telemetry. That exclusion has been removed for 2023.

Leapfrog has also [published](#) benchmarking data for all new nurse staffing and skill mix measures so that hospitals can assess how they compare nationally on these four measures.

Further, given that Leapfrog has asked hospitals to report their progress in implementing the elements of NQF Safe Practice #9 – Nursing Workforce for over 15 years, we are now only asking hospitals to report on five of the seventeen practice elements not directly captured through the Nurse Staffing and Skill Level measures. The five practice elements include:

- 9.2a: held nursing leadership directly accountable for improvements in performance through performance reviews or compensation.
- 9.2b: included nursing leadership as part of the hospital senior administrative leadership team.
- 9.2d: held the board (governance) and senior administrative leadership accountable for the provision of financial resources to ensure adequate nurse staffing levels.
- 9.3d: budgeted financial resources for balancing staffing levels and skill levels to improve performance.
- 9.4a: developed a staffing plan, with input from nurses, to ensure that adequate nursing staff-to-patient ratios are achieved.

Hospitals recognized as an American Nurses Credentialing Center (ANCC) Magnet® hospital or a 2020 Pathway to Excellence® hospital will receive full credit for the five practice elements. However, NQF Safe Practice #9 is not being scored and publicly reported as a stand-alone measure. Instead, the NQF Safe Practice #9 – Nursing Workforce measure will only be used in scoring if the hospital scores in the bottom performance category (“Limited Achievement”) on the Nurse Staffing and Skill Mix measures (Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, and Nursing Skill Mix) to bump the hospital's performance category up from “Limited Achievement” to “Some Achievement.”

Change Summary Since Release

July 21, 2023 – Section 6C Nursing Workforce – Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, and Nursing Skill Mix: Updated exclusions for medical, surgical or med-surg units with fewer than 15 patient days per month for all three months during a quarter and units that were closed for an entire quarter of the reporting period. Please review updated specifications on page 202 of the Survey.

Patient Safety Practices Measure Specifications

Nursing Workforce

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, and Nursing Skill Mix

<p>Source: American Nurses Association (ANA) (National Quality Forum #0204 and #0205)</p>
<p>Reporting Period: 12 months</p> <ul style="list-style-type: none">• Survey submitted prior to September 1:<ul style="list-style-type: none">○ 01/01/2022 – 12/31/2022• Surveys submitted on or after September 1:<ul style="list-style-type: none">○ 07/01/2022 – 06/30/2023
<p>Hospitals that report this data to NDNQI (National Database of Nursing Quality Indicators): Hospitals that report to NDNQI (National Database of Nursing Quality Indicators) will be able to respond to questions #4 - 10 in Section 6C using data that is available upon request for system product users. Hospitals should email NDNQISupport@PressGaney.com or call 855-304-9788 to connect with one of NDNQI's team members and specify that they are requesting support for extracting data from the data summary report or system data export for the purposes of reporting on Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, and Nursing Skill Mix in the Leapfrog Hospital Survey.</p>
<p>Question #4: Based on your hospital's current method for counting patient days, select one of the five (5) methods below to calculate the total number of patient days for all medical, surgical, and med-surg units:</p> <p>Midnight Census If your hospital has a daily midnight census count, sum the daily midnight census counts (the number of patients on the unit at midnight each day) during the reporting period.</p> <p>Patient Days from Actual Hours If your hospital has an accounting system that tracks the actual time spent in the hospital by each patient, sum the actual hours for all patients during the reporting period and divide by 24.</p> <p>Patient Days from Multiple Census Reports If your hospital collects censuses multiple times per day (e.g., every 4 hours or each shift), sum the daily average censuses to determine patient days for the reporting period.</p> <p>Midnight Census and Patient Days from Actual Hours for Short Stay Patients If your hospital uses a combination of Midnight Census and Patient Days from Actual Hours, select this option.</p> <p>Other If your hospital does not use any of the methods above, select this option.</p> <p><u>Patient days</u> should be calculated to include all patients in inpatient medical, surgical, and med-surg units. This includes patients that do not have inpatient status (i.e., observation patients).</p>
<p>Questions #5, #7, and #9:</p> <p>Medical, Surgical, and Med-Surg Units: For the purposes of Total Nursing Care Hours per Patient Day, RN Hours per Patient Days, and Nursing Skill Mix, Leapfrog has aligned with the National Database of Nursing Quality Indicators' (NDNQI) unit definitions below.</p> <p>Medical Units (adult and pediatric): A single acuity general care unit in which at least 90% of the patients are admitted to medical services, such as internal medicine, oncology, or cardiology. Medical specialty units such as Cardiac Medical, GI Medical, Infectious Disease Medical, Neurology Medical,</p>

Hematology/Oncology Medical, Renal Medical, and Respiratory Medical, are also included.

Surgical Units (adult and pediatric): A single acuity general care unit in which at least 90% of the patients are admitted to surgical services, such as general surgery, neurosurgery, or orthopedics. Surgical specialty units such as Bariatric Surgery, Cardio-thoracic Surgery, Gynecologic Surgery, Neurosurgery, Orthopedic Surgery, Plastic Surgery, Transplant Surgery, and Trauma Surgery, are also included.

Med-Surg Combined Units (adult and pediatric): A single acuity general care unit that cares for patients admitted for medical, surgical, or family practice services and does not meet the 90% criteria for Medical or Surgical unit type. Specialty med-surg units such as Cardiac Med-Surg, Neuro/Neurosurgery Med-Surg, and Hematology/Oncology Med-Surg, are also included.

Exclusions:

- Critical care units (adult, pediatric, and neonatal)
- Step-down and progressive units
- Mixed acuity units
- Units that were closed for an entire quarter of the reporting period
- Units with fewer than 15 patient days per month for all three months during a quarter of the reporting period

Questions #6, #8, and #10 (a): Total number of patient days in all medical units (when responding to question #6a), surgical units (question #8a) and med-surg units (question #10a) for each quarter based on your hospital's current method for counting patient days.

Questions #6, #8, and #10 (b): Total number of productive hours worked by employee or contract nursing staff with direct patient care responsibilities (RN, LPN/LVN, and UAP) in all medical units (when responding to question #6b), surgical units (question #8b) and med-surg units (question #10b) in each quarter.

Sum the total number of productive hours worked by nursing staff with direct patient care responsibilities (RN, LPN/LVN, or UAP) in each medical, surgical, and med-surg unit.

Productive Hours are actual direct patient care hours worked by nursing staff including overtime, not budgeted or scheduled hours. Vacation, sick time, orientation periods for new hires, education leave, or committee time are considered non-productive hours. However, orientation programs for new hires vary from hospital to hospital. Once orientees reach the point where they are considered part of the staffing matrix, their work hours are charged to the unit, and they would be replaced if they call in sick, then their hours are counted as productive, even if they are in an orientation period.

Nursing Staff include both staff employed by the hospital or temporary staff who are not employed by the hospital (i.e., contracted/agency staff). Nursing Staff also include float staff - who are assigned to a unit other than their unit of employment on an as-needed basis – if they are assigned direct patient care responsibilities.

Included nursing staff:

- Staff who are counted in the unit's staffing matrix,
- Are replaced if they call in sick, and
- Work hours are charged to the unit's cost center.

Excluded nursing staff:

- Persons whose primary responsibility is administrative in nature (at least 50% of their time is administrative)
- Specialty teams, patient educators, or case managers who are not assigned to a specific unit
- Unit secretaries or clerks, monitor technicians, and others with no direct patient care responsibilities

<p><u>Direct Patient Care Responsibilities</u> are defined as patient centered nursing activities by hospital unit-based staff in the presence of the patient and activities that occur away from the patient that are patient related:</p> <ul style="list-style-type: none">• Medication administration• Nursing treatments• Nursing rounds• Admission, transfer, discharge activities• Patient teaching• Patient communication• Coordination of patient care• Documentation time• Treatment planning• Patient screening (e.g., fall risk) and assessment
<p>Questions #6, #8, and #10 (c): Total number of productive hours worked by RN nursing staff with direct patient care responsibilities in all medical units (when responding to question #6c), surgical units (question #8c) and med-surg units (question #10c) in each quarter.</p> <p>Sum the total number of productive hours worked by RN nursing staff with direct patient care responsibilities in each medical, surgical, and medical/surgical unit.</p> <p><u>RN Nursing Staff</u> include both staff employed by the hospital or temporary staff who are not employed by the hospital (i.e., contracted/agency staff). RN Nursing Staff also include float staff - who are assigned to a unit other than their unit of employment on an as-needed basis – if they are assigned direct patient care responsibilities.</p>

Percentage of RNs who are BSN-Prepared

<p>Source: The Leapfrog Group</p>
<p>Reporting Period: Answer questions #11-13 based on the most recent day within the last 12-months for which you have complete data.</p>
<p>Question #12 (denominator): Total number of employed RN nursing staff at the hospital with direct patient care responsibilities.</p> <p><u>RN Nursing Staff</u> include staff employed by the hospital but exclude temporary staff who are not employed by the hospital (i.e., contracted/agency staff). Nursing Staff also include float staff - who are assigned to a unit other than their unit of employment on an as-needed basis – if they are assigned direct patient care responsibilities.</p> <p>Include employed RN nursing staff in most all hospital units, including observation, outpatient units, and emergency departments who:</p> <ul style="list-style-type: none">• Are counted in the unit’s staffing matrix, and• Are replaced if they call in sick, and• Whose work hours are charged to the unit’s cost center. <p>Exclude nursing staff who:</p> <ul style="list-style-type: none">• Are not employed by the hospital (i.e., contracted/agency staff)• Are exclusively assigned to skilled nursing units or mixed acuity neonatal units• Are part of specialty teams or are patient educators or case managers who are not assigned to a specific unit• Are unit secretaries or clerks or others with no direct patient care responsibilities• Are primarily responsible for administrative tasks (at least 50% of their time is administrative) <p><u>Direct Patient Care Responsibilities</u> are defined as patient centered nursing activities by hospital unit-based staff in the presence of the patient and activities that occur away from the patient that are patient related:</p>

- Medication administration
- Nursing treatments
- Nursing rounds
- Admission, transfer, discharge activities
- Patient teaching
- Patient communication
- Coordination of patient care
- Documentation time
- Treatment planning
- Patient screening (e.g., fall risk) and assessment

Question #13 (numerator): Total number of employed RN nursing staff at the hospital with direct patient care responsibilities who have a BSN degree or higher (e.g., MSN, DNP, and PhD).

Note: Hospitals can use any method to identify RN nursing staff who have a BSN degree or higher, including:

- Information from HR or credentialing office, or
- Staff survey via SurveyMonkey or other free data collection tool.

Hand Hygiene

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

<p>Source: The framework and questions in this subsection are modeled after the World Health Organization's Hand Hygiene Self-Assessment Framework.</p>
<p>Reporting Period: Answer questions #1-22 based on the practices currently in place at the time you submit this section of the Survey.</p> <p>Note: For monitoring, this means that the monthly sample size (or quarterly sample size if answering question #10) would need to be met at least once in each patient care unit the month (or quarter) preceding the time of the submission of the Survey and there must be a process in place to meet the monthly (or quarterly) sample size thereafter in each patient care unit every month/quarter.</p> <p>If the monitoring sample size is not met at any point after submission, the Survey must be updated and re-submitted. More information about updating a Survey can be found at https://www.leapfroggroup.org/survey-materials/updating-your-hospital-survey.</p> <p>As a reminder, Leapfrog randomly requests documentation on a monthly basis and key documentation must be collected and maintained to support responses to all questions. Hospitals are urged to regularly review their documentation, including reports supporting their monitoring of hand hygiene, and maintain copies throughout the Survey Cycle. Please refer to the Survey Binder for examples.</p>
<p>Patient Care Units: Include only the following patient care units when reporting on the questions in this subsection:</p> <ul style="list-style-type: none">• inpatient units:<ul style="list-style-type: none">○ medical and/or surgical units (including telemetry/step-down/progressive units)¹⁹○ pediatric units○ labor and delivery units○ mother/baby units (e.g., nursery, etc.)○ intensive care units (adult, pediatric, and/or neonatal)○ pre-operative and post-operative units (e.g., PACUs, etc.)• outpatient units, including free-standing hospital outpatient departments and surgery centers that are reported on in Section 9 Outpatient Procedures, that share your hospital's license and/or CMS Certification Number:<ul style="list-style-type: none">○ pre-operative and pre-procedural units/areas○ post-operative and post-procedural units/areas• observation units• emergency department units
<p>Infrastructure</p> <p>Question #4: Does your hospital have a process in place to ensure that all the following are done, as necessary, and quarterly audits are conducted on a sample of dispensers in your patient care units to ensure that the process is followed?</p> <ul style="list-style-type: none">• Refill paper towels, soap dispensers, and alcohol-based hand sanitizer dispensers when they are empty or near empty• Replace batteries in automated paper towel dispensers, soap dispensers, and alcohol-based hand sanitizer dispensers (if automated dispensers are used in the patient care units) <p>In order to respond “yes” to question #4, a process must be in place to ensure that paper towel, soap, and alcohol-based hand sanitizer dispensers are refilled when they are empty or near empty and batteries are replaced in automated paper towel, soap, and alcohol-based hand sanitizer dispensers (if automated dispensers are used in patient care units).</p> <p>In addition, a quarterly audit must be conducted on a sample of paper towel, soap, and alcohol-based hand sanitizer dispensers to include checking that dispensers are refilled and that batteries in automated dispensers are replaced. The quarterly audit should be a supplement to a system that</p>

checks these supplies on a routine basis (e.g., environmental services check with their regular cleaning).

Sampling Instructions: The sample must be based on a random or systematic sampling procedure, where the sampling plan assures wide sampling (i.e., the same places would not always be monitored) and includes at least 5% of the dispensers in 20% of the patient care units.

Question #6: Does your hospital conduct audits of the volume of alcohol-based hand sanitizer that is delivered with each activation of a wall-mounted dispenser (manual and automated) on a sample of dispensers in your patient care units at **all** the following times:

- upon installation;
- whenever the brand of product or system changes; and
- whenever adjustments are made to the dispensers;

OR

Has your hospital conducted an audit of the volume of alcohol-based hand sanitizer that is delivered with each activation of a wall-mounted dispenser (manual and automated) on a sample of your hospital's existing dispensers if there have been no changes to any dispensers?

In order to respond "yes" to question #6, a volume audit on a sample of wall-mounted alcohol-based hand sanitizer dispensers (manual and automated) in your patient care units must be conducted **at least** once (upon installation, whenever the brand of product or system changes, and whenever adjustments are made to the dispensers) using one of the below methods. Prior volume audits are acceptable if they were conducted using the instructions in Leapfrog's 2019-2022 Surveys, documentation has been maintained, and no changes have been made to the dispensers since the audit.

Sampling Instructions: The sample must be based on a random or systematic sampling procedure, where the sampling plan assures wide sampling (i.e., the same places would not always be monitored) and includes at least 5% of the dispensers.

Method #1 - Auditing Liquid Volume: To audit the **liquid volume** of alcohol-based hand sanitizer that is delivered with each activation of a wall-mounted dispenser (manual and automated), use the following process:

1. Select a sample of dispensers based on a random or systematic sampling procedure.
2. Take a small, graduated plastic medicine cup and have the dispenser deliver 10 doses of alcohol-based hand sanitizer.
3. Divide the total volume dispensed by 10 to get an average of the amount dispensed.

NOTE: Hospitals using foam alcohol-based hand sanitizer will need to follow the steps above, but will instead need to weigh the sample, divide the results by 10 to obtain the average output of the sample, and then convert the weight in grams to milliliters by dividing the weight by the density (which can be provided by your vendor for your specific product).

Method #2 - Auditing Average Hand Rubbing Time: To audit the **average hand rubbing time** of alcohol-based hand sanitizer that is delivered with each activation of a wall-mounted dispenser (manual and automated), use the following process:

1. Select a sample of dispensers based on a random or systematic sampling procedure.
2. Identify multiple individuals (at least 10) with varying hand sizes (by quick observation).
3. For each sampled dispenser, have each of the individuals identified in step #1 dispense a volume of alcohol-based hand sanitizer.
4. For each individual, have a separate individual time the amount of hand rubbing time required for hands to dry completely.
5. Repeat this process for each individual and calculate an **average** time based on the ten observations conducted.
6. Repeat this process for each sampled dispenser.

Question #7: Do all the audited dispensers deliver, with one activation, 1.0 mL of alcohol-based hand sanitizer OR a volume of alcohol-based hand sanitizer that covers the hands completely and requires 15 or more seconds for hands to dry (on average)?

In order to respond “yes” to question #7, the **average** liquid volume for **each** sampled dispenser needs to be at least 1.0 mL (if using method #1) or the **average** hand rubbing time for **each** sampled dispenser needs to be at least 15 seconds (if using method #2).

Monitoring

Hand hygiene opportunities: Hand hygiene opportunities are the number of times that an individual who touches patients or who touches items used by patients should have cleaned their hands given the hand hygiene framework your hospital has adopted (e.g., WHO’s “5 moments”, Ontario’s 4 moments, CDC’s guidelines, etc.). In terms of determining opportunities to monitor, this would depend on the guidelines the hospital chooses to follow.

For example, many facilities choose to audit before and after patient contact or room entry and exit because this is operationally the simplest method. Auditing opportunities before and after dirty tasks is operationally difficult. There is some evidence that measuring adherence on room entry and exit may be an acceptable stand-in for other opportunities within the patient encounter.

Question #8: Does your hospital collect hand hygiene compliance data on at least **200 hand hygiene opportunities**, or at least the number of hand hygiene opportunities outlined based on the unit type in Tables 1-3, **each month in each patient care unit**?

In order to respond “yes” to question #8, your hospital must monitor at least **200 hand hygiene opportunities**, or at least the number of hand hygiene opportunities outlined based on the unit type in Tables 1-3, **each month in each patient care unit** using either:

- An electronic compliance monitoring system throughout all patient care units
- An electronic compliance monitoring system throughout some patient care units and only direct observation in all other patient care units
- Only direct observation throughout all patient care units

Refer to the following tables to determine how many hand hygiene opportunities must be monitored in each patient care unit monthly for question #8. **Historical data** (e.g., past 3 months, 6 months, 12 months, etc.) on the monthly occupancy rates or procedure/patient volumes should be used.

Table 1: Units where the monthly occupancy rate can be calculated

If your unit’s average daily census is...	Your patient care unit needs to collect hand hygiene compliance data for at least this number of hand hygiene opportunities per month for question #8...
13 patients or higher	200
10-12 patients	150
7-9 patients	100
5-6 patients	75
3-4 patients	45
1-2 patients	15

Table 2: Units where the monthly occupancy rate cannot be calculated (e.g., PACU, labor and delivery, outpatient units)

If your unit’s average number of procedures in a month is...	Your patient care unit needs to collect hand hygiene compliance data for at least this number of hand hygiene opportunities per month for question #8...
400 procedures or greater	200
320-399 procedures	150
240-319 procedures	100
160-239 procedures	75
120-159 procedures	50
60-119 procedures	30
30-59 procedures	15
<30 procedures	5

Table 3: Emergency department units

If your emergency department's average number of visits in a month is...	Your patient care unit needs to collect hand hygiene compliance data for at least this number of hand hygiene opportunities per month for question #8...
2000 visits or greater	200
1500-1999 visits	150
1000-1499 visits	100
750-999 visits	75
500-749 visits	50
250-499 visits	25
150-249 visits	15
<150 visits	5

Question #9: Does your hospital collect hand hygiene compliance data on at least **100 hand hygiene opportunities**, or at least the number of hand hygiene opportunities outlined based on the unit type in Tables 4-6, **each month in each patient care unit**?

In order to respond “yes” to question #9, your hospital must monitor at least **100 hand hygiene opportunities**, or at least the number of hand hygiene opportunities outlined based on the unit type in Tables 4-6, **each month in each patient care unit** using either:

- An electronic compliance monitoring system throughout all patient care units
- An electronic compliance monitoring system throughout some patient care units and only direct observation in all other patient care units
- Only direct observation throughout all patient care units

Refer to the following tables to determine how many hand hygiene opportunities must be monitored in each patient care unit monthly for question #9. **Historical data** (e.g., past 3 months, 6 months, 12 months, etc.) on the monthly occupancy rates or procedure/patient volumes should be used.

Table 4: Units where the monthly occupancy rate can be calculated

If your unit's average daily census is...	Your patient care unit needs to collect hand hygiene compliance data for at least this number of hand hygiene opportunities per month for question #9...
13 patients or higher	100
10-12 patients	75
7-9 patients	50
5-6 patients	37
3-4 patients	22
1-2 patients	7

Table 5: Units where the monthly occupancy rate cannot be calculated (e.g., PACU, labor and delivery, outpatient units)

If your unit's average number of procedures in a month is...	Your patient care unit needs to collect hand hygiene compliance data for at least this number of hand hygiene opportunities per month for question #9...
400 procedures or greater	100
320-399 procedures	75
240-319 procedures	50
160-239 procedures	37
120-159 procedures	25
60-119 procedures	15
30-59 procedures	7
<30 procedures	2

Table 6: Emergency department units	
If your emergency department's average number of visits in a month is...	Your patient care unit needs to collect hand hygiene compliance data for <u>at least this number of hand hygiene opportunities per month</u> for question #9...
2000 visits or greater	100
1500-1999 visits	75
1000-1499 visits	50
750-999 visits	37
500-749 visits	25
250-499 visits	15
150-249 visits	7

Question #10: Does your hospital collect hand hygiene compliance data on at least **100 hand hygiene opportunities each quarter in each patient care unit**?

In order to respond “yes” to question #10, your hospital must monitor at least **100 hand hygiene opportunities each quarter in each patient care unit** using either:

- An electronic compliance monitoring system throughout all patient care units
- An electronic compliance monitoring system throughout some patient care units and only direct observation in all other patient care units
- Only direct observation throughout all patient care units

There are no alternate sample sizes for the quarterly requirement. Hospitals trying to meet the quarterly requirement in question #10 will need to monitor 100 hand hygiene opportunities a quarter.

Question #12: In those patient care units where an electronic compliance monitoring system is used, does the monitoring system used meet both of the following criteria?

- The system can identify both opportunities for hand hygiene and that hand hygiene was performed
- The hospital itself has validated the accuracy of the data collected by the electronic compliance monitoring system

In order to respond “yes” to question #12, the electronic monitoring system in use must identify both opportunities and that hand hygiene was performed, which could include both group monitoring systems and badge-based systems.

For example, an electronic monitoring system that records when an individual (not identified) enters and exits a room and also records if a dispenser was used within the same time frame, would qualify as the entry and exit is used as a proxy for a hand hygiene opportunity (before and after touching a patient) and the dispenser use is used as a proxy for a hand hygiene event. This data can be adjusted to take visitors into account and used to estimate hand hygiene compliance. Another example would be a badge-based system where individuals or their roles can be identified.

In addition, validation of the accuracy of the data collected by the electronic compliance monitoring system must be performed by hospital personnel or independent third-party personnel, in addition to any validation conducted by the manufacturer. It needs to include both a "planned path" phase where the researcher(s) make timed observations of room entries and exits and use of dispensers and compare their results to data recorded by the electronic compliance monitoring system. Followed by a "behavioral path" phase where observers record the same variables when individuals who touch patients or who touch items that will be used by patients are performing their usual duties, as this tends to be more chaotic and variable. A general validation protocol that can be used for both group monitoring systems and badge-based systems has been described in a fair amount of detail in the 2016 article by Limper H et al. Similar methods for conducting validation studies of badge-based system have been described by Pineles LL, et al. in 2014, and by Doll ME et al. in 2019.

Question #13: In those patient care units where an electronic compliance monitoring system is used, are direct observations also conducted for coaching and intervention purposes that meet all of the following criteria?

- Observers immediately intervene prior to any harm occurring to provide non-compliant individuals with immediate feedback
- Observations identify both opportunities for hand hygiene and compliance with those opportunities
- Observations determine who practiced hand hygiene, verify when they practiced it, and whether their technique was correct
- Observations within a unit are conducted weekly or monthly across all shifts and on all days of the week proportional to the number of [individuals who touch patients or who touch items that will be used by patients](#)⁴⁴ on duty for that shift
- Observations capture a representative sample of the different roles of [individuals who touch patients or who touch items that will be used by patients](#)⁴⁴ (e.g., nurses, physicians, techs, environmental services workers)

In order to respond “yes” to question #13, direct observations must be conducted for coaching and intervention purposes and meet the following sample sizes at a minimum:

In units with low hand hygiene compliance* and/or units with high infection rates:**

- On a monthly basis, hospitals will need to perform 20 direct observations.
- If after collecting those observations, more than 50% of the observations were NOT compliant and/or did NOT demonstrate proper technique, the hospital will need to perform an additional 20 observations the following month (40 total observations per unit with low hand hygiene compliance or high infection rates).
- The hospital must continue to collect 40 observations per month until the number of observations that are NOT compliant and/or did not demonstrate proper technique is reduced to less than 50%.

Compliance and infection rates must be assessed at least quarterly and additional observations need to be collected on a monthly basis for the entire quarter if warranted based on the above rules. If a standardized infection ratio cannot be calculated for the quarter, units with 2 or more observed infections should do the additional collection of direct observations.

**Low hand hygiene compliance is defined as two or more standard deviations below the hospital's overall mean hand hygiene compliance rate.*

***High infection rates are defined as two or more standard deviations above the hospital's standardized infection ratio for any of the following healthcare-associated infection measures: CLABSI, CAUTI, MRSA, and C.diff.*

In all other units:

- On a quarterly basis, hospitals using ECM need to perform 10% of the observations noted in Tables 1-3 in ALL patient care units included in Leapfrog's Hand Hygiene Standard (e.g., if the unit would require 200 direct observations without ECM, then with the use of ECM, they need to collect 20 direct observations).
- Units in which direct observation data is being collected monthly (i.e., units with low hand hygiene compliance and/or high infection rates) do not require the additional quarterly data collection.

All direct observations conducted must meet the following criteria:

- Observers immediately intervene prior to any harm occurring to provide non-compliant individuals with immediate feedback
- Observations identify both opportunities for hand hygiene and compliance with those opportunities
- Observations determine who practiced hand hygiene, verify when they practiced it, and whether their technique was correct

- Observations within a unit are conducted weekly or monthly across all shifts and on all days of the week proportional to the number of [individuals who touch patients or who touch items that will be used by patients](#)⁴⁴ on duty for that shift
Observations capture a representative sample of the different roles of [individuals who touch patients or who touch items that will be used by patients](#)⁴⁴ (e.g., nurses, physicians, techs, environmental services workers)

Observers must record at least the following:

- The date as well as the start and end time of the observation session (or the date and shift being observed)
- The unit where the observation session is being conducted
- The role of the individual being observed (e.g., nurse, physician, etc.)
- The indication (or moment) for performing hand hygiene (e.g., before/after touching a patient, before/after a procedure, before/after touching patient surroundings, etc.)
- Whether hand hygiene was performed or not performed based on the indication noted and if the technique was correct

Question #14: In those patient care units where an electronic compliance monitoring system is NOT used, do the direct observations meet all of the following criteria?

- Observations identify both opportunities for hand hygiene and compliance with those opportunities
- Observations determine who practiced hand hygiene, verify when they practiced it, and whether their technique was correct
- Observations within a unit are conducted weekly or monthly across all shifts and on all days of the week proportional to the number of [individuals who touch patients or who touch items that will be used by patients](#)⁴⁴ on duty for that shift
- Observations capture a representative sample of the different roles of [individuals who touch patients or who touch items that will be used by patients](#)⁴⁴ (e.g., nurses, physicians, techs, environmental services workers)

In order to respond “yes” to question #14, direct observations must be conducted in patient care units that do not use an electronic compliance monitoring system and must meet the following criteria:

- Observations identify both opportunities for hand hygiene and compliance with those opportunities
- Observations determine who practiced hand hygiene, verify when they practiced it, and whether their technique was correct
- Observations within a unit are conducted weekly or monthly across all shifts and on all days of the week proportional to the number of [individuals who touch patients or who touch items that will be used by patients](#)⁴⁴ on duty for that shift
- Observations capture a representative sample of the different roles of [individuals who touch patients or who touch items that will be used by patients](#)⁴⁴ (e.g., nurses, physicians, techs, environmental services workers)

Observers must record at least the following:

- The date as well as the start and end time of the observation session (or the date and shift being observed)
- The unit where the observation session is being conducted
- The role of the individual being observed (e.g., nurse, physician, etc.)
- The indication (or moment) for performing hand hygiene (e.g., before/after touching a patient, before/after a procedure, before/after touching patient surroundings, etc.)
- Whether hand hygiene was performed or not performed based on the indication noted and if the technique was correct

Question #15: Does your hospital have a system in place for both the initial and recurrent training and validation of hand hygiene compliance observers?

In order to respond “yes” to question #15, your hospital must regularly monitor the quality and accuracy of observations that are collected by each observer through initial and recurrent validation (at least once a year) of hand hygiene compliance observers. This would include having an individual trained in

infection control simultaneously collecting data with the hand hygiene compliance observers and comparing results. Alternatively, videos which include an interactive assessment and completion of an observation form, such as the [WHO Hand Hygiene Training Film](#) and [Slides Accompanying the Training Films, Videos from Hand Hygiene Australia](#), or internally developed videos with assessment, would also be sufficient for validating hand hygiene compliance observers. Hospitals must expand the testing scenarios that are included in the videos (i.e., the videos should be expanded to include: various types of individuals who touch patients or who touch items that will be used by patients, a larger number of scenarios where individuals are adherent and non-adherent, the inclusion of all moments observed, etc.).

See [FAQs](#) for additional information about responding to the questions in this section.

Patient Safety Practices Frequently Asked Questions (FAQs)

General Questions

1. For the purposes of reporting on Section 6 of the Leapfrog Hospital Survey:

- **Frontline caregivers** include, but are not necessarily limited to employed physicians, mid-levels (NPs, PAs), nurses, environmental services staff, allied health professionals, and occupational and physical therapists.
- **Service line management** refers to those who manage all functions within a specific service line (e.g., oncology, cardiac, women's, orthopedics). These individuals may be managing a departmental sub-function within a broader department (e.g., cardiac care within the Department of Medicine).
- **Midlevel management** includes the intermediate management of an organization that is subordinate to the executive management and responsible for at least two lower levels of staff below them. An example would include a Director of Nursing, who might oversee Nursing Managers, who oversee the nurses who care directly for patients.
- **Physician leadership** refers to physicians who serve in a leadership role in the organization. Titles may include positions such as Chief Medical Officer, Vice President for Medical Affairs, Medical Director, and/or Department Chair.
- **Nursing leadership** refers to nurses who serve in a leadership role (e.g., Chief Nursing Officer, Vice President/Assistant Vice President of Nursing, Vice President/Assistant Vice President for Clinical Operations, etc.)
- **Senior administrative leadership** refers to administrators who are responsible for hospital-wide departments or services (e.g., Chief Executive Officer, Chief Administrative Officer, Chief Nursing Officer, Chief Medical Officer, etc.).
- **Patient Safety Officer** refers to the patient safety leader (who may or may not have the title "Patient Safety Officer") who has responsibility for multiple and integrated areas of patient safety. The organization may appoint an officer who may have other assigned duties or may specifically employ a Patient Safety Officer designated with this responsibility. Multiple executives who are responsible for individual areas (i.e., risk, quality, infection prevention, etc.), but do not assess the integrated safety issues, would not qualify.
- **Board (governance)** refers to the individual hospital's board of directors, or the board that governs the hospital and has the ability to pass policies that impact the hospital, or a committee of the board (such as a board-appointed, hospital-wide Patient Safety and Quality Committee, which includes board members).
- **Medical executive committee** refers to a primary governance committee for medical staff. The medical executive committee makes leadership decisions related to medical staff policies, procedures, and rules, with an emphasis on quality control and quality improvement. They also adopt and implement these policies and procedures and are responsible for medical staff appointment and reappointment.

2. Why is it necessary to continue to review a safe practice once it has been implemented?

All too often in the hectic pace of providing patient care in a hospital, with frequent staff turnover and lots of part-time employees, it is difficult to get a change in practice well-established. Annual review with monitoring and tracking of the safe practices will ensure that they are embedded in the operations of the hospital and not lost in the transition of new staff coming in or part-time employees coming and going.

3. **The phrase “performance reviews or compensation” is used throughout Section 6 within many *Accountable* elements. Do performance reviews and compensation plans need to have specific language about the Safe Practice or can a set of patient safety goals related to the specific Safe Practice be attached?**

A performance review or compensation plan should include specific language about a Safe Practice. A list of Safe Practices and related goals may be incorporated into the performance review and/or compensation plan or formalized programs whereby a measure of success of those activities or programs is tied to individual performance reviews or compensation incentive plans of executives.

Every employee should have a patient safety component included in their annual review. Another option is to include in the employee’s competency review (OPPE, FPPE).

4. **There are several references to hospital budgets throughout Section 6 within many *Ability* elements. How can hospitals meet the intent of these elements?**

The intent of these elements is to verify that actions specific to the Safe Practices have been included in hospital budgets. To meet the intent of these elements, hospitals should ensure that these actions can be identified within a department budget or hospital budget. If the budget includes categories that address the Safe Practice but do not specifically name the Safe Practice, then the intent of the element is met.

Further, if a hospital has not allocated budget dollars for activities tied to a Safe Practice but can document expenses specific to the Safe Practice during the reporting period, the intent of the element is met. Plans to allocate specific budget dollars for a Safe Practice should be incorporated into the next upcoming budget year as an ongoing process.

Hospitals may also document training or education expenditures specific to the Safe Practice or expenditures on educational materials that are specific to the Safe Practice.

Hospitals that have invested in in-house staff educators and who include in their job descriptions the coordination and delivery of training and education to appropriate hospital staff on specific Safe Practices meet the intent of this element. For example, if the position description for an in-house educator, such as the Quality Director, includes the coordination and delivery of in-service training and educational sessions related to improving the culture of safety based on the organization’s culture of safety survey results, then the intent of this practice is met. Specific time allocations are not required as long as there is documentation of staff participation through dated meeting minutes or attendance records. Training can be in-person or virtual/ computer-based.

5. **How should staff education be measured?**

Educational meetings should clearly address the subject matter pertinent to adverse events and performance improvement targeted by the specific Safe Practice. Hospitals should track meeting dates, frequency of training sessions provided, attendance records or completion records, and the percentage of the total staff who received the information. Training can be in-person or virtual/ computer-based.

6. **There are several references to developing or implementing Performance Improvement Programs throughout Section 6 within many *Action* elements. How can hospitals meet the intent of these elements?**

At a minimum, performance improvement programs should include **all** the following five criteria:

- **Education** regarding the pertinent adverse event frequency, severity, and/or impact of best practices. These expenses should be budgeted and tracked to meet the budget elements of the Safe Practices.
- **Skill building** in use of performance improvement tools. These expenses should be budgeted and tracked to meet the budget elements of the Safe Practices.
- **Measurement** of process measures or outcomes measures. The “Outcome, Process, Structure, and Patient-Centered Measures” section at the end of each Safe Practice in the

[NQF Safe Practices for Better Healthcare 2010 Update](#) suggests performance measures that can be used to support measuring and monitoring quality improvement efforts.

- **Process improvement** and interventions.
- **Reporting** of performance outcomes.

A “campaign” such as an awareness campaign would not meet the intent of these elements.

NQF Safe Practice #1 – Leadership Structures and Systems

7. **1.1a, 1.2b, and 1.2d: Several elements within NQF Safe Practice #1 mention that “regular communication” is required. How does Leapfrog define “regular communication?”**

Regular communication means more than once a year. Some hospitals may discuss these items quarterly or even monthly. Hospitals can document that these communications took place through dated meeting minutes. We would urge hospitals to improve the detail of their board and other meeting minutes to ensure they are able to clearly document that the issues were discussed.

The discussion of these items can be a general note in the minutes, without specific details. However, hospitals should maintain copies of dated presentations and reports related to these agenda items in order to document adherence to these elements. Meetings can be in-person or virtual.

8. **1.1a: If NQF Safe Practice #4 – Identification and Mitigation of Risks and Hazards has been removed from the Leapfrog Hospital Survey, are hospital boards still expected to communicate regularly regarding risks and hazards?**

Though we are not asking hospitals to report on this Safe Practice, it remains important to communicate the actions you are taking to identify and mitigate risks and hazards to patients to your board.

9. **1.1b: What is meant by “patients and/or families of patients are active participants in the hospital-wide safety and quality committee?”**

To meet the intent of this element, hospitals must have patients and/or families of patients participate on the hospital-wide safety and quality committee. The safety and quality committee should have influence over hospital-wide quality and safety issues, not just a particular department or service line. Meetings should be formal, and minutes should be taken. Topics covered should be related to broad oversight of hospital-wide patient safety and quality issues and what is being done to effect changes. An example would be tracking and preventing adverse events.

In most hospitals, due to the scope of issues discussed at Patient and Family Advisory Council (PFAC) meetings, having a PFAC would not meet the criteria for a safety and quality committee. If your hospital has a PFAC member on the hospital-wide patient safety and quality committee, then your hospital is meeting the intent of this safe practice.

Patients and/or families of patients can participate in these meetings in person, via conference call, or via video conference. Hospitals do not meet the intent of this element if the patients and/or families of patients are invited but do not regularly attend. It is the responsibility of the hospital to ensure that patients and/or families of patients can provide their perspectives to other committee members during meetings. Hospitals should identify people who are not Board members or employees to serve on the committee so the participant can represent the views of patients and without conflict. Board members have a fiduciary responsibility to the organization, and therefore may have a potential conflict representing the views of patients and/or families of patients.

Hospitals can document adherence to this element by maintaining committee rosters and meeting minutes with attendance and participation noted. Patients and/or families of patients should have the opportunity to present or co-present a topic, lead or co-lead a discussion, or co-chair the committee, and this should be noted in the meeting minutes. Patients and/or families should have attended at least one meeting prior to Survey submission.

Hospitals in the process of adding patients/families of patients to the hospital-wide safety and quality committee can refer to AHRQ's toolkit for engaging patients and families in hospital improvement work for more information at <https://www.ahrq.gov/patient-safety/patients-families/engagingfamilies/index.html>.

10. 1.1c: What are some examples of how a hospital can report to the community ongoing efforts and results of these efforts to improve safety and quality?

Hospitals can utilize several communication vehicles to reach the broader community, including webpages that are prominent from the organization's homepage, electronic newsletters, mailings or annual reports, or an ad in the local paper. The communication must include **both** efforts the hospital is taking to improve safety and quality and the results of those efforts. As the sole focus of the NQF Safe Practices for Better Health Care report is reducing or preventing adverse events (refer to NQF list of adverse events at https://www.qualityforum.org/topics/sres/serious_reportable_events.aspx), patient safety and quality efforts **reported to the community** must have this focus as well. In other words, efforts the hospital is taking to improve safety and quality should be related to reducing or preventing these adverse events and the results of those efforts would be the measurable outcomes.

Meetings where a few community members are present would not meet the intent of this practice as the intent is to reach a larger audience.

Each hospital within the same health care system would need to report the results to the community for each hospital in the system because the results of efforts to improve safety and quality would be different at each hospital.

11. 1.2a, 1.3a, 1.4c: What are the minimum requirements to qualify as a “patient safety program?”

As part of accreditation through The Joint Commission, hospitals are required to meet standard LD.03.09.01, which identifies the elements that must be included in an integrated patient safety program (see pages PS-28 to PS-30 in Patient Safety Systems chapter of the [CAMH](#)). Hospitals that are not accredited by The Joint Commission can use these elements as a guide as well.

12. 1.2d: What is the role of an interdisciplinary patient safety committee?

An interdisciplinary patient safety committee is an internal hospital committee that oversees the activities defined in the NQF Safe Practice #1 Practice Element Specifications and develops action plans to create solutions and changes in performance.

13. 1.2d: What is an example of team training that is appropriate for caregivers?

Hospitals can utilize [TeamSTEPPS](#), a comprehensive, evidence-based training program for healthcare professionals. At a minimum, the elements of basic teamwork training should be met as described on page 96 of the Safe Practices for Better Healthcare– 2010 Update, which is available for download here: <http://www.leapfroggroup.org/survey-materials/survey-and-cpoe-materials>.

Team training to caregivers would need to be provided and then reported to senior administrative leadership by the interdisciplinary patient safety team to meet the intent of Safe Practice 1.2d.

14. 1.2e: How can hospitals that have not had any adverse events during the reporting period earn credit for this element?

First, we urge your hospital to reassess its conclusion that no adverse events occurred; that would be highly unusual. Following the reassessment, if no adverse events were identified **and** the hospital can document that it has policies in place to report such events when they do occur (to a mandatory or voluntary program), the hospital would meet the intent of this element. Please see Section 7A: Never Events for a list of adverse events and components of a Never Events Policy.

15. 1.4a: What are some examples of how the CEO and senior administrative leadership can be personally engaged in reinforcing patient safety improvements?

Executive walk-arounds are an example of how the CEO and senior administrative leadership can be personally engaged in reinforcing patient safety improvements. The executive walk-arounds provide staff with visibility and access to senior management. They also provide the CEO and senior administrative leadership with the opportunity to address issues and concerns in various departments in real-time, rather than in monthly meetings. Monthly meetings with staff in a centralized location do not meet the intent of this Safe Practice.

Progress on the implementation of walk-arounds can be measured by tracking the number of walk-arounds performed per unit or clinical area for designated time periods as shown in the calendars of the CEO and senior administrative leadership. Some progressive hospitals have tied incentives to regular executive walk-arounds and to reliable exchange of information on clinical unit performance. Some hospitals have established a feedback loop between the CEO and senior administrative leadership and staff to measure the implementation of performance improvement ideas that were generated during executive walk-arounds.

16. 1.4b: What are some examples of how the CEO can actively engage leaders from service lines, midlevel management, clinical leadership, and physician leadership in patient safety improvement actions?

Hospitals can refer to the American College of Healthcare Executives [professional policy statement](#), which includes examples of how leaders should be engaged in patient safety and quality.

17. 1.4c: What are some examples of how hospitals can engage the medical staff as direct contributors to the patient safety program?

Examples may include:

- Senior leadership requests time on Medical Staff Department standing agendas to provide patient safety updates and elicit direct feedback on specific areas as well as “what keeps the medical staff up at night.”
- Medical staff are invited and encouraged to be active participants in clinical unit meetings where patient safety is addressed.
- The board appoints a community-based active medical staff member to represent the organization on a regional patient safety initiative.

18. 1.4c: In a hospital where all medical staff is employed, how do we answer this question?

The intent of this element is to ensure that physicians and medical staff have the opportunity to provide input on the hospital’s patient safety plan because often they do not have a significant position in the hierarchal structure of an organization but carry a great deal of influence over how the organization is run. Thus, they are informal leaders who can be change agents and “accelerators or barriers for improvement.” If the organization’s board and senior administrative leadership seek and document input from physicians and medical staff regarding patient safety programs, the intent of this element has been met.

NQF Safe Practice #2 – Culture Measurement, Feedback, and Intervention

19. Why are two different reporting periods used in NQF Safe Practice #2?

Within the *Awareness and Accountability* elements, a 24-month reporting period is used because these elements are related to conducting the culture of safety survey, which is typically conducted every other year. Within the *Ability and Action* elements, a 12-month reporting period is used because these practices are related to follow-up activities that would be completed after the results from the culture of safety survey are available.

20. 2.1a: What are the requirements to qualify as a “culture of safety survey?”

Several surveys are readily available that specifically address culture, safety climate, and teamwork. These surveys incorporate all the additional specifications as outlined in NQF Safe Practice #2 (see 2010 NQF Safe Practice Report).

Hospitals that do not use a nationally recognized culture of safety tool must ensure that their culture of safety survey meets Leapfrog’s guidelines for what constitutes a valid, consistent, and reliable survey tool. These guidelines were developed in consultation with Leapfrog’s Culture of Safety Expert Panel. The guidelines can be found on the [Survey and CPOE Materials webpage](#) under supporting materials for Section 6.

A general employee satisfaction survey that has a small component of the survey addressing organizational culture does not qualify.

21. 2.1a Can an employee engagement survey and nationally recognized culture of safety tool be conducted at the same time?

Yes, if the culture of safety tool is unaltered and administered in its entirety.

22. 2.1a: What does “50% of the aggregated care delivered to patients within the hospital” mean?

As described on page 88 of the NQF Safe Practices for Better Healthcare Report, “a census of units or service areas that in aggregate deliver care to more than 50 percent of the patients receiving care should be surveyed, service lines or units where there is a high patient safety risk should be measured, and there should be a valid sample to allow for unit-level analysis and facilitate improvement.”

23. 2.1b: For reporting individual unit level results, what is the minimum number of responses a hospital should have?

Most major vendors use a threshold of 5 or more responses and a 40% response rate. For larger units, a lower response rate may be acceptable. If a unit does not meet these thresholds, your hospital could aggregate the results of “like” units together (e.g., medical/surgical units, ICUs, ORs). Hospitals should not combine results across “unlike” units.

24. 2.1c: What is meant by “like” hospitals? Can hospitals benchmark against those within the same network or system? How would a pediatric hospital benchmark against other pediatric hospitals?

Hospitals should benchmark their results against hospitals with similar demographics, such as hospital type, number of beds, number of admissions, urban/rural designation, etc. Hospitals in systems or healthcare networks should benchmark throughout the health system, but not within the same region.

Pediatric hospitals utilizing the AHRQ Hospital Survey on Patient Safety Culture can benchmark their results using the [AHRQ’s User Comparative Database Report](#) (refer to instructions starting on page 29).

25. 2.1d: What is meant by roles and staff levels?

Roles are job types (e.g., surgeons, nurses, hospitalists, physician assistants, or clinical and non-clinical, etc.). Staff levels are defined within the organization’s hierarchy (e.g., senior administrators, directors, managers, etc.).

26. 2.2b: Does performance evaluation criteria for senior administrative leadership need to include the actual targeted response rate to the culture of safety survey?

Yes. The organization’s targeted response rate to the culture of safety survey should be included in performance evaluation criteria for senior administrative leadership. Criteria for using the survey results in improvement efforts should also be included to meet the intent of this element.

27. 2.3a: Which employees should be included in the staff education program? Employees in all units or just those in low-performing units?

Staff education needs to include education for all levels of staff, from senior administrative and clinical leadership to frontline caregivers. In addition, because all units have opportunities for improvement, the staff education should include all units but can focus on deficiencies of a specific unit or department.

28. 2.1e and 2.4b: What is the difference between 2.1e and 2.4b? Both seem to focus on sharing and discussing the Culture of Safety Survey results.

While both elements focus on sharing and discussing Culture of Safety Survey results, these elements focus on different audiences and activities, and the result is that different kinds of feedback are collected. Safe Practice 2.1e requires local leaders (e.g., unit/department manager) to engage their unit/departments in a discussion about the survey results, while Safe Practice 2.4b requires senior administrative leadership to engage each sampled unit in a discussion about the survey results and their concerns.

Nurse Staffing and Skill Level

29. Should we include nursing hours from days when a unit was open and staffed but had zero patients?

No. Nursing hours from days when the unit was open and staffed but had zero patients should not be included as these would not be considered direct patient care hours. Additionally, if these nursing hours cannot be removed, then the unit should not be included.

As a reminder, the Percentage of RNs that are BSN-Prepared measure is a hospital-wide measure and is not unit specific (as described in measure specifications).

30. Why has Leapfrog aligned their unit type definitions with NDNQI?

Though NDNQI participation is not required to report on the Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, or Nursing Skill Mix measures, a number of hospitals participate in NDNQI and have data available to report to the Leapfrog Hospital Survey.

31. Should medical, surgical, or med-surg units equipped with telemetry, and/or units that include patients needing telemetry be excluded when reporting on the Total Nursing Care Hours per Patient Day, RN Hours per Patient Day, and Nursing Skill Mix measures?

Not necessarily. For the purposes of these measures, Leapfrog has aligned with NDNQI, which defines units based on the acuity of the patients being cared for in the unit, and not the equipment being used. Telemetry is considered a monitoring tool rather than an evaluation of a patient's level of acuity. For example, a unit that has all telemetry patients may still have a general population level of patients that would meet NDNQI's definition of a medical, surgical, or med-surg unit. Hospitals should evaluate the actual acuity of the patients in each unit to determine the appropriate unit designation.

32. What is the definition of UAP?

UAP is any unlicensed person, regardless of title, who performs tasks delegated by a nurse. This includes certified nursing aides/assistants (CNAs), patient care assistants (PCAs), patient care technicians (PCTs), state-tested nursing assistants (STNA), nursing assistants-registered (NA/Rs) or certified medication aides/assistants (MA-Cs).

33. Are Advanced Practice Registered Nurses (APRNs), which includes certified nurse-midwives, clinical nurse specialists, certified nurse practitioners, and certified registered nurse anesthetists, included when calculating total nursing care hours (question #6b, #8b and #10b), RN hours (question #6c, #8c and #10c), and total number of employed RNs in the hospital (question #12)?

No. APRNs do not provide direct patient care responsibilities as defined in the measure specifications. APRNs provide a higher level of care, such as diagnosing illnesses and prescribing treatments and medications.

34. Should RNs with direct patient care responsibilities exclusively assigned to outpatient units or hospital clinics be included when reporting on the Percentage of RNs who are BSN-Prepared measure?

Yes. The total number of RN nursing staff employed at the hospital should include nurses with direct care responsibilities in most hospital units, including observation, [co-located](#)³⁰ outpatient units, and emergency departments. Only employed RNs exclusively assigned to Skilled Nursing units or Mixed Acuity Neonatal units should be excluded when reporting on the measure.

NQF Safe Practice #9 – Nursing Workforce

35. 15e: If the state has set minimum nurse to patient staffing ratios, do hospitals in that state automatically meet the intent of this element?

No. Minimum ratios do not necessarily address the “adequacy” issue, as the make-up of your hospital’s patient population may require more intensive staffing than are prescribed by the state’s minimum.

36. 15e: What are the minimum requirements to qualify as a “staffing plan?”

“A staffing plan” refers to nursing policies and procedures or a specific process used by the organization to pre-determine appropriate staffing patterns based on usual patient mix and nursing qualifications. A hospital must demonstrate full achievement of its targets.

Organizations must integrate several data sets into a staffing system that predefines and quantifies appropriate staffing targets. These data sets include:

- Historical Data (e.g., patient volumes, acuity levels, and staff volumes of direct caregivers)
- Comparative Data (e.g., comparisons between similar units internally and comparative external data from hospitals of like size and geographic location)
- Clinical Outcomes
- Skill Mix of Staff (e.g., licensing levels and educational training, years of experience, and volume of new graduates on a unit)
- Physical environment (e.g., distance staff have to travel to access support equipment, visibility of patients, locations of nursing stations to patient rooms, etc.)
- Type of patient care needs
- Support services available

Daily monitoring should take place to determine variances between predetermined staffing patterns and actual staffing patterns. If necessary, corrective action should be taken. Regular monitoring should take place to determine the accuracy of targets established and determine adjustments as needed.

Hand Hygiene

Training and Education

37. Are online training modules acceptable for the purposes of question #1 and question #3?

Online training modules are acceptable for the purposes of answering question #1 and question #3 if they meet all requirements outlined in the question.

For question #1, the online training must be done at the frequency specified and would need to be delivered and/or developed by a [professional with appropriate training and skills](#). For question #3, the online training must meet all six topics outlined in the question.

Physical demonstration (question #2) **cannot** be done using an online training module.

38. What are examples of what can count as “physically demonstrating” proper hand hygiene during the initial hand hygiene training?

Before new individuals to your hospital have contact with patients and the patient care space, they will need to demonstrate proper hand hygiene with soap and water and alcohol-based hand

sanitizer. This demonstration could be done as part of other onboarding activities, during occupational health activities as part of the TB test, during department orientations, in small groups, etc. A group “teach-back” would be acceptable, but with no more than 10 students per one trainer/monitor. An online or in-person “simulation” would not be sufficient for this purpose. Computer-based assessments of an individual’s hand hygiene technique are acceptable if the assessment tracks an individual’s hand motions, is done without providing instructions to the individual during the assessment, and feedback is given to them at the end.

Hospitals that are starting to implement this component should add physical demonstration to their **initial** training for any **new hires**. Leapfrog is not asking hospitals to retroactively train individuals.

Infrastructure

39. **What does Leapfrog mean by “equally accessible to the location of all patients in the room or bed space” for the purposes of question #5?**

Equally means the same distance from any patient's bed, which can be measured in steps. Leapfrog is not looking for an exact distance, but rather the goal is to ensure that hand hygiene can be easily performed regardless of the location of the patient being cared for in the room or bed space.

Monitoring

40. **Why did Leapfrog select 200 hand hygiene opportunities for monthly monitoring in question #8?**

200 hand hygiene opportunities were chosen as the sample size based on a study by Yin et. al which showed that 180-195 opportunities would need to be monitored to accurately observe a 10% change in hand hygiene compliance (Yin et al.). The additional sample sizes outlined in the measure specifications are for smaller patient care units where monitoring 200 opportunities may not be feasible.

References:

Steed C, Kelly JW, Blackhurst D, Boeker S, Diller T, Alper P, Larson E. Hospital hand hygiene opportunities: where and when (HOW2)? The HOW2 Benchmark Study. *American Journal of Infection control*. 2011 Feb 1;39(1):19-26.

Jun Yin MS, Heather Schacht Reisinger PhD, Mark Vander Weg PhD, Marin L. Schweizer PhD, Andrew Jesson, Daniel J. Morgan MD MS, Graeme Forrest MD, Margaret Graham, Lisa Pineles MA and Eli N. Perencevich MD MS. Establishing evidence-based criteria for directly observed hand hygiene compliance monitoring programs: a prospective, multicenter cohort study. *Infection Control and Hospital Epidemiology* Vol. 35, No. 9 2014 Sep, pp. 1163-8.

41. **My hospital uses an electronic compliance monitoring system, but it does not meet all the criteria outlined in questions #12-13. Can I report on the hand hygiene compliance data we collect via direct observation instead?**

Yes. If your hospital also uses direct observation to collect hand hygiene compliance data (not just for coaching/intervention) throughout **all** patient care units (including those with the electronic compliance monitoring system), you can select “yes, using only direct observation” in either question #8, question #9, or question #10 and report on your adherence to the direct observation criteria only. Otherwise, you will need to respond “no” to question #12.

42. **Is Leapfrog encouraging hospitals to implement electronic compliance monitoring? These systems can be costly, and the technology still needs to advance.**

The questions in the hand hygiene standard ask about a variety of strategies that can be used to monitor and improve hand hygiene. Leapfrog is encouraging hospitals to take a multimodal approach. Regarding monitoring, while hospitals can achieve the Leapfrog standard with direct

observation alone, Leapfrog is communicating a strong preference for use of electronic monitoring (implemented according to evidence-based principles). In addition to literature suggesting electronic monitoring works better to pinpoint compliance issues, the difference in the sheer number of hand hygiene opportunities covered by the two monitoring strategies represent powerful evidence in favor of electronic monitoring. Electronic monitoring allows facilities to monitor virtually every patient encounter, while direct observation monitors a selection. Based on the evidence, our standard calls for monitoring 200 hand hygiene opportunities per unit per month, which is a small subset of overall hand hygiene opportunities. Even beyond capturing more encounters aligned with the evidence, electronic monitoring alleviates the ethical quandary of an observer watching patient harm without intervening.

As with Computerized Physician Order Entry (CPOE) systems and Bar Code Medication Administration (BCMA) systems, we anticipate that electronic compliance monitoring technology will improve over time and become an important component of a comprehensive hand hygiene program. Electronic monitoring is a routine component of public safety in other industries where compliance is critical, so health care can and should achieve those standards for its patients.

All items included in Section 6D are based on the evidence review and recommendations from Leapfrog's national [Hand Hygiene Expert Panel](#) and others. We have included in the Hand Hygiene bibliography several peer-reviewed studies that have examined the benefits of using electronic monitoring systems over direct observation. The bibliography is available at <https://ratings.leapfroggroup.org/measure/hospital/handwashing>.

43. Are online training modules acceptable for the purposes of training hand hygiene compliance observers in question #15?

Online training can be used for the initial and recurrent training of hand hygiene compliance observers. Please refer to the Hand Hygiene Measure Specifications for more information on the requirements for the validation of hand hygiene compliance observers.

Feedback

44. For the purposes of responding to question #19, what are some examples of how hospital leadership can be held accountable through performance reviews or compensation?

A performance review or compensation plan should include specific language about hand hygiene performance. A list of hand hygiene practices and related goals may be incorporated into the performance review and/or compensation plan or formalized programs whereby a measure of success of those activities or programs is tied to individual performance reviews or compensation incentive plans of executives. Examples include meeting targets for hand hygiene compliance rates, having bonuses tied to structural changes like the implementation of electronic compliance monitoring systems, etc. Language pertaining solely to infection control practices and performance would NOT be sufficient.

Culture

45. What are some examples of how patients and visitors can be invited to remind individuals who touch patients or who touch items that will be used by patients to perform hand hygiene?

Patients and visitors can be invited to remind individuals who touch patients or who touch items that will be used by patients to perform hand hygiene with posters placed in patient care units, bedside placards, buttons worn by the staff, etc.

46. What are some examples of demonstrating a commitment to hand hygiene improvement as referenced in question #21?

Some examples of how individuals can demonstrate a commitment to support hand hygiene improvement are written or verbal commitments given during town hall meetings, videos, e-mails from the CEO, public comments to staff, etc. This needs to be a verbal or written commitment that

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is delivered to those individuals who touch patients or who touch items that will be used by patients.

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SECTION 7: MANAGING SERIOUS ERRORS

This section includes questions and reference information for Section 7: Managing Serious Errors. Please carefully review the questions, endnotes, and reference information (e.g., measure specifications, notes, and frequently asked questions) before you begin. Failure to review the reference information could result in inaccurate responses.

Section 7: 2023 Managing Serious Errors

Never Events Fact Sheet: <https://ratings.leapfroggroup.org/measure/hospital/2023/responding-never-events>

Section 7 includes questions about your hospital's response to Never Events. In addition, Leapfrog collects information via its NHSN Group about five healthcare-associated infections (CLABSI, CAUTI, MRSA, *C. diff.*, and SSI: Colon). Hospitals reporting on Section 7B: Healthcare-Associated Infections are required to join Leapfrog's NHSN Group. Important information and deadlines are available on the [Join NHSN Group webpage](#).

Each hospital achieving the standard for Never Events:

Has a policy that includes the nine principles of Leapfrog's Never Events policy and will implement this policy if a "never event" occurs within their hospital.

Each hospital achieving the standards for Healthcare-Associated Infections:

1. Has a CLABSI standardized infection ratio of less than or equal to 0.413 for ICU and select ward inpatients.
2. Has a CAUTI standardized infection ratio of less than or equal to 0.427 for ICU and select ward inpatients.
3. Has a MRSA standardized infection ratio of less than or equal to 0.496 for facility-wide inpatients.
4. Has a *C. diff.* standardized infection ratio of less than or equal to 0.621 for facility-wide inpatients.
5. Has an SSI: Colon standardized infection ratio of less than or equal to 0.349 for inpatients following eligible colon procedures.

Download the 2023 Leapfrog Hospital Survey Scoring Algorithm on the [Scoring and Results webpage](#).

7A: Never Events

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Note: To earn credit for these questions, hospitals must have a policy in place that addresses the National Quality Forum’s list of Serious Reportable Events. All references to “never event” or “serious reportable event” are specific to the National Quality Forum list available at https://www.qualityforum.org/topics/sres/serious_reportable_events.aspx.

Reporting Period:

Answer questions #1-9 based on the principles currently included in your hospital’s never events policy at the time you submit this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Below are the nine elements which make up The Leapfrog Group’s Policy Statement regarding [never events](#).⁴⁶ Indicate which of the following principles are included in your hospital’s current never events policy.

1) We apologize to the patient ⁴⁷ and/or family affected by the never event ⁴⁶ .	Yes No
2) We report the event to at least one of the following external agencies ⁴⁸ within 15 business days of becoming aware that the never event ⁴⁶ has occurred: <ul style="list-style-type: none"> √ Joint Commission, as part of its Sentinel Events policy √ DNV GL Healthcare √ State reporting program for medical errors √ Patient Safety Organization (as defined in The Patient Safety and Quality Improvement Act of 2005) 	Yes No
3) We perform a root cause analysis ⁴⁹ , which at a minimum, includes the elements required by the chosen external reporting agency.	Yes No
4) We waive all costs directly related to the never event ⁴⁶ . <i>In order to respond “Yes” to this question, all costs directly related to the never event must be waived to both the patient and the payor.</i>	Yes No
5) We make a copy of this policy available to patients, patients’ family members, and payers upon request.	Yes No
6) We interview patients and/or families, who are willing and able, to gather evidence for the root cause analysis ⁴⁹ .	Yes No
7) We inform the patient and/or the patient’s family of the action(s) that our hospital will take to prevent future recurrences of similar events based on the findings from the root cause analysis ⁴⁹ .	Yes No
8) We have a protocol in place to provide support for caregivers involved in never events ⁴⁶ and make that protocol known to all caregivers and affiliated clinicians.	Yes No
9) We perform an annual review to ensure compliance with each element of Leapfrog’s Never Events Policy for each never event ⁴⁶ that occurred. <i>Cannot respond “yes” to this question, unless “yes” to questions #1-8.</i>	Yes No

7B: Healthcare-Associated Infections

Hospitals that share a CMS Certification Number, must have a unique NHSN ID as required by NHSN. Please carefully review Leapfrog's [NHSN Instructions webpage](#).

Specifications: See [Healthcare-Associated Infections Measure Specifications](#) in the Reference Information beginning on page 231.

Reporting Period: 12 months

- June and August Data Downloads: 01/01/2022 – 12/31/2022
- October and December Data Downloads: 07/01/2022 – 06/30/2023

Leapfrog will update data four times per Survey Cycle for all members of our NHSN group that have provided an accurate NHSN ID in the Hospital Profile and submitted the 2023 Leapfrog Hospital Survey.

Visit the [Join NHSN Group webpage](#) for important information on deadlines for joining Leapfrog's NHSN Group.

Leapfrog obtains standardized infection ratios (SIRs) for each of the following applicable infection measures directly from the CDC's National Healthcare Safety Network (NHSN):

- CLABSI in ICUs and select wards
- CAUTI in ICUs and select wards
- Facility-wide inpatient MRSA Blood Laboratory-identified Events
- Facility-wide inpatient *C. diff.* Laboratory-identified Events
- SSI: Colon

In order for Leapfrog to obtain the SIRs for each applicable infection from NHSN, hospitals must complete the following steps:

1. Join* Leapfrog's NHSN Group by the published deadlines using the [checklist](#) in the Healthcare-Associated Infections Measure Specifications,
2. Provide an accurate NHSN ID in the Hospital Profile, and
3. Submit the 2023 Leapfrog Hospital Survey.

*Hospitals are not required to "re-join" Leapfrog's NHSN Group if they joined and conferred rights in previous Leapfrog Hospital Survey Cycles. However, all hospitals in Leapfrog's NHSN Group must review their Rights Acceptance Report annually (by the published [join-by deadlines](#)) to ensure that Leapfrog has access to the data from all of the locations that were active during the reporting period, even if those locations are no longer active, to ensure that Leapfrog obtains the appropriate SIR.

Hospitals that join Leapfrog's NHSN group, but do not provide an accurate NHSN ID in the Hospital Profile or do not submit the 2023 Leapfrog Hospital Survey, will be scored and publicly reported as "Declined to Respond" for each of the five infection measures.

For all other deadlines, please refer to the "Deadlines and Reporting Periods" table provided in the [Healthcare-Associated Infections Measure Specifications](#), as well as [online](#).

Section 7: 2023 Managing Serious Errors Reference Information

What's New in the 2023 Survey

We are adding Section 7: Managing Serious Errors to the list of sections required to submit the 2023 Leapfrog Hospital Survey.

Section 7A: Never Events

Leapfrog is clarifying question #4, which asks if hospitals waive all costs related to all [never events](#), by stating that costs must be waived to both the patient and the payor.

Change Summary Since Release

None. If substantive changes are made to this section of the Survey after release on April 1, 2023, they will be documented in this Change Summary section.

Healthcare-Associated Infections Measure Specifications

Important Note: Hospitals that share a CMS Certification Number must have a unique NHSN ID as required by NHSN. Please carefully review Leapfrog’s [NHSN Instructions webpage](#).

Checklist for Joining Leapfrog’s NHSN Group and Ensuring the Data are Accurate

- Join or verify that you are in Leapfrog’s NHSN Group by the [join-by dates](#)**
 - Instructions for joining or verifying that you are in Leapfrog’s NHSN Group are available [here](#) in the “NHSN Guidance: Join the Group, Review/Accept Data Rights Template, and Downloading Reports” document.
 - Join-by dates are listed in the “Deadlines and Reporting Periods” table below.

- Review and accept Leapfrog’s Data Rights Template within NHSN**
 - **All hospitals** are required to review and accept the Data Rights Template at least annually before the **June 22, 2023**, NHSN join-by date and whenever updates are made to their location mapping in NHSN.
 - Include any locations that were active during the reporting period even if they are currently inactive to ensure that Leapfrog obtains the appropriate SIR.
 - Confirm that you have given Leapfrog access to data from your 2022 NHSN Patient Safety Component - Annual Hospital Survey. Surveillance data from the 2022 NHSN Annual Hospital Survey is used by NHSN to risk adjust SIRs; SIRs cannot be calculated by NHSN or downloaded by Leapfrog if you restrict access to this data.
 - Failure to review and update (as needed) your Data Rights Template may result in Leapfrog pulling incorrect data for your hospital.
 - Instructions for reviewing and accepting the Data Rights Template are available [here](#) in the “NHSN Guidance: Join the Group, Review/Accept Data Rights Template, and Downloading Reports” document.

- Generate datasets and download reports within NHSN on the same day as Leapfrog**
 - All hospitals are required to (a) generate datasets within NHSN, (b) download CMS IPPS reports, and (c) download a copy of your 2022 Patient Safety Component - Annual Hospital Survey from NHSN on the **same day** that Leapfrog will be downloading the data from NHSN for all current group members.
 - Instructions for generating datasets and downloading these reports from NHSN are available [here](#) in the “NHSN Guidance: Join the Group, Data Rights Template, and Downloading Reports” document.
 - NHSN data download dates are listed in the “Deadlines and Reporting Periods” table below (please note that there are four NHSN data download dates per Survey Cycle)
 - By generating datasets and downloading reports within NHSN on the same day as Leapfrog, hospitals can confirm that their data matches the data Leapfrog has obtained.
 - If hospitals do not generate datasets and download reports on the same day as Leapfrog, the Help Desk will not review any discrepancies.

- Review SIRs**
 - For verification purposes, hospitals are required to download reports from NHSN on the **same day**, as described above.
 - Once Leapfrog has published the healthcare-associated infection Survey Results on the [Hospital Details Page](#), hospitals are urged to compare the Survey Results to their NHSN reports that were pulled on the **same day** as Leapfrog.
 - Dates on which the Survey Results will be available on the Hospital Details page are listed in the “Deadlines and Reporting Periods” table below.

- Report discrepancies**

If, while comparing your NHSN reports to your Leapfrog Hospital Survey Results, you find a discrepancy, you must contact Leapfrog’s [Help Desk](#) immediately. If you do not contact Leapfrog by

the **end of the month** in which scored results are [available](#) on your Hospital Details Page (i.e., July, September, November, and January, respectively), the issue will not be investigated by the Help Desk.

Deadlines and Reporting Periods

Join Leapfrog's NSHN Group by	Leapfrog will download data from NHSN for all current group members on	Data downloaded from NHSN will be scored and publicly reported for hospitals that have submitted Section 7 by	HAI Reporting Period	Available on Hospital Details Page and Public Reporting Website on
June 22, 2023	June 23, 2023	June 30, 2023	01/01/2022 – 12/31/2022	July 12, 2023 Hospital Details Page July 25, 2023 Public Reporting Website
August 23, 2023	August 24, 2023	August 31, 2023	01/01/2022 – 12/31/2022	September 8, 2023
October 23, 2023	October 24, 2023	October 31, 2023	07/01/2022 – 06/30/2023	November 7, 2023
December 20, 2023	December 21, 2023*	November 30, 2023	07/01/2022 – 06/30/2023	January 8, 2024

*The Leapfrog Hospital Survey closes on November 30, 2023. The last NHSN data download is on December 21, 2023, to incorporate any facilities and corrections from facilities that joined by the last join date of December 20, 2023.

Never Events Frequently Asked Questions (FAQs)

1. When reporting Never Events, what “state reporting program for medical errors” applies in my state?

Congress has passed legislation requiring all states to develop a reporting program for medical errors. At this time, many states have already enacted or adopted some requirement that hospitals report serious medical errors or similar adverse events to a state agency. Others are still implementing legislation or regulations that define that requirement. States that have developed programs may also define reportable events differently.

2. What if there is no “state reporting program for medical errors” in my state? Do we still have to report Never Events to meet Leapfrog principles for this policy? To whom?

Hospitals in states that do not have a state reporting program or requirement in effect can meet the reporting requirement of Leapfrog’s principles for implementation of a Never Events policy by reporting all Never Events voluntarily to The Joint Commission, DNV GL Healthcare, or a Patient Safety Organization.

If there is no state-required reporting program in effect, no available Patient Safety Organization to which your hospital can report, and your hospital is not Joint Commission or DNV GL Healthcare accredited, the Leapfrog requirement for reporting to an external agency is amended. Hospitals must report the Never-Event to their governance board and must still perform a root-cause analysis internally of each Never Event to meet Leapfrog’s principle for full implementation of its Never Events policy.

3. The reportable adverse events defined by our state’s reporting program don’t include all twenty-nine (29) Never Events endorsed by the National Quality Forum (NQF) and adopted in the Leapfrog policy. Will reporting only the state-required reportable events to the state agency suffice for meeting Leapfrog’s requirement for reporting Never Events to an external agency? Does our hospital have to report other Never Events, as defined by NQF/Leapfrog, to that state agency even though not required by our state’s reporting program?

Hospitals should report all their state-required reportable events to the state agency. All other Never Events, as defined by NQF’s list of Serious Reportable Events, that cannot be reported to the state agency, should be reported to another external agency (e.g., accreditor, Patient Safety Organization), if possible. If reporting those events to another external agency is not possible, the final option is to report those events to the hospital’s governance board.

4. Won’t Leapfrog’s request to have hospitals apologize to the patient put the hospital at risk for liability?

Not necessarily. Research indicates that malpractice suits are often the result of a failure on the hospital’s part to communicate openly with the patient and apologize for its error. Patients feel the most anger when they perceive that no one is willing to take responsibility for the adverse event that has occurred. A sincere apology from the responsible hospital staff can help to heal the breach of trust between doctor/hospital and patient. (When Things Go Wrong: Responding to Adverse Events. Boston, 2006. Mass Coalition for the Prevention of Medical Errors)

5. How does Leapfrog define “waive cost?”

At its core, Leapfrog’s approach to never events is about improving patient care. While the policy asks hospitals to refrain from billing either the patient or a third-party payer, such as a health plan or employer company, for any costs directly related to a serious reportable adverse event, Leapfrog understands that, due to the wide array of circumstances surrounding never events, specific details of what constitutes “waiving cost” should be handled on a case-by-case basis by the parties involved. For an example, please see [“Lessons learned from implementing a principled approach to resolution following patient harm”](#) by Smith et. al.

6. Does Leapfrog recommend any resources for hospitals looking to adhere to Leapfrog's Never Events principles?

Yes, the Agency for Healthcare Research and Quality (AHRQ) has developed and tested the [Communication and Optimal Resolution \(CANDOR\) Toolkit](#), which outlines a process for hospitals and practitioners to respond to unexpected events in a timely, thorough, and just way. The National Patient Safety Foundation (NPSF) has issued a report titled [RCA²: Improving Root Cause Analyses and Actions to Prevent Harm](#), which examines best practices and provides guidelines to help standardize and improve Root Cause Analysis. In addition, hospitals can download tips and tools for interviewing patients and families for the Root Cause Analysis on the [Survey and CPOE Materials webpage](#).

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SECTION 8: PEDIATRIC CARE

This section includes questions and reference information for Section 8: Pediatric Care. Please carefully review the questions, endnotes, and reference information (e.g., measure specifications, notes, and frequently asked questions) before you begin. Failure to review the reference information could result in inaccurate responses.

Section 8: 2023 Pediatric Care

Pediatric Care Fact Sheet: <https://ratings.leapfroggroup.org/measure/hospital/2023/pediatric-care>

Hospitals that do not care for (or perform CT scans on) patients 17 years of age or younger should respond “no” to question #2 in 8A and 8B and move on to the Affirmation of Accuracy. Your hospital’s results will be displayed as “Does Not Apply” on Leapfrog’s public reporting website.

Section 8 includes questions about patient experience (CAHPS Child Hospital Survey) and Computed Tomography (CT) radiation dose for pediatric patients. The subsection on CT dose (8B) includes a set of optional fact-finding questions that will not be scored or publicly reported in 2023.

Each hospital achieving the standard for Patient Experience (CAHPS Child Hospital Survey):

Performed in the top quartile for at least 4 of the 5 CAHPS Child Hospital Survey domains (a subset of the 18 total domains), listed below:

- Communication with Parent – Communication about your child’s medicines
- Communication with Parent – Keeping you informed about your child’s care
- Communication with Child – How well nurses communicate with your child
- Communication with Child – How well doctors communicate with your child
- Attention to Safety and Comfort – Preventing mistakes and helping you report concerns

Each hospital achieving the standard for Pediatric Computed Tomography (CT) Dose:

Received 75% or more of the possible points based on comparing CT radiation doses across two anatomic areas (head and abdomen/pelvis) and five age strata to national benchmarks.

Download the 2023 Leapfrog Hospital Survey Scoring Algorithm on the [Scoring and Results webpage](#).

8A: Patient Experience (CAHPS Child Hospital Survey)

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: This subsection is only applicable to hospitals that care for patients 17 years of age or younger. Hospitals that do not care for patients 17 years of age or younger should respond “no” to question #2 in 8A and move on to 8B. Your hospital’s results will be displayed a “Does Not Apply” on Leapfrog’s public reporting website.

Note 2: To help ensure that the [Top Box Scores](#)⁵⁰ represent an appropriate sample of patients, hospitals must have at least 100 pediatric acute-care admissions to inpatient units other than a neonatal ICU (NICU). Otherwise, they should respond “Yes, but fewer than 100 pediatric admissions were for non-NICU patients” to question #2 and skip the remaining questions in Section 8A. The hospital will be scored as “Does Not Apply.” For example, if your hospital had 600 pediatric acute-care admissions, and 550 of those admissions were to a neonatal ICU, you should respond “Yes, but fewer than 100 pediatric admissions were for non-NICU patients” to question #2 as your hospital only has 50 admissions to inpatient units other than a neonatal ICU.

Specifications: See [Patient Experience \(CAHPS Child Hospital Survey\) Measure Specifications](#) in the Reference Information beginning on page 246.

Reporting Period: 12 months

Answer questions #1-12 for the latest 12-month period prior to the submission of this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) What is the latest 12-month reporting period for which your hospital is submitting responses to this section? 12-month reporting period ending:	<i>Format: Month/Year</i>
2) Did your hospital have at least 500 pediatric acute-care admissions during the 12-month period referenced in Section 1, question #5? <i>Refer to your responses to questions #5 and #11 in Section 1A: Basic Hospital Information. If “no” or “yes, but fewer than 100 pediatric admissions were for non-NICU patients,” skip questions #3-12 and continue to the next subsection. The hospital will be scored as “Does Not Apply.”</i>	Yes Yes, but fewer than 100 pediatric admissions were for non-NICU patients No
3) Has your hospital administered, or started to administer the CAHPS Child Hospital Survey during the reporting period? <i>If “no” to question #3, skip questions #4-12 and continue to the next subsection. The hospital will be scored as “Limited Achievement.”</i>	Yes No
4) Did your hospital administer the full CAHPS Child Hospital Survey or a truncated version? <i>If administering a truncated version, hospitals must follow the specifications for truncating the survey provided in the Patient Experience (CAHPS Child Hospital Survey) Measure Specifications.</i>	Full version Truncated version

<p>5) How many surveys were returned during the reporting period?</p> <p><i>If less than 100, skip questions #6-12 and continue to the next subsection. The hospital will be scored as “Unable to Calculate Score.”</i></p>	<p>_____</p>
<p>6) Which of the following modes were used to administer the survey?</p> <p><i>Select all that apply.</i></p>	<p><input type="checkbox"/> Mail <input type="checkbox"/> Phone <input type="checkbox"/> Email <input type="checkbox"/> Tablet</p>
<p>7) Which of the following times were surveys administered during the reporting period?</p> <p><i>Select all that apply.</i></p>	<p><input type="checkbox"/> Day of discharge <input type="checkbox"/> After discharge</p>

In questions #8-12, report your hospital's [Top Box Score](#)⁵⁰ (rounded to the nearest whole number) from each of the five publicly reported patient experience measures from your 12-month vendor report that matches the reporting period that you selected in question #1.

<p>8) Communication with Parent – Communication about your child's medicines</p>	<p>_____</p>
<p>9) Communication with Parent – Keeping you informed about your child's care</p>	<p>_____</p>
<p>10) Communication with Child – How well nurses communicate with your child</p>	<p>_____</p>
<p>11) Communication with Child – How well doctors communicate with your child</p>	<p>_____</p>
<p>12) Attention to Safety and Comfort – Preventing mistakes and helping you report concerns</p>	<p>_____</p>

8B: Pediatric Computed Tomography (CT) Radiation Dose

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: All pediatric patient (ages 17 years and younger) scans performed at your hospital should be included when reporting on this measure, including cases that were never admitted to an inpatient ward.

Note 2: This section is only applicable to hospitals that perform CT scans on patients 17 years of age or younger. Hospitals that do not perform CT scans on patients 17 years of age or younger should respond “no” to question #2 in 8B and move on to the Affirmation of Accuracy. Your hospital’s results will be displayed as “Does Not Apply” on Leapfrog’s public reporting website.

Specifications: See [Pediatric Computed Tomography \(CT\) Radiation Dose Measure Specifications](#) in the Reference Information beginning on page 247.

Reporting Period: 12 months

Answer questions #1-9 based on all cases (or a sufficient sample of them)

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Sufficient Sample: See [Pediatric Computed Tomography \(CT\) Radiation Dose Measure Specifications](#) for instructions on identifying a sufficient sample for questions #2-7.

1) 12-month reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
2) Does your hospital perform CT scans on pediatric patients? <i>If “no” to question #2, skip the remaining questions in Section 8B, and go to the Affirmation of Accuracy. The hospital will be scored as “Does Not Apply.”</i>	Yes No
3) Did your hospital calculate its distribution of CT radiation doses for pediatric patients over the reporting period, and do you choose to report those data to this Survey? <i>If “no” to question #3, skip the remaining questions in Section 8B, and go to the Affirmation of Accuracy. The hospital will be scored as “Limited Achievement.”</i>	Yes No
4) Which of the following is your hospital using to report the CT radiation dose length product (DLP)? <i>If “manual data collection” is not selected, skip question #5 and continue to question #6.</i>	Manual Data Collection Dose Monitoring Software ACR National Radiology Data Registry Report

<p>5) If using manual data collection, do the responses in questions #6 and #7 represent a sample?</p> <p><i>If your hospital reviewed all cases for age strata with fewer than 30 encounters and sampled for those age strata with greater than 30 encounters, select “yes.”</i></p>	<p>Yes No</p>
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6) Enter your hospital’s 25th, 50th, and 75th percentiles for CT radiation dose length product (DLP) in routine **head** scans for pediatric patients for each age stratum standardized to 16cm phantoms.

If the number of encounters for an age stratum is less than 10 (in column a), skip columns b, c, and d and then move to the next age stratum. If zero, enter “0” in column a. The hospital will be scored as “Unable to Calculate Score” if all age strata have less than 10 encounters.

Age Stratum	HEAD			
	(a) Number of encounters	(b) 25 th Percentile	(c) 50 th Percentile	(d) 75 th Percentile
< 1 year				
1 - 4				
5 - 9				
10 - 14				
15 - 17				

7) Enter your hospital’s 25th, 50th, and 75th percentiles for CT radiation dose length product (DLP) in routine **abdomen/pelvis** scans for pediatric patients for each age stratum standardized to 32cm phantoms.

If the number of encounters for an age stratum is less than 10 (in column a), skip columns b, c, and d and then move to the next age stratum. If zero, enter “0” in column a. The hospital will be scored as “Unable to Calculate Score” if all age strata have less than 10 encounters.

Age Stratum	ABDOMEN/PELVIS			
	(a) Number of encounters	(b) 25 th Percentile	(c) 50 th Percentile	(d) 75 th Percentile
< 1 year				
1 - 4				
5 - 9				
10 - 14				
15 - 17				

<p>8) Which of the following CT machines are used for pediatric CT scans reported on in questions #1-7:</p> <p><i>Select all that apply.</i></p>	<ul style="list-style-type: none"> <input type="checkbox"/> Analogic <input type="checkbox"/> Canon <input type="checkbox"/> GE <input type="checkbox"/> Hitachi <input type="checkbox"/> Neusoft <input type="checkbox"/> Neurologica <input type="checkbox"/> Philips <input type="checkbox"/> Siemens <input type="checkbox"/> Toshiba <input type="checkbox"/> United Imaging
<p>9) In what year was your CT machine manufactured?</p> <p><i>Please review the manufacturer’s gantry label to determine this response. If using more than one machine for pediatric CT scans, enter the year of the oldest machine.</i></p>	<p>_____</p>

Additional Questions (Optional – Fact Finding Only)

10) For the routine head and routine abdomen/pelvis scans included in Section 8B, estimate the percent of total scans that is more than one phase (e.g., pre contrast and post contrast or multiple post contrast phases).	
a) Routine head scans that are more than one phase:	
b) Routine abdomen/pelvis scans that are more than one phase:	
11) For the routine head scans included in Section 8B, how many age or size categories has your hospital developed protocols for? <i>If “1,” continue to question #11a and skip questions #11b and #11c.</i> <i>If “2” or greater, skip question #11a and continue to questions #11b and #11c.</i>	1 2 3 4 5 More than 5
11a) If one protocol, what kilovoltage (kV) is used for routine head scans?	70 80 90 100 110 120 130 140 150
11b) If two or more protocols, what is the lowest kilovoltage (kV) used for routine head scans?	70 80 90 100 110 120 130 140 150
11c) If two or more protocols, what is the highest kilovoltage (kV) used for routine head scans?	70 80 90 100 110 120 130 140 150
12) For the routine abdomen/pelvis scans included in Section 8B, how many age or size categories has your hospital developed protocols for? <i>If “1,” continue to question #12a and skip questions #12b and #12c.</i> <i>If “2” or greater, skip question #12a and continue to questions #12b and #12c.</i>	1 2 3 4 5 More than 5
12a) If one protocol, what kilovoltage (kV) is used for routine abdomen/pelvis scans?	70 80 90 100 110 120 130 140

	150
12b) If two or more protocols, what is the lowest kilovoltage (kV) used for routine abdomen/pelvis scans?	70 80 90 100 110 120 130 140 150
12c) If two or more protocols, what is the highest kilovoltage (kV) used for routine abdomen/pelvis scans?	70 80 90 100 110 120 130 140 150
13) Are CT protocols for routine head and routine abdomen/pelvis scans included in Section 8B reviewed and updated? <i>If “no, skip the remaining questions in Section 8B and go to the Affirmation of Accuracy.</i>	Yes No
13a) If “yes,” how often are CT protocols reviewed and updated?	<i>Monthly Quarterly Biannually Annually Every 2 years Every 3-5 years</i>
13b) If “yes,” who is involved in the process of reviewing and updating CT protocols? <i>Select all that apply.</i>	<input type="checkbox"/> Technologist <input type="checkbox"/> Medical physicist <input type="checkbox"/> Radiologist <input type="checkbox"/> Other

Section 8: 2023 Pediatric Care Reference Information***What's New in the 2023 Survey*****Section 8A: Patient Experience (CAHPS Child Hospital Survey)**

For hospitals administering the CAHPS Child Hospital Survey, Leapfrog is asking an additional question to assess whether the full CAHPS Child Hospital Survey or a truncated version is being administered. Additionally, we are updating the measure specifications to clarify that hospitals administering the truncated version of the CAHPS Child Hospital Survey must retain the demographic questions in their original order and unaltered. This requirement supports Leapfrog's efforts to have hospitals stratify quality measures for the purpose of identifying healthcare disparities.

There are no changes to the scoring algorithm for Section 8A: Patient Experience (CAHPS Child Hospital Survey).

Section 8B: Pediatric Computed Tomography (CT) Radiation Dose

Based on feedback from hospitals and industry experts, Leapfrog is adding a new unscored question to collect the age of the CT machine being used for pediatric CT scans. We are also adding a question asking for the name of the CT machine's manufacturer. This new information will be used to analyze the relationship between machine age and dose and used to inform thresholds used in Leapfrog's data verification [protocols](#).

There are no changes to the scoring algorithm for Section 8B: Pediatric Computed Tomography (CT) Radiation Dose.

Change Summary Since Release

None. If substantive changes are made to this section of the Survey after release on April 1, 2023, they will be documented in this Change Summary section.

Pediatric Care Measure Specifications

Patient Experience (CAHPS Child Hospital Survey)

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Source: Agency for Healthcare Quality and Research (AHRQ) (NQF #2548)			
Reporting Period: The latest 12-month period prior to the submission of this section of the Survey. Hospitals can elect to use either survey return date or discharge date to pull reports for this measure.			
This section of the Leapfrog Hospital Survey asks hospitals who care for pediatric patients about their results from the CAHPS Child Hospital Survey . The first several questions are designed to learn more about the current administration of the survey. The last five questions collect the “ Top Box ” ⁵⁰ score for each of the following five domains:			
<ul style="list-style-type: none"> • Communication with Parent – Communication about your child’s medicines • Communication with Parent – Keeping you informed about your child’s care • Communication with Child – How well nurses communicate with your child • Communication with Child – How well doctors communicate with your child • Attention to Safety and Comfort – Preventing mistakes and helping you report concerns 			
Hospitals using Press Ganey, NRC, or PRC to administer the CAHPS Child Hospital Survey can use the AHRQ CAHPS Crosswalk below to ensure they are reporting on the correct domains. The Crosswalk is designed to help translate the standard vendor report to the AHRQ Domains used in questions #8-12 of the Leapfrog Hospital Survey.			
Hospitals that opt to truncate the CAHPS Child Hospital Survey after question 40, which is the last question required to report on the five domains listed above, must ensure that all questions prior to and including question 40 remain in order and unaltered. In addition, hospitals opting to truncate the survey must retain questions 50-54 and 56-57 (in order and unaltered) which ask for the child and survey participant’s demographic information. Note: Hospitals that collect information captured in questions 51-52 administratively are not required to retained them.			
For more information regarding which questions from the CAHPS Child Hospital Survey correspond to the five domains listed above, visit https://www.ahrq.gov/cahps/surveys-guidance/hp/about/child-survey-measures.html .			
CAHPS Child Hospital Survey Domain Crosswalk			
AHRQ Domain Name	Press Ganey Domain Name	NRC Domain Name	PRC Domain Name
Communication with Parent – Communication about your child’s medicines	Communication Child’s Med	Communication About Meds	Communication About Medicines
Communication with Parent – Keeping you informed about your child’s care	Informed Child’s Care	Keeping You Informed About Your Child’s Care	Keeping Parent Informed
Communication with Child – How well nurses communicate with your child	Nurses Communicate Child	Nurse Communication With Child	Nurses – Child
Communication with Child – How well doctors communicate with your child	Doctors Communicate Child	Doctor Communication With Child	Doctors – Child
Attention to Safety and Comfort – Preventing mistakes and helping you report concerns	Prevent Mistakes Rpt Conc	Preventing Mistakes and Helping You Report Concerns	Preventing Mistakes

See [FAQs](#) for additional information about responding to the questions in this section.

Pediatric Computed Tomography (CT) Radiation Dose

Important Notes:

Note 1: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Note 2: For purposes of this measure, an “encounter” consists of a full examination and any CT scans performed within one hour of each other involving the designated anatomic area (i.e., head or abdomen/pelvis). For example, two CT scans conducted 30-minutes apart on the same patient’s head are considered one “encounter.” CT scans of the same anatomic area performed with and without contrast are considered one “encounter.” Scans of two different anatomic areas performed within one hour would not be considered the same “encounter.” Scans of the same anatomic area performed greater than 60 minutes apart would also not be considered the same “encounter.”

Note 3: This measure includes two sets of instructions in the table below: one for hospitals using dose monitoring software and one for hospitals that are not using dose monitoring software. Please be sure to use the correct set of instructions.

Note 4: Hospitals performing manual data collection must use the CT Dose Workbook provided on the [Survey and CPOE Materials webpage](#) to standardize their CT radiation dose length product (DLP) for head scans to 16cm phantoms and abdomen/pelvis scans to 32cm phantoms.

Source: University of California, San Francisco (NQF #2820)

Reporting Period: 12 months

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Hospitals participating in the ACR National Radiology Data Registry:

Data for this measure can be obtained directly from a special Leapfrog report. See details below.

Hospitals that report to the American College of Radiology (ACR) and receive reports through the National Radiology Data Registry (NRDR) will be able to respond to questions #6-7 in Section 8B using a specialized report that will be made available with the quarterly Dose Index Registry Executive Summary reports. This separate “Leapfrog” report will be available for download through the NRDR portal and will contain data according to the age ranges and measure specifications of the Leapfrog Hospital Survey. **Enter values from the “Leapfrog” tab using the “Table: Pediatric Exams for Leapfrog Survey” and the appropriate percentiles in the Head and Abdomen Pelvis columns rounded to the nearest whole number directly into the Online Hospital Survey Tool.** While this report should be from the most recent 12 months, it does not need to include the full 12 months.

Hospitals using dose monitoring software:

Data for this measure can be obtained using dose monitoring software. See instructions below.

Question #6, column a: Total Number of Encounters

Using your dose monitoring software, obtain the total number of encounters in routine **head scans** for each age stratum (<1, 1-4, 5-9, 10-14, 15-17). Enter these values into the Survey.

Inclusions:

CT HEAD BRN WO IVCON
 CT HEAD WO IVCON
 CT PEDS HEAD WO IVCON
 CT HEAD
 CT PEDS HEAD BRN WO IVCON
 CT BRN WO IVCON
 CT HEAD BRN
 CT HEAD BRN W IVCON

CT HEAD W IVCON
 CT HEAD WO & W IVCON
 CT HEAD BRN WO & W IVCON
 CT BRN WO & W IVCON
 CT BRN W IVCON

Exclusions:

- Encounters involving facial bones or sinuses
- Encounters where the indication is for a biopsy, including prop studies and stereotactic studies and procedures
- Encounters that cross multiple anatomic areas should be excluded. For example, encounters involving both the head and neck are excluded from the “head” anatomic region.
- Encounters where the documented age is missing or listed as the date of admission (i.e., an accurate birthday could not be obtained and therefore the admission date was used), as is the case for some trauma patients

Sampling Cases:

Hospitals using dose monitoring software must report on all encounters in the 12-month reporting period.

Hospitals using Radimetrics Dose Monitoring Software:

- If your hospital is using unique study instance UIDs for every scan performed, review your data to ensure that any scans performed on the same patient and same anatomic area within a one-hour timeframe are being combined into one encounter. The DLPs for all scans within the one-hour timeframe should be combined and that combined value used to calculate the percentiles reported to Leapfrog.
- If your hospital is NOT using unique study instance UIDs and is combining DLPs for all scans performed on the same patient and same anatomic area within one hour into one study instance UID, no review is required as this adheres to the definition of encounter. However, these hospitals should review any repeat or delayed scans to ensure repeat or delayed scans performed greater than 60 minutes apart are not being combined into the same study instance UID.

Question #6, columns b, c, and d: 25th, 50th, and 75th Percentiles

Based on the encounters identified for each age stratum (column a), use your dose monitoring software to calculate the 25th percentile (column b), the 50th percentile (column c), and the 75th percentile (column d) for CT radiation dose length product (DLP) in routine **head scans standardized to 16cm phantoms**. Enter these values into the Survey rounded to the nearest whole number.

If the number of encounters for an age stratum (i.e., <1 or 1-4, etc.) is less than 10 (column a), skip columns b, c, and d. If the number of encounters for an age stratum is zero, enter “0” in column a, and skip columns b, c, and d. You cannot leave any rows in column a blank.

Phantom Dose Specifications:

For head scans, use a 16 cm phantom dose value. The green box in the screenshot below the table is an example of a Dose Report which shows the phantom dose value used. The phantom dose value is used to estimate the radiation dose to the patient.

Scout Doses (Topograms):

Scout doses should not be included when calculating the 25th, 50th, or 75th percentiles.

Question #7 column a: Total Number of Encounters

Using your dose monitoring software, obtain the total number of encounters in routine **abdomen/pelvis** for each age stratum (<1, 1-4, 5-9, 10-14, 15-17). Enter these values into the Survey.

Inclusions:

CT ABD PELVIS W IVCON
 CT ABD/PEL W IVCON
 CT PEDS ABD PELVIS

CT ABD PELVIS WO IVCON
 CT ABD/PEL WO IVCON
 CT ABD/PEL
 CT PEDS ABD/PEL WO IVCON
 CT ABD PELVIS WO & W IVCON
 CT ABD/PEL WO & W IVCON
 CT ABD PELVIS
 CT ABD PELVIS WO IVCON

Exclusions:

- Encounters where the indication is for a biopsy, including prop studies and stereotactic studies and procedures
- Encounters that cross multiple anatomic areas should be excluded. For example, encounters involving both the chest and abdomen/pelvis are excluded from the “abdomen/pelvis” anatomic region.
- Encounters where the documented age is missing or listed as the date of admission (i.e., an accurate birthday could not be obtained and therefore the admission date was used), as is the case for some trauma patients

Sampling Cases:

Hospitals using dose monitoring software must report on all encounters in the 12-month reporting period.

Question #7, columns b, c, and d: 25th, 50th, and 75th Percentiles

Based on the encounters identified for each age stratum (column a), use your dose monitoring software to calculate the 25th percentile (column b), the 50th percentile (column c), and the 75th percentile (column d) for CT radiation dose length product (DLP) in routine **abdomen/pelvis scans standardized to 32cm phantoms**. Enter these values into the Survey rounded to the nearest whole number.

If the number of encounters for an age stratum (i.e., <1 or 1-4, etc.) is less than 10 (column a), skip columns b, c, and d. If the number of encounters for an age stratum is zero, enter “0” in column a, and skip columns b, c, and d. You cannot leave any rows in column a blank.

Phantom Dose Specifications:

For abdomen/pelvis scans, use a 32cm phantom dose value. The green box in the screenshot below the table is an example of a Dose Report which shows the phantom dose value used. The phantom dose value is used to estimate the radiation dose to the patient.

Scout Doses (Topograms):

Scout doses should not be included when calculating the 25th, 50th, or 75th percentiles.

Hospitals not using dose monitoring software:

Data for this measure can be obtained from Dose Reports that come directly from the CT Machine and are sent along with the images to the Picture Archiving and Communications (PACS) used to review the images. See instructions below.

CT Dose Excel Workbook

To assist hospitals who do not use dose monitoring software in calculating the responses to questions #6 and #7, Leapfrog has developed a CT Dose Workbook to assist hospitals in standardizing their CT dose data based on these specifications. The workbook includes 12 tabs: Instructions, Summary, and 10 Data Entry tabs for each anatomic area/age stratum combination. The tabs for head scans are red and the tabs for abdomen/pelvis scans are blue. Once you enter your hospital's CT radiation dose length product (DLP) data into the appropriate tab, the workbook will automatically calculate your responses to questions #6 and #7 in the Summary tab, and those values should be entered in the Survey.

The CT Dose Workbook is available on the Survey and CPOE Materials [webpage](#) and should be used when reporting on this measure.

Question #6, column a: Total Number of Encounters

To determine the total number of encounters in routine **head scans** for each age stratum (<1, 1-4, 5-9, 10-14, 15-17), you will need to obtain dose reports. See sampling instructions below.

Inclusions:

CT HEAD BRN WO IVCON
 CT HEAD WO IVCON
 CT PEDS HEAD WO IVCON
 CT HEAD
 CT PEDS HEAD BRN WO IVCON
 CT BRN WO IVCON
 CT HEAD BRN
 CT HEAD BRN W IVCON
 CT HEAD W IVCON
 CT HEAD WO & W IVCON
 CT HEAD BRN WO & W IVCON
 CT BRN WO & W IVCON
 CT BRN W IVCON

Exclusions:

- Encounters involving facial bones or sinuses
- Encounters where the indication is for a biopsy, including prop studies and stereotactic studies and procedures
- Encounters that cross multiple anatomic areas should be excluded. For example, encounters involving both the head and neck are excluded from the "head" anatomic region.
- Encounters where the documented age is missing or listed as the date of admission (i.e., an accurate birthday could not be obtained and therefore the admission date was used), as is the case for some trauma patients

Sampling Cases:

Hospitals that are using information stored in the CT Machine have the option of reporting on all encounters or a sample of encounters. Hospitals opting to identify a sample of encounters for this measure should follow these instructions:

- Review your hospital's scans starting on January 15, 2022 (or July 15, 2022 if (re)submitting a Survey on or after September 1, 2023).
- Work sequentially until **a sample of at least 30 encounters per anatomic area and age strata combination** (i.e., head <1; head 1-4, etc.) is reached, or all cases in the reporting period are reviewed, whichever comes first.

Question #6, columns b, c, and d: 25th, 50th, and 75th Percentiles

Using your dose reports, enter the phantom dose and **Total DLP (mGY-cm)** for each encounter into each of the 5 “Head” tabs of the [CT Dose Workbook](#). Be sure to review the instructions tab carefully before you begin entering data. The worksheet will automatically standardize your dose data to a 16cm phantom, if necessary. The worksheet will then automatically calculate the total number of encounters, as well as the 25th, 50th, and 75th percentiles for each anatomic area and age stratum.

See the example CT Dose Report from a CT scanner below this table:

- The green box in the screenshot below the table is an example of a Dose Report which shows the phantom dose value used. The phantom dose value is used to estimate the radiation dose to the patient.
- The red box highlights the Total DLP. Note that your CT scanner may have a differently formatted Dose Report.

Scout Doses (Topograms):

Scout doses should not be included when entering the Total DLP for each encounter into the CT Dose Workbook.

Question #7 column a: Total Number of Encounters

To determine the total number of encounters in routine **abdomen/pelvis scans** for each age stratum (<1, 1-4, 5-9, 10-14, 15-17), you will need to obtain dose reports. See sampling instructions below.

Inclusions:

CT ABD PELVIS W IVCON
 CT ABD/PEL W IVCON
 CT PEDS ABD PELVIS
 CT ABD PELVIS WO IVCON
 CT ABD/PEL WO IVCON
 CT ABD/PEL
 CT PEDS ABD/PEL WO IVCON
 CT ABD PELVIS WO & W IVCON
 CT ABD/PEL WO & W IVCON
 CT ABD PELVIS
 CT ABD PELVIS WO IVCON

Exclusions:

- Encounters where the indication is for a biopsy, including prop studies and stereotactic studies and procedures
- Encounters that cross multiple anatomic areas should be excluded. For example, encounters involving both the chest and abdomen/pelvis are excluded from the “abdomen/pelvis” anatomic region.
- Encounters where the documented age is missing or listed as the date of admission (i.e., an accurate birthday could not be obtained and therefore the admission date was used), as is the case for some trauma patients

Question #7 columns b, c, and d: 25th, 50th, and 75th Percentiles

Using your dose reports, enter the phantom dose and **Total DLP (mGy-cm)** for each encounter into each of the 5 “Abdomen Pelvis” tabs of the [CT Dose Workbook](#). Be sure to review the instructions tab carefully before you begin entering data. The worksheet will automatically standardize your dose data to a 32cm phantom, if necessary. The worksheet will then automatically calculate the total number of encounters, as well as the 25th, 50th, and 75th percentiles for each anatomic area and age stratum.

See the example CT Dose Report from a CT scanner below this table:

- The green box in the screenshot below the table is an example of a Dose Report which shows the phantom dose value used. The phantom dose value is used to estimate the radiation dose to the patient.
- The red box highlights the Total DLP. Note that your CT scanner may have a differently formatted Dose Report.

Scout Doses (Topograms):

Scout doses should not be included when entering the Total DLP for each encounter into the CT Dose Workbook.

Example of Dose Report:

Patient Name: xam no: 30312						
Accession Number: Sep 01 2010						
Patient ID: LightSpeed VCT						
Exam Description: CT CTA CHEST WO & W CO						
Dose Report						
Series	Type	Scan Range (mm)	CTDIvol (mGy)	DLP (mGy-cm)	Phantom cm	
1	Scout	-	-	-	-	
2	Scout	-	-	-	-	
200	Axial	S223.250-S223.250	4.72	2.36	Body 32	
3	Helical	S348.250-S13.250	25.72	980.67	Body 32	
3	Helical	S519.000-S284.000	10.90	306.58	Body 32	
				Total Exam DLP:	1289.61	
1/1						

See [FAQs](#) for additional information about responding to the questions in this section.

Pediatric Care Frequently Asked Questions (FAQs)

Patient Experience (CAHPS Child Hospital Survey)

- 1. Why does Leapfrog refer to two different reporting periods in Section 8A question #2: one reporting period which refers to the number of pediatric admissions during the 12 months from Section 1 and one reporting period which refers to the results of our CAHPS Child Hospital Survey?**

These two reporting periods are different because hospitals that have not historically had 500 pediatric admissions annually may not have begun to administer the CAHPS Survey to their patients and therefore it would not be appropriate to ask these facilities to report on their current results in Section 8A.

- 2. Do I need to include neonatal ICU (NICU) discharges when administering the CAHPS Child Hospital Survey and reporting those results to Leapfrog?**

The Child CAHPS Hospital Survey was designed to be administered to pediatric discharges including NICU discharges. Additional details on fielding the CAHPS Child Hospital Survey can be found [here](#).

In 2023, hospitals that have been administering the CAHPS survey without including NICU discharges in their sample can report those results to Leapfrog, provided they meet the minimum sample size and timing requirements in the Leapfrog Hospital Survey. However, we are urging those hospitals to begin including NICU discharges-- per the manual guidelines-- immediately, as CAHPS is designed to include those patients. Hospitals that are just starting to administer the survey in 2023 should include NICU discharges in their sample per the sampling framework detailed in the manual.

- 3. Can we use other lower cost modes for administering the CAHPS Child Hospital Survey? Survey administration is costly, and our hospital has low response rates.**

Some hospitals have asked about the use of alternative, lower cost modes of survey administration, such as administering paper surveys at discharge that can then be batched and mailed to a vendor to calculate results. This approach is potentially an opportunity both to lower the cost of administration and to increase response rates.

[Leapfrog's Pediatric Expert Panel](#) has noted that while administering the CAHPS Child Hospital Survey using paper forms at discharge is not on the list of AHRQ-approved modes, hospitals that are trying to find ways to administer the survey and increase response rate should be able to submit results to the 2023 Leapfrog Hospital Survey. That said, the Pediatric Expert Panel has expressed a desire for these different modes to be tested, and so we cannot guarantee that you will be able to submit these results for future Leapfrog Hospital Surveys.

- 4. Is Interactive Voice Response (IVR) or texting a link to an online survey an acceptable mode for administering the CAHPS Child Hospital Survey?**

Hospitals that administer the CAHPS Child Hospital Survey via IVR should select "phone" in question #6. Hospitals that administer the CAHPS Child Hospital Survey by texting a link to an online survey to the patient should select "email."

Pediatric Computed Tomography (CT) Radiation Dose

- 5. Is this measure only applicable to pediatric inpatients, or should all pediatric scans be included?**

All pediatric patient (ages 17 years and younger) scans should be included when reporting on this measure, including cases that were never admitted to an inpatient ward.

6. Should multiple phase scans be included in the reporting?

Yes, the intent of this measure is to capture the entire dose a patient receives, even if this radiation is received over multiple phase scans.

7. Should any CT encounters involving anatomic areas not listed in the Survey questions (i.e., head or abdomen/pelvis) be included in the reporting?

No. When reporting CT encounters in the Survey, only encounters involving the head or abdomen/pelvis should be included. Encounters involving any other anatomic area should not be reported. For example, encounters involving both the head and neck are excluded from the “head” anatomic region.

8. Are the CT doses adjusted for any factors other than age, such as height and weight?

No, CT doses are only stratified by age and anatomic region. The pediatric CT radiation dose measure is an NQF-endorsed measure, developed so that all hospitals in the country who do pediatric CT scans can report. Its known limitation is that it does not consider patient size (e.g., height and weight) but instead uses age as a proxy for size. Part of the reason is that unless hospitals use dose monitoring software, which not all hospitals currently do due to cost, they would not have this information readily available to them. However, to align with the American College of Radiology (ACR), head scans are standardized to 16cm phantoms and abdomen/pelvis scans are standardized to 32cm phantoms.

9. If our CT machine was completely refurbished, should we indicate that year in question #9 for year of manufacture?

Yes, if your hospital’s CT machine has been completely refurbished, you should enter the year it was refurbished for manufacture year.

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SECTION 9: OUTPATIENT PROCEDURES

This section includes questions and reference information for Section 9: Outpatient Procedures. Please carefully review the questions, endnotes, and reference information (e.g., measure specifications, notes, and frequently asked questions) before you begin. Failure to review the reference information could result in inaccurate responses.

Section 9: 2023 Outpatient Procedures

Outpatient Procedures Fact Sheet and Bibliography:

<https://ratings.leapfroggroup.org/measure/hospital/2023/care-elective-outpatient-surgery-patients>

Section 9 includes questions about the volume and safety of same-day procedures performed in hospital outpatient departments, as well as the experience of patients who had a same-day surgery performed.

Hospitals will not be able to access Section 9 until the American Medical Association's Terms of Use are completed via the CPT Code Workbook button on the Survey Dashboard, and the appropriate CPT Code Workbook is downloaded.

Each hospital achieving the standards for Certified Clinicians Present While Patients Are Recovering:

1. Has an ACLS certified clinician, plus a second clinician, present at all times and immediately available in the building while adult patients are present in the hospital outpatient department and has a physician or CRNA present at all times and immediately available in the building until all adult patients are physically discharged from the hospital outpatient department.
2. Has a PALS certified clinician, plus a second clinician, present at all times and immediately available in the building while pediatric patients are present in the hospital outpatient department and has a physician or CRNA present at all times and immediately available in the building until all pediatric patients are physically discharged from the hospital outpatient department.

Each hospital achieving the standard for Patient Follow-up:

Provided an accurate CCN in the Hospital Profile, reported volume for adult lower gastrointestinal endoscopy procedures in Section 9C, and is in the top quartile of performance for OP-32, Rate of Unplanned Hospital Visits After an Outpatient Colonoscopy, based on responses to the 2022 Leapfrog ASC Survey and Section 9 of the 2022 Leapfrog Hospital Survey submitted by June 30, 2022.

Each hospital achieving the standard for the Safe Surgery Checklist:

1. Uses a safe surgery checklist on **all** patients undergoing an applicable procedure (reported on in Section 9C) that includes **all** safe surgery checklist elements, **and**
2. Verbalizes all elements of the checklist in the presence of the appropriate personnel, **and**
3. Completes an audit of a sufficient sample of patients to document adherence to the checklist, **and**
4. Has documented adherence to the checklist for at least 90% of the patients included in the audit.

Each hospital achieving the standard for Medication Safety for Outpatient Procedures:

Has met the 90% target for documenting all three components: home medications, visit medications, and allergies/adverse reaction(s) in the clinical record.

Each hospital achieving the standard for Patient Experience (OAS CAHPS):

Performed in the top quartile based on responses to the 2020 Leapfrog ASC and Hospital Surveys submitted by August 31, 2020, for the four OAS CAHPS domains, listed below:

- a) Facilities and Staff
- b) Communication About Your Procedure
- c) Patients' Rating of the Facility
- d) Patients Recommending the Facility

Download the 2023 Leapfrog Hospital Survey Scoring Algorithm on the [Scoring and Results webpage](#).

9A: Basic Outpatient Department Information

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: In order to access this section in the Online Survey Tool, hospitals must complete the American Medical Association’s Terms of Use via the CPT Code Workbook button next to Section 9 on the Survey Dashboard and download the appropriate CPT Code Workbook. Instructions for downloading the CPT Code Workbook are available in the [Volume of Procedures Measure Specifications](#). Each hospital must complete these steps even if they are part of a hospital system.

Note 2: This subsection will not be scored but will be used in public reporting.

Note 3: The term “hospital outpatient department” is used to refer to all hospital outpatient departments or areas of the hospital, including areas that are used for **both** inpatient and outpatient procedures, that perform the outpatient procedures listed in Section 9C and that share your hospital’s license or CMS Certification Number (CCN). This would include, but is not limited to surgery centers, free-standing hospital outpatient departments, as well as outpatient departments that are located in your hospital or are [co-located](#)³⁰ with your hospital. Hospitals should only include hospital outpatient departments or areas of the hospital that perform the procedures listed in Section 9C in an [operating room](#)⁵¹ or [procedure room](#)⁵².

Reporting Period: 12 months

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) 12-month reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
2) Does your hospital perform any of the procedures listed in Section 9C on an outpatient basis in the hospital or at a hospital outpatient department co-located ³⁰ with the hospital?	Yes No
3) Does your hospital perform any of the procedures listed in Section 9C on an outpatient basis at a surgery center or free-standing hospital outpatient department that shares your hospital’s license or CMS Certification Number ?	Yes No

If “no” to question #2 and question #3, skip the remaining questions in Section 9, including all subsections, and go to the Affirmation of Accuracy.

<p>4) Total number of operating rooms⁵¹ used to perform the outpatient procedures listed in Section 9C:</p>	<p>_____</p>
<p>5) Total number of endoscopic procedure rooms⁵² used to perform the outpatient procedures listed in Section 9C:</p>	<p>_____</p>

9B: Medical, Surgical, and Clinical Staff

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Note: The term “hospital outpatient department” is used to refer to all hospital outpatient departments or areas of the hospital, including areas that are used for **both** inpatient and outpatient procedures, that perform the outpatient procedures listed in Section 9C and that share your hospital’s license or CMS Certification Number (CCN). This would include, but is not limited to surgery centers, free-standing hospital outpatient departments, as well as outpatient departments that are located in your hospital or are [co-located](#)³⁰ with your hospital. Hospitals should only include hospital outpatient departments or areas of the hospital that perform the procedures listed in Section 9C in an [operating room](#)⁵¹ or [procedure room](#)⁵².

Reporting Period: 3 months

Answer questions #1-4 based on the staffing structure currently in place at the time that you submit this section of the Survey. The staffing structure should have been in place for at least the past 3 months and reflect the ordinary staffing structure for each applicable hospital outpatient department.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

<p>1) Is there an Advanced Cardiovascular Life Support (ACLS) trained clinician⁵³, as well as a second clinician⁵³ (regardless of ACLS training), present at all times and immediately available in the building while an adult patient (13 years and older) is present in the hospital outpatient department?</p> <p><i>Hospitals with more than one hospital outpatient department, including a surgery center or free-standing hospital outpatient department, should respond based on the least intensively staffed location.</i></p> <p><i>Hospitals should report on all hospital outpatient departments or areas of the hospital that perform the outpatient procedures listed in Section 9C and that share the hospital’s license or CCN.</i></p> <p><i>Hospitals that did not perform any applicable procedures on patients 13 years and older during the reporting period should select “not applicable; pediatric patients only,” skip question #2 and continue to question #3. The hospital will be scored as “Does Not Apply.”</i></p>	<p style="text-align: center;">Yes No <i>Not applicable; pediatric patients only</i></p>
<p>2) Is there a physician or CRNA present at all times and immediately available in the building until all adult patients (13 years and older) are physically discharged from the hospital outpatient department?</p> <p><i>Hospital outpatient departments who have a physician or CRNA serving as their ACLS trained clinician in question #1 may respond “yes” to question #2 if the physician/CRNA is present until all adult patients are physically discharged from the hospital outpatient department.</i></p>	<p style="text-align: center;">Yes No</p>

<p>3) Is there a Pediatric Advanced Life Support (PALS) trained clinician⁵³, as well as a second clinician⁵³ (regardless of PALS training), present at all times and immediately available in the building while a pediatric patient (infant through 12 years) is present in the hospital outpatient department?</p> <p><i>Hospitals with more than one hospital outpatient department, including a surgery center or free-standing hospital outpatient department, should respond based on the least intensively staffed location.</i></p> <p><i>Hospitals should report on all hospital outpatient departments or areas of the hospital that perform the outpatient procedures listed in Section 9C and that share the hospital’s license or CCN.</i></p> <p><i>Hospitals that did not perform any applicable procedures on pediatric patients (infant through 12 years) during the reporting period should select “not applicable; adult patients only,” skip question #4 and continue to the next subsection. The hospital will be scored as “Does Not Apply.”</i></p>	<p>Yes No Not applicable; adult patients only</p>
<p>4) Is there a physician or CRNA present at all times and immediately available in the building until all pediatric patients (infant through 12 years) are physically discharged from the hospital outpatient department?</p> <p><i>Hospital outpatient departments who have a physician or CRNA serving as their PALS trained clinician in question #3 may respond “yes” to question #4 if the physician/CRNA is present until all pediatric patients are physically discharged from the hospital outpatient department.</i></p>	<p>Yes No</p>

9C: Volume of Procedures

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Notes:

Note 1: CPT Codes are provided in downloadable Excel files on the Survey Dashboard. To access the files, click the CPT Code Workbook button next to Section 9. You will be required to complete the American Medical Association’s Terms of Use before downloading the Excel file and using the individual CPT codes to query your EHR or billing system. You are only required to complete the Terms of Use once per Survey Cycle (April 1 – November 30).

The CPT Code Workbooks (Excel files) are labeled for Section 3A: Total Hip Replacement, Total Knee Replacement, and Bariatric Surgery for Weight Loss and Section 9C: Volume of Procedures. Please note, if you are part of a hospital system, each hospital will need to complete the Terms of Use. This is a requirement of the American Medical Association.

Note 2: This subsection will not be scored but will be used in public reporting to inform purchasers and consumers about the hospital’s experience with the procedure. Additionally, this information will be used to facilitate the search functionality on Leapfrog’s [public reporting website](#) (i.e., allowing users to search for hospitals that perform the procedure they need on an outpatient basis).

Note 3: The term “hospital outpatient department” is used to refer to all hospital outpatient departments or areas of the hospital, including areas that are used for **both** inpatient and outpatient procedures, that perform the outpatient procedures listed in Section 9C and that share your hospital’s license or CMS Certification Number (CCN). This would include, but is not limited to surgery centers, free-standing hospital outpatient departments, as well as outpatient departments that are located in your hospital or are [co-located](#)³⁰ with your hospital. Hospitals should only include hospital outpatient departments or areas of the hospital that perform the procedures listed in Section 9C in an [operating room](#)⁵¹ or [procedure room](#)⁵².

Note 4: Hospitals should only report on procedures they electively perform. If your hospital does not perform the procedure on an outpatient basis or ONLY does so when a procedure is urgent, you should answer “no” and not report on those procedures.

Specifications: See [Volume of Procedures Measure Specifications](#) in the Reference Information beginning on page 287.

Reporting Period: 12 months

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) 12-month reporting period used:

01/01/2022 – 12/31/2022
07/01/2022 – 06/30/2023

<p>2) During the reporting period, were one or more of the following gastroenterology procedures performed at your hospital outpatient department(s) on <u>adult</u> or <u>pediatric</u> patients:</p> <ul style="list-style-type: none"> • Upper GI endoscopy • Other upper GI procedures • Lower GI endoscopy <p><i>If “no” or “yes, but no longer performs these procedures,” skip questions #11-13 below.</i></p>	<p style="text-align: center;">Yes Yes, but no longer performs these procedures No</p>
<p>3) During the reporting period, were one or more of the following general surgery procedures performed at your hospital outpatient department(s) on <u>adult</u> or <u>pediatric</u> patients:</p> <ul style="list-style-type: none"> • Cholecystectomy and common duct exploration • Hemorrhoid procedures • Inguinal and femoral hernia repair • Other hernia repair • Laparoscopy • Lumpectomy or quadrantectomy of breast • Mastectomy <p><i>If “no” or “yes, but no longer performs these procedures,” skip questions #14-16 below.</i></p>	<p style="text-align: center;">Yes Yes, but no longer performs these procedures No</p>
<p>4) During the reporting period, were one or more of the following ophthalmology procedures performed at your hospital outpatient department(s) on <u>adult</u> or <u>pediatric</u> patients:</p> <ul style="list-style-type: none"> • Anterior segment eye procedures • Posterior segment eye procedures • Ocular adnexa and other eye procedures <p><i>If “no” or “yes, but no longer performs these procedures,” skip questions #17-19 below.</i></p>	<p style="text-align: center;">Yes Yes, but no longer performs these procedures No</p>
<p>5) During the reporting period, were one or more of the following orthopedic procedures performed at your hospital outpatient department(s) on <u>adult</u> or <u>pediatric</u> patients:</p> <ul style="list-style-type: none"> • Finger, hand, wrist, forearm, and elbow procedures • Shoulder procedures • Spine procedures • Hip procedures • Knee procedures • Toe, foot, ankle, and leg procedures • General orthopedic procedures <p><i>If “no” or “yes, but no longer performs these procedures,” skip questions #20-22 below.</i></p>	<p style="text-align: center;">Yes Yes, but no longer performs these procedures No</p>

<p>6) During the reporting period, were one or more of the following otolaryngology procedures performed at your hospital outpatient department(s) on <u>adult</u> or <u>pediatric</u> patients:</p> <ul style="list-style-type: none"> • Ear procedures • Mouth procedures • Nasal/sinus procedures • Pharynx/adenoid/tonsil procedures <p><i>If “no” or “yes, but no longer performs these procedures,” skip questions #23-25 below.</i></p>	<p style="text-align: center;">Yes Yes, but no longer performs these procedures No</p>
<p>7) During the reporting period, were one or more of the following urology procedures performed at your hospital outpatient department(s) on <u>adult</u> patients:</p> <ul style="list-style-type: none"> • Circumcision • Cystourethroscopy • Male genital procedures • Urethra procedures • Vaginal repair procedures <p><i>If “no” or “yes, but no longer performs these procedures,” skip questions #26-28 below.</i></p>	<p style="text-align: center;">Yes Yes, but no longer performs these procedures No</p>
<p>8) During the reporting period, was the following neurological surgery procedure performed at your hospital outpatient department(s) on <u>adult</u> patients:</p> <ul style="list-style-type: none"> • Spinal fusion procedures <p><i>If “no” or “yes, but no longer performs this procedure,” skip questions #29-31 below.</i></p>	<p style="text-align: center;">Yes Yes, but no longer performs this procedure No</p>
<p>9) During the reporting period, were one or more of the following obstetrics and gynecology procedures performed at your hospital outpatient department(s) on <u>adult</u> patients:</p> <ul style="list-style-type: none"> • Cervix procedures • Hysteroscopy • Uterus and adnexa laparoscopies <p><i>If “no” or “yes, but no longer performs these procedures,” skip questions #32-34 below.</i></p>	<p style="text-align: center;">Yes Yes, but no longer performs these procedures No</p>
<p>10) During the reporting period, were one or more of the following plastic and reconstructive surgery procedures performed at your hospital outpatient department(s) on <u>adult</u> patients:</p> <ul style="list-style-type: none"> • Breast repair or reconstruction • Skin graft/reconstruction procedures <p><i>If “no” or “yes, but no longer performs these procedures,” skip questions #35-37 below.</i></p>	<p style="text-align: center;">Yes Yes, but no longer performs these procedures No</p>

Gastroenterology

11) Total adult and/or pediatric volume for each of the following applicable procedures performed at your hospital outpatient department(s) during the reporting period.

*You cannot leave any blank. If you did not perform one or more of the procedures listed below enter 0 (zero). If you had zero volume for **all** procedures, go back to question #2 and update your response from “yes” to “no.”*

	a) Adult Volume	b) Pediatric Volume
Upper GI endoscopies	_____	_____
Other upper GI procedures	_____	_____
Lower GI endoscopies	_____	_____

12) Where were these **gastroenterology** procedures performed?

Select all that apply.

If only “hospital” is selected, skip question #13 and continue to question #14.

Hospital
 Surgery center or free-standing hospital outpatient department

13) Please provide the name and city location of each surgery center or free-standing hospital outpatient department performing **gastroenterology** procedures:

	Name	City
Surgery Center/Free-Standing Hospital Outpatient Department 1		
Surgery Center/Free-Standing Hospital Outpatient Department 2		
Surgery Center/Free-Standing Hospital Outpatient Department 3		
Surgery Center/Free-Standing Hospital Outpatient Department 4		
Surgery Center/Free-Standing Hospital Outpatient Department 5		

General Surgery

14) Total adult and/or pediatric volume for each of the following applicable procedures performed at your hospital outpatient department(s) during the reporting period.

*You cannot leave any blank. If you did not perform one or more of the procedures listed below enter 0 (zero). If you had zero volume for **all** procedures, go back to question #3 and update your response from “yes” to “no.”*

	(a) Adult Volume	(b) Pediatric Volume
Cholecystectomies and common duct explorations	_____	
Hemorrhoid procedures	_____	
Inguinal and femoral hernia repairs	_____	_____
Other hernia repairs	_____	_____
Laparoscopies	_____	
Lumpectomies or quadrantectomy of breast procedures	_____	
Mastectomies	_____	

15) Where were these **general surgery** procedures performed?

Select all that apply.

If only “hospital” is selected, skip question #16 and continue to question #17.

- Hospital
 Surgery center or free-standing hospital outpatient department

16) Please provide the name and city location of each surgery center or free-standing hospital outpatient department performing **general surgery** procedures:

	Name	City
Surgery Center/Free-Standing Hospital Outpatient Department 1		
Surgery Center/Free-Standing Hospital Outpatient Department 2		
Surgery Center/Free-Standing Hospital Outpatient Department 3		
Surgery Center/Free-Standing Hospital Outpatient Department 4		

Surgery Center/Free-Standing Hospital Outpatient Department 5		
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Ophthalmology

17) Total adult and/or pediatric volume for each of the following applicable procedures performed at your hospital outpatient department(s) during the reporting period.

*You cannot leave any blank. If you did not perform one or more of the procedures listed below enter 0 (zero). If you had zero volume for **all** procedures, go back to question #4 and update your response from “yes” to “no.”*

	(a) Adult Volume	(b) Pediatric Volume
Anterior segment eye procedures	_____	_____
Posterior segment eye procedures	_____	_____
Ocular adnexa and other eye procedures	_____	_____

<p>18) Where were these ophthalmology procedures performed?</p> <p><i>Select all that apply.</i></p> <p><i>If only “hospital” is selected, skip question #19 and continue to question #20.</i></p>	<p><input type="checkbox"/> Hospital</p> <p><input type="checkbox"/> Surgery center or free-standing hospital outpatient department</p>
---	---

19) Please provide the name and city location of each surgery center or free-standing hospital outpatient department performing **ophthalmology** procedures:

	Name	City
Surgery Center/Free-Standing Hospital Outpatient Department 1		
Surgery Center/Free-Standing Hospital Outpatient Department 2		
Surgery Center/Free-Standing Hospital Outpatient Department 3		
Surgery Center/Free-Standing Hospital Outpatient Department 4		
Surgery Center/Free-Standing Hospital Outpatient Department 5		

Orthopedics

20) Total adult and/or pediatric volume for each of the following applicable procedures performed at your hospital outpatient department(s) during the reporting period.

*You cannot leave any blank. If you did not perform one or more of the procedures listed below enter 0 (zero). If you had zero volume for **all** procedures, go back to question #5 and update your response from “yes” to “no.”*

	(a) Adult Volume	(b) Pediatric Volume
Finger, hand, wrist, forearm, and elbow procedures	_____	_____
Shoulder procedures	_____	_____
Spine procedures	_____	
Hip procedures	_____	
Knee procedures	_____	_____
Toe, foot, ankle, and leg procedures	_____	_____
General orthopedic procedures	_____	_____

21) Where were these **orthopedic** procedures performed?

Select all that apply.

If only “hospital” is selected, skip question #22 and continue to question #23.

- Hospital
 Surgery center or free-standing hospital outpatient department

22) Please provide the name and city location of each surgery center or free-standing hospital outpatient department performing **orthopedic** procedures:

	Name	City
Surgery Center/Free-Standing Hospital Outpatient Department 1		
Surgery Center/Free-Standing Hospital Outpatient Department 2		
Surgery Center/Free-Standing Hospital Outpatient Department 3		
Surgery Center/Free-Standing Hospital Outpatient Department 4		
Surgery Center/Free-Standing Hospital Outpatient Department 5		

Otolaryngology

23) Total adult and/or pediatric volume for each of the following applicable procedures performed at your hospital outpatient department(s) during the reporting period.

*You cannot leave any blank. If you did not perform one or more of the procedures listed below enter 0 (zero). If you had zero volume for **all** procedures, go back to question #6 and update your response from “yes” to “no.”*

	(a) Adult Volume	(b) Pediatric Volume
Ear procedures	_____	_____
Mouth procedures	_____	_____
Nasal/sinus procedures	_____	_____
Pharynx/adenoid/tonsil procedures	_____	_____

24) Where were these **otolaryngology** procedures performed?

Select all that apply.

If only “hospital” is selected, skip question #25 and continue to question #26.

	<input type="checkbox"/> Hospital <input type="checkbox"/> Surgery center or free-standing hospital outpatient department
--	--

25) Please provide the name and city location of each surgery center or free-standing hospital outpatient department performing **otolaryngology** procedures:

	Name	City
Surgery Center/Free-Standing Hospital Outpatient Department 1		
Surgery Center/Free-Standing Hospital Outpatient Department 2		
Surgery Center/Free-Standing Hospital Outpatient Department 3		
Surgery Center/Free-Standing Hospital Outpatient Department 4		
Surgery Center/Free-Standing Hospital Outpatient Department 5		

Urology

26) Total adult volume for each of the following applicable procedures performed at your hospital outpatient department(s) during the reporting period.

*You cannot leave any blank. If you did not perform one or more of the procedures listed below enter 0 (zero). If you had zero volume for **all** procedures, go back to question #7 and update your response from “yes” to “no.”*

	(a) Adult Volume	(b) Pediatric Volume
Circumcisions	_____	
Cystourethroscopies	_____	
Male genital procedures	_____	
Urethra procedures	_____	
Vaginal repair procedures	_____	

27) Where were these **urology** procedures performed?

Select all that apply.

If only “hospital” is selected, skip question #28 and continue to question #29.

	<input type="checkbox"/> Hospital <input type="checkbox"/> Surgery center or free-standing hospital outpatient department
--	--

28) Please provide the name and city location of each surgery center or free-standing hospital outpatient department performing **urology** procedures:

	Name	City
Surgery Center/Free-Standing Hospital Outpatient Department 1		
Surgery Center/Free-Standing Hospital Outpatient Department 2		
Surgery Center/Free-Standing Hospital Outpatient Department 3		
Surgery Center/Free-Standing Hospital Outpatient Department 4		
Surgery Center/Free-Standing Hospital Outpatient Department 5		

Neurological Surgery

29) Total adult volume for the following procedure performed at your hospital outpatient department(s) during the reporting period.		
<i>You cannot leave any blank. If you did not perform the procedure listed below, go back to question #8 and update your response from “yes” to “no.”</i>		
	(a) Adult Volume	(b) Pediatric Volume
Spinal fusion procedures	_____	

30) Where were these neurological surgery procedures performed? <i>Select all that apply.</i> <i>If only “hospital” is selected, skip question #31 and continue to question #32.</i>	<input type="checkbox"/> Hospital <input type="checkbox"/> Surgery center or free-standing hospital outpatient department
---	--

31) Please provide the name and city location of each surgery center or free-standing hospital outpatient department performing neurological surgery procedures:		
	<i>Name</i>	<i>City</i>
Surgery Center/Free-Standing Hospital Outpatient Department 1		
Surgery Center/Free-Standing Hospital Outpatient Department 2		
Surgery Center/Free-Standing Hospital Outpatient Department 3		
Surgery Center/Free-Standing Hospital Outpatient Department 4		
Surgery Center/Free-Standing Hospital Outpatient Department 5		

Obstetrics and Gynecology

32) Total adult volume for each of the following applicable procedures performed at your hospital outpatient department(s) during the reporting period.		
<i>You cannot leave any blank. If you did not perform one or more of the procedures listed below enter 0 (zero). If you had zero volume for all procedures, go back to question #9 and update your response from “yes” to “no.”</i>		
	(a) Adult Volume	(b) Pediatric Volume
Cervix procedures	_____	
Hysteroscopies	_____	

Uterus and adnexa laparoscopies	_____	
--	-------	--

<p>33) Where were these obstetrics and gynecology procedures performed?</p> <p><i>Select all that apply.</i></p> <p><i>If only “hospital” is selected, skip question #34 and continue to question #35.</i></p>	<p><input type="checkbox"/> Hospital</p> <p><input type="checkbox"/> Surgery center or free-standing hospital outpatient department</p>
---	---

34) Please provide the name and city location of each surgery center or free-standing hospital outpatient department performing obstetrics and gynecology procedures:		
	<i>Name</i>	<i>City</i>
Surgery Center/Free-Standing Hospital Outpatient Department 1		
Surgery Center/Free-Standing Hospital Outpatient Department 2		
Surgery Center/Free-Standing Hospital Outpatient Department 3		
Surgery Center/Free-Standing Hospital Outpatient Department 4		
Surgery Center/Free-Standing Hospital Outpatient Department 5		

Plastic and Reconstructive Surgery

35) Total adult volume for each of the following applicable procedures performed at your hospital outpatient department(s) during the reporting period.		
<i>You cannot leave any blank. If you did not perform one or more of the procedures listed below enter 0 (zero). If you had zero volume for all procedures, go back to question #10 and update your response from “yes” to “no.”</i>		
	<i>(a) Adult Volume</i>	<i>(b) Pediatric Volume</i>
Breast repair or reconstructive procedures	_____	
Skin graft/reconstruction procedures	_____	

<p>36) Where were these plastic and reconstructive surgery procedures performed?</p> <p><i>Select all that apply.</i></p> <p><i>If only “hospital” is selected, skip question #37 and continue to the next subsection.</i></p>	<p><input type="checkbox"/> Hospital</p> <p><input type="checkbox"/> Surgery center or free-standing hospital outpatient department</p>
---	---

37) Please provide the name and city location of each surgery center or free-standing hospital outpatient department performing plastic and reconstructive surgery procedures:		
	<i>Name</i>	<i>City</i>
Surgery Center/Free-Standing Hospital Outpatient Department 1		
Surgery Center/Free-Standing Hospital Outpatient Department 2		
Surgery Center/Free-Standing Hospital Outpatient Department 3		
Surgery Center/Free-Standing Hospital Outpatient Department 4		
Surgery Center/Free-Standing Hospital Outpatient Department 5		

9D: Safety of Procedures

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Note: The term “hospital outpatient department” is used to refer to all hospital outpatient departments or areas of the hospital, including areas that are used for **both** inpatient and outpatient procedures, that perform the outpatient procedures listed in Section 9C and that share your hospital’s license or CMS Certification Number (CCN). This would include, but is not limited to surgery centers, free-standing hospital outpatient departments, as well as outpatient departments that are located in your hospital or are [co-located](#)³⁰ with your hospital. Hospitals should only include hospital outpatient departments or areas of the hospital that perform the procedures listed in Section 9C in an [operating room](#)⁵¹ or [procedure room](#)⁵².

Patient Follow-up

This section is not applicable to pediatric hospitals.

Specifications: See the [Patient Follow-up Measure Specifications](#) in the Reference Information beginning on page 294.

Reporting Period: 24 months

Most recent 24-month reporting period published by CMS.

Leapfrog will update data three times per Survey Cycle for hospitals that have provided an accurate CCN in the Hospital Profile and submitted Section 9 of the Leapfrog Hospital Survey.

Leapfrog obtains data for one CMS Hospital Quality Star Rating Program measure directly from CMS’ [website](#):

- OP-32: Rate of Unplanned Hospital Visits After an Outpatient Colonoscopy

In order for Leapfrog to obtain the data for this measure, hospitals must provide a valid CMS Certification Number (CCN) in the Hospital Profile and submit Section 9 of the Leapfrog Hospital Survey.

Hospitals that do not perform adult colonoscopy procedures will be scored and publicly reported as “Does Not Apply.” Hospitals that do not provide an accurate CCN in the Hospital Profile or do not report data to CMS will be scored and publicly reported as “Unable to Calculate Score.” Hospitals that do not respond to questions in Section 9 or do not submit a Leapfrog Hospital Survey will be scored and publicly reported as “Declined to Respond.”

Please refer to the [Deadlines and Reporting Periods](#) table in the [Patient Follow-up Measure Specifications](#).

Patient Selection

Important Note: Patient Selection (questions #1-4) will not be scored but will be used in public reporting.

Reporting Period:

Answer questions #1-4 based on the practices currently in place at the time you submit this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

<p>1) Do your hospital outpatient department(s) have a standard, written screening protocol to determine whether a patient's procedure can safely be performed on an outpatient basis?</p> <p><i>Hospitals with more than one hospital outpatient department, including a surgery center or free-standing hospital outpatient department, should respond based on the location with the fewest processes in place.</i></p> <p><i>If "no" to question #1, skip questions #2-4 and continue to question #5.</i></p>	<p>Yes No</p>
<p>2) Which of the following components are included in your hospital outpatient department(s)' standard, written screening protocol:</p> <p><i>Select all that apply.</i></p>	<p><input type="checkbox"/> History of difficult intubation <input type="checkbox"/> Difficult airway/aspiration risk <input type="checkbox"/> Body Mass Index (BMI) <input type="checkbox"/> American Society of Anesthesiologists (ASA) Physical Status Classification <input type="checkbox"/> Recent Medical History (within 30 days of scheduled procedure) <input type="checkbox"/> Cognitive Assessment <input type="checkbox"/> Sleep Apnea Assessment <input type="checkbox"/> Availability of transportation following discharge <input type="checkbox"/> Availability of a caregiver following discharge</p>
<p>3) Who completes the standard, written screening protocol to determine whether a patient's procedure can safely be performed on an outpatient basis?</p> <p><i>Select all that apply.</i></p>	<p><input type="checkbox"/> Anesthesiologist <input type="checkbox"/> Certified Registered Nurse Anesthetist (CRNA) <input type="checkbox"/> Physician <input type="checkbox"/> Nurse (RN or MSN) <input type="checkbox"/> Physician Assistant (PA) <input type="checkbox"/> Nurse Practitioner (NP) <input type="checkbox"/> Other</p>
<p>4) When patients are identified through your hospital outpatient department(s)' screening protocol as high-risk, does an anesthesiologist, certified registered nurse anesthetist, or Medical Director complete an additional medical review to determine whether a patient's procedure can safely be performed on an outpatient basis?</p>	<p>Yes No</p>

Safe Surgery Checklist for Adult and Pediatric Outpatient Procedures

Important Notes:

Note 1: The elements required for each stage of the safe surgery checklist in questions #5-12 are adapted from the [WHO Surgical Safety Checklist](#) and the [AHRQ Endoscopy Checklist](#).

Note 2: Hyperlinks throughout these questions refer to the [Safe Surgery Checklist for Adult and Pediatric Outpatient Procedures FAQs](#) beginning on page 297, not to endnotes. These hyperlinks are not included in the Online Survey Tool.

Specifications: See the [Safe Surgery Checklist for Adult and Pediatric Outpatient Procedures Measure Specifications](#) in the Safety of Procedures Reference Information beginning on page 295.

Reporting Period: 6 months

Answer questions #5-12 for the latest 6-month period prior to submission of this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Sufficient Sample: See [Safe Surgery Checklist for Adult and Pediatric Outpatient Procedures Measure Specifications](#) for instructions on identifying a sufficient sample for question #10.

5) What is the latest 6-month reporting period for which your hospital is submitting responses to questions #5-12? 6-month reporting period ending:	<p>_____</p> <p>Format: Month/Year</p>
<p>6) Does your hospital utilize a safe surgery checklist on <u>every</u> patient, <u>every</u> time one of the applicable procedures in Section 9C is performed?</p> <p><i>Hospitals with more than one hospital outpatient department, including a surgery center or free-standing hospital outpatient department, should respond based on the location with the fewest processes in place.</i></p> <p><i>If “no” to question #6, skip the remaining questions in Section 9D and go to the next subsection. The hospital will be scored as “Limited Achievement.”</i></p>	<p>Yes</p> <p>No</p>
<p>7) Before the induction of anesthesia, is a safe surgery checklist that includes <u>all</u> the following elements <u>read aloud</u> in the presence of the <u>anesthesia professional and nursing personnel</u>:</p> <ul style="list-style-type: none"> • Patient ID • Confirmation of procedure • Patient consent • Site marked, if applicable • Anesthesia/medication check • Allergies assessed • Difficult airway/aspiration risk • Risk of blood loss (only applicable if risk of blood loss is >500ml for adults or > 7ml/kg for children) • Availability of devices on-site, if applicable? 	<p>Yes</p> <p>No</p>

<p>8) Before the skin incision and/or before the procedure begins, is a safe surgery checklist that includes <u>all</u> the following elements <u>read aloud</u> in the presence of the <u>whole surgical team</u>:</p> <ul style="list-style-type: none"> • Clinical team introduction • Confirmation of patient name, procedure, and, if applicable, surgical/incision site • Antibiotic prophylaxis, if applicable • Anticipated Critical Events (i.e., non-routine steps, length of procedure, blood loss, patient-specific concerns, sterility) • Equipment check/concerns • Essential imaging available, if applicable? 	<p>Yes No</p>
<p>9) Before the patient leaves the operating room and/or procedure room, is a safe surgery checklist that includes <u>all</u> the following elements <u>read aloud</u> in the presence of the <u>whole surgical team</u>:</p> <ul style="list-style-type: none"> • Confirmation of procedure performed • Instrument/supply counts, if applicable • Specimen labeling, if applicable • Equipment concerns • Patient recovery/management concerns? 	<p>Yes No</p>

If “no” to question #7, #8, or #9, skip the remaining questions in Section 9D, and go to the next subsection. The hospital will be scored as “Limited Achievement.”

Hospitals performing the audit in Section 3C question #6 and the audit in Section 9D question #10 should audit 15 cases who underwent a procedure included in Section 3A and 15 cases who underwent a procedure included in Section 9C. Hospitals only performing the audit in Section 9D question #10 and not in Section 3C question #6 should audit 30 cases who underwent a procedure included in Section 9C.

<p>10) Did your hospital perform an audit (either in-person or via the medical record or other EHR data) on a sufficient sample of patients who underwent a procedure included in Section 9C and measure adherence to the safe surgery checklist?</p> <p><i>Hospitals with more than one hospital outpatient department, including a surgery center or free-standing hospital outpatient department, should select at least one case from each location.</i></p> <p><i>If “no” to question #10, skip the remaining questions in Section 9D, and go to the next subsection. The hospital will be scored as “Limited Achievement.”</i></p>	<p>Yes No</p>
<p>11) Which method was used to perform the audit on a sufficient sample in question #10?</p>	<p><i>In-person observational audit</i> <i>Retrospective audit of medical records or EHR data</i> <i>Both</i></p>
<p>12) Based on your hospital's audit (either in-person or via the medical record or other EHR data) on a sufficient sample of patients who underwent a procedure included in Section 9C, what was your hospital's documented rate of adherence to the safe surgery checklist (e.g., what percentage of the sampled cases had all elements in questions #7, #8, and #9 completed)?</p>	<p>90%-100% 75%-89% 50-74% Less than 50%</p>

9E: Medication Safety for Outpatient Procedures

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Note: The term “hospital outpatient department” is used to refer to all hospital outpatient departments or areas of the hospital, including areas that are used for **both** inpatient and outpatient procedures, that perform the outpatient procedures listed in Section 9C and that share your hospital’s license or CMS Certification Number (CCN). This would include, but is not limited to surgery centers, free-standing hospital outpatient departments, as well as outpatient departments that are located in your hospital or are [co-located](#)³⁰ with your hospital. Hospitals should only include hospital outpatient departments or areas of the hospital that perform the procedures listed in Section 9C in an [operating room](#)⁵¹ or [procedure room](#)⁵².

Specifications: See [Medication Safety for Outpatient Procedures Measure Specifications](#) in the Reference Information beginning on page 299.

Reporting Period: 12 months

Answer questions #1-7 based on all cases (or a sufficient sample of them),

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

Sufficient Sample: See [Medication Safety for Outpatient Procedures Measure Specifications](#) for instructions on identifying a sufficient sample for questions #2-7.

1) 12-month reporting period used:	01/01/2022 – 12/31/2022 07/01/2022 – 06/30/2023
2) Did your hospital perform an audit of clinical records for all patients undergoing those procedures included in Section 9C (or a sufficient sample of them) who were discharged from a hospital outpatient department for the reporting period selected and measure adherence to medication documentation guidelines regarding home medications, medications administered during the visit or prescribed at discharge, and medication allergies? <i>If “no” to question #2, skip questions #3-7 and continue to the next subsection. The hospital will be scored as “Limited Achievement.”</i> <i>If “yes, but there were fewer than 30 outpatients discharged for the reporting period,” skip questions #3-7 and continue to the next subsection. The hospital will be scored as “Unable to Calculate Score.”</i>	Yes No Yes, but there were fewer than 30 outpatients discharged for the reporting period
3) Number of cases measured (either all cases or a sufficient sample of them):	_____
4) Number of cases in question #3 with a list of all home medication(s) , including dose, route, and frequency, documented in the clinical record:	_____

<p>5) Number of cases in question #3 with a list of all medication(s) administered during the visit and new medications prescribed at discharge, including the strength, dose, route, date, and time of administration, documented in the clinical record:</p>	<p>_____</p>
<p>6) Number of cases in question #3 with a list of all medication allergies and adverse reaction(s) documented in the clinical record:</p>	<p>_____</p>
<p>7) Do the responses in questions #3-6 represent a sample of cases?</p>	<p>Yes No</p>

9F: Patient Experience (OAS CAHPS)

This section is not applicable to pediatric hospitals.

Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog’s Multi-Campus Reporting Policy](#).

Important Note: The term “hospital outpatient department” is used to refer to all hospital outpatient departments or areas of the hospital, including areas that are used for **both** inpatient and outpatient procedures, that perform the outpatient procedures listed in Section 9C and that share your hospital’s license or CMS Certification Number (CCN). This would include, but is not limited to surgery centers, free-standing hospital outpatient departments, as well as outpatient departments that are located in your hospital or are [co-located](#)³⁰ with your hospital. Hospitals should only include hospital outpatient departments or areas of the hospital that perform the procedures listed in Section 9C in an [operating room](#)⁵¹ or [procedure room](#)⁵².

Specifications: See [Patient Experience \(OAS CAHPS\) Measure Specifications](#) in the Reference Information beginning on page 304.

Reporting Period: 12 months

Answer questions #1-10 for the latest 12-month period prior to the submission of this section of the Survey.

Note: As a reminder, the [Corrections Period](#) (December 1-January 31) is reserved for corrections to previously submitted Surveys only. Any updates made to reflect a change in performance must be made prior to the November 30 Late Submission and Performance Update Deadline. Updates made to reflect a change in performance after November 30 will not be scored or publicly reported.

1) What is the latest 12-month reporting period for which your hospital is submitting responses to this section? 12-month reporting period ending:	_____ Format: Month/Year
2) Did your hospital have at least 300 eligible discharges ⁵⁴ during the 12-month period referenced above? <i>If “no” to question #2, skip the remaining questions in Section 9F and go to the Affirmation of Accuracy. The hospital will be scored as “Does Not Apply.”</i>	Yes No
3) Has your hospital administered, or started to administer, the entire OAS CAHPS Survey during the reporting period? <i>Hospitals not currently administering the OAS CAHPS Survey should select “no,” skip the remaining questions in Section 9F, and go to the Affirmation of Accuracy. The hospital will be scored as “Limited Achievement.”</i>	Yes No
4) Total number of months in which your hospital administered the OAS CAHPS Survey during the reporting period.	_____
5) Total number of returned surveys during the reporting period. <i>If less than 100, skip the remaining questions in Section 9F, and go to the Affirmation of Accuracy. The hospital will be scored as “Unable to Calculate Score.”</i>	_____

<p>6) Do the responses to the questions in this subsection include discharges from more than one location (e.g., hospital and surgery center or free-standing hospital outpatient department, hospital and multiple free-standing hospital outpatient departments, etc.)?</p>	<p>Yes No</p>
---	-------------------

In questions #7-10, report your hospital's [Top Box Score](#)⁵⁰ (rounded to the nearest whole number) from each of the following patient experience **domains** from your vendor report that matches the reporting period that you selected in question #1.

<p>7) Facilities and Staff</p>	<p>_____</p>
<p>8) Communication About Your Procedure</p>	<p>_____</p>
<p>9) Patients' Rating of the Facility</p>	<p>_____</p>
<p>10) Patients Recommending the Facility</p>	<p>_____</p>

Affirmation of Accuracy

As the hospital CEO or as an employee of the hospital to whom the hospital CEO has delegated responsibility, I have reviewed this information pertaining to the Outpatient Procedure Section at our hospital, and I hereby certify that this information is true, accurate, and reflects the current, normal operating circumstances at our hospital. I am authorized to make this certification on behalf of our hospital.

The hospital and I understand that The Leapfrog Group, its members, the public and entities and persons who contract or have other business dealings with The Leapfrog Group are relying on the truth and accuracy of this information. The hospital and I also understand that The Leapfrog Group will make this information and/or analyses of this information public through the Survey Results public reporting website, The Leapfrog Group's Hospital Safety Grade, and/or other Leapfrog Group products and services. This information and/or analyses and all intellectual property rights therein shall be and remain the sole and exclusive property of The Leapfrog Group in which The Leapfrog Group retains exclusive ownership. This information does not infringe upon any third-party intellectual property rights or any other third-party rights whatsoever and is free and clear of all encumbrances and liens of any kind. The hospital and I acknowledge that The Leapfrog Group may use this information in a commercial manner for profit. The hospital shall be liable for and shall hold harmless and indemnify The Leapfrog Group from any and all damages, demands, costs, or causes of action resulting from any inaccuracies in the information or any misrepresentations in this Affirmation of Accuracy. The Leapfrog Group and its members and entities and persons who contract or have other business dealings with The Leapfrog Group reserve the right to omit or disclaim information that is not current, accurate or truthful.

Affirmed by _____, the hospital's _____,
(first name and last name) (title)
on _____.
(date)

Section 9: 2023 Outpatient Procedures Reference Information

What's New in the 2023 Survey

Section 9D: Safety of Procedures

Patient Follow-up

Leapfrog is removing the CMS outcome measure OP-31 Improvement in Patient's Visual Function within 90 Days Following Cataract Surgery since CMS has made this measure voluntary through the 2023 Hospital Outpatient Reporting (OQR) Final Rule.

There are no changes to the scoring algorithm for OP-32 Rate of Unplanned Hospital Visits After Colonoscopy.

Safe Surgery Checklist for Adult and Pediatric Outpatient Procedures

Leapfrog is making two updates to the Safe Surgery Checklist for Adult and Pediatric Outpatient Procedures question set. First, Leapfrog is increasing the audit requirement from 15 sampled cases to 30 sampled cases for hospitals **only** submitting Section 9 and not also submitting Section 3: Adult and Pediatric Complex Surgery. Hospitals that submit **both** Sections 3 and 9 should continue to audit 15 complex surgical cases and 15 outpatient surgical cases.

Second, for hospitals conducting the audit to assess compliance with the Safe Surgery Checklist, Leapfrog is adding a question asking if the hospital performed an in-person observational audit, a retrospective audit of medical records or EHR data, or both.

There are no changes to the scoring algorithm for Safe Surgery Checklist for Adult and Pediatric Outpatient Procedures.

Section 9E: Medication Safety for Outpatient Procedures

Leapfrog is updating the specifications to clarify that only medications *newly* prescribed at discharge should be counted as medications prescribed at discharge and/or administered during the visit. We are also adding intra-op irrigation solutions to the list of excluded medications and updating the measure specifications to exclude the dose requirement for lidocaine jelly.

There are no changes to the scoring algorithm for Section 9E: Medication Safety for Outpatient Procedures.

Section 9F: Patient Experience (OAS CAHPS)

Leapfrog is clarifying that hospitals must currently be administering the OAS CAHPS Survey to respond "yes" to question #3, which asks if the hospital administers, or has started to administer, the OAS CAHPS Survey.

There are no changes to the scoring algorithm for Section 9F: Patient Experience (OAS CAHPS).

Change Summary Since Release

June 13, 2023 - Section 9C Volume of Procedures: Added 14 adult and 18 pediatric CPT Codes used to identify Other Hernia Repairs and added one adult and one pediatric CPT Code used to identify Nasal/Sinus Procedures that were previously noted as removed. Updates are reflected in the Outpatient Procedure CPT Code workbook for Adult and Pediatric General Surgery under Other Hernia Repair and for Otolaryngology under Nasal/Sinus Procedure.

Please download an updated copy of the Outpatient Procedure CPT Code workbook from the Survey Dashboard. Instructions for downloading the workbook are available in the [Volume of Procedures Measure Specifications](#).

Basic Outpatient Department Information Frequently Asked Questions (FAQs)

- 1. Our hospital shares a CMS Certification Number (CCN) with other hospitals, and we have many hospital outpatient department locations (e.g., surgery centers, endoscopy centers, free-standing hospital outpatient departments, etc.) all under the same CCN. How should we report on Section 9: Outpatient Procedures?**

As per Leapfrog's [Multi-Campus Reporting Policy](#), all hospital campuses will need to complete their own Leapfrog Hospital Survey unless they are co-located.

For Section 9: Outpatient Procedures, each hospital campus will need to indicate in Section 9A if they are performing the outpatient procedures listed in Section 9C at their hospital and/or at a surgery center or free-standing hospital outpatient department that shares their hospital's CMS Certification Number (CCN). You should only include those hospital outpatient departments that your hospital refers patients to. The remainder of the questions in Section 9 will need to be answered based on:

- all hospital outpatient departments in your hospital, including any areas such as inpatient units, where outpatient procedures are performed (as reported in Section 9A question #2); and,
- all surgery centers and free-standing hospital outpatient departments that share your hospital's CCN (as reported in Section 9A question #3)

In Section 9C, you will be able to provide total volume across all hospital outpatient department locations (as reported in Section 9A questions #2 and #3) and information for the different outpatient locations where the specific outpatient procedures are performed. All other questions should be answered based on all outpatient locations. If you have different adherence across locations, you should answer based on the least adherent location.

If all hospital campuses are referring patients to the same surgery centers and free-standing hospital outpatient departments, you would include the information and volume for these locations when responding to Section 9 on all their Leapfrog Hospital Surveys.

- 2. How should our hospital report on the number of operating rooms and endoscopic procedure rooms in questions #4-5 if our operating/procedure rooms are used for both inpatient and outpatients? How should we report if we have multiple hospital outpatient departments?**

Hospitals should report on the total number of adult and pediatric operating rooms or procedure rooms if these rooms are used for both inpatient and outpatient procedures. Otherwise, hospitals should only report on the number of operating/procedure rooms that are used for outpatient procedures. If your hospital has multiple hospital outpatient departments, report the total number of adult and pediatric outpatient operating rooms or procedure rooms across all locations. You should only be reporting on operating rooms and procedure rooms that are used to perform the outpatient procedures listed in Section 9C Volume of Procedures.

Medical, Surgical, and Clinical Staff Frequently Asked Questions (FAQs)

1. How does Leapfrog define “immediately available” and “in the building” as it pertains to ACLS and/or PALS trained clinicians?

“Immediately available” is defined as being physically present in the building and not engaged in an activity or procedure that cannot be interrupted if hands-on intervention is needed for a patient.

Leapfrog defines “in the building” as within the hospital outpatient department or surgery center or in an area co-located with the hospital outpatient department or surgery center. Leapfrog defines co-located as a location within “immediate physical proximity,” meaning the two locations are physically connected, either by a tunnel, an enclosed bridge, or the locations abut each other so that hallways readily connect.

2. If a hospital did not perform any outpatient pediatric procedures during the reporting period selected in Section 9C, should they still report on PALS trained clinicians in Section 9B questions #3-4?

No. If your hospital did not report any pediatric discharges for the procedures listed in Section 9C during the reporting period, then you should select “Not applicable; adult patients only” in question #3.

3. Is the PEARS certification the equivalent of PALS certification for the purposes of responding to question #3?

No. PEARS is not the equivalent of or substitution for PALS certification. The PEARS curriculum is focused on recognition of and steps to mitigate pediatric respiratory emergencies, whereas the PALS curriculum informs clinicians how to manage these emergencies, with emphasis on leadership of the care team, and how to perform key air management techniques. Additionally, the PALS curriculum instructs providers on multiple ways to obtain IV access to improve circulatory issues.

4. How should hospitals report on ACLS/PALS certification if they have more than one hospital outpatient department performing the procedures listed in Section 9C Volume of Procedures?

Hospitals with more than one hospital outpatient department are instructed to respond to questions #1-4 based on the location with the least intensive staffing. For example, if one hospital outpatient department has an ACLS trained clinician, as well as a second clinician present at all times and immediately available in the building when adult patients are in the hospital outpatient department, but another hospital outpatient department does not, you should answer “no” to question #1.

5. If a free-standing pediatric hospital has clinicians trained in PALS, but a small percentage of the patient population is over 13, should these clinicians also have ACLS training, or would the PALS training be sufficient?

If your hospital outpatient departments are performing procedures on both adult and pediatric patients, there should be at least one clinician with ACLS training when adult patients (13 years and older) are recovering and one clinician with PALS training when pediatric patients (infant to 12 years) are recovering. This could mean that some clinicians maintain both certifications or some maintain ACLS, and others maintain PALS.

Volume of Procedures Measure Specifications

Important Notes:

Note 1: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Note 2: CPT Codes are provided in downloadable Excel files on the Survey Dashboard. To access the files, click the CPT Code Workbook button next to Section 9. You will be required to complete the American Medical Association's Terms of Use before downloading the Excel file and using the individual CPT codes to query your EHR or billing system. You are only required to complete the Terms of Use once per Survey Cycle (April 1 – November 30).

The CPT Code Workbooks (Excel files) are labeled for Section 3A: Total Hip Replacement, Total Knee Replacement, and Bariatric Surgery for Weight Loss and Section 9C: Volume of Procedures. Please note, if you are part of a hospital system, each hospital will need to complete the Terms of Use. This is a requirement of the American Medical Association.

Note 3: When counting patients for the purposes of identifying total volume in Section 9C, you should only include scheduled outpatient (i.e., admitted and discharged on the same day) procedures performed at your hospital outpatient departments within the reporting period. Include scheduled outpatient procedures with a planned observation or extended recovery stay. Exclude scheduled outpatient procedures with a planned inpatient admission. Exclude emergent/urgent cases, such as procedures for patients that come through the emergency department. To count patient discharges, use the Volume of Procedures Measure Specifications below and the CPT codes available in the Library on the Survey Dashboard.

<p>Source: The Leapfrog Group, American Medical Association, The Health Care Cost Institute</p>
<p>Reporting Period: 12 months</p> <ul style="list-style-type: none"> • Surveys submitted prior to September 1: <ul style="list-style-type: none"> ○ 01/01/2022 – 12/31/2022 • Surveys (re)submitted on or after September 1: <ul style="list-style-type: none"> ○ 07/01/2022 – 06/30/2023
<p>Questions #2-10: Respond “yes” or “no” based on whether your hospital outpatient department(s) performed any of the procedures during the reporting period on adult and/or pediatric patients. The procedures fall within 9 specialty areas:</p> <p>Adult Procedures</p> <p>Gastroenterology procedures: upper GI endoscopy; other upper GI procedures; and lower GI endoscopy</p> <p>General surgery procedures: cholecystectomy and common duct exploration; hemorrhoid procedures; inguinal and femoral hernia repairs; other hernia repairs; laparoscopy; lumpectomy or quadrantectomy of breast; and mastectomy</p> <p>Ophthalmology procedures: anterior segment eye procedures; posterior segment eye procedures; and ocular adnexa and other eye procedures</p> <p>Orthopedic procedures: finger, hand, wrist, forearm, and elbow procedures; shoulder procedures; spine procedures; hip procedures; knee procedures; toe, foot, ankle, and leg procedures; and general orthopedic procedures</p> <p>Otolaryngology procedures: ear procedures; mouth procedures; and nasal/sinus procedures</p> <p>Urology procedures: circumcision; cystourethroscopy; male genital procedures; urethra procedures; and vaginal repair procedures</p> <p>Neurological surgery procedures: spinal fusion procedures</p>

[Obstetrics and gynecology procedures](#): cervix procedures; hysteroscopy; and uterus and adnexa laparoscopies

[Plastic and reconstructive surgery procedures](#): breast repair or reconstructive procedures; and skin graft/reconstruction procedures

Pediatric Procedures

[Gastroenterology procedures](#): upper GI endoscopy; other upper GI procedures; and lower GI endoscopy

[General surgery procedures](#): inguinal and femoral hernia repairs; and other hernia repairs

[Ophthalmology procedures](#): anterior segment eye procedures; posterior segment eye procedures; and ocular adnexa and other eye procedures

[Orthopedic procedures](#): finger, hand, wrist, forearm, and elbow procedures; shoulder procedures; knee procedures; toe, foot, ankle, and leg procedures; and general orthopedic procedures

[Otolaryngology procedures](#): ear procedures; mouth procedures; nasal/sinus procedures; pharynx/adenoid/tonsil procedures

Respond “yes” if:

- One or more of your hospital outpatient departments performed the procedure on an outpatient basis for the entire reporting period (12 months) and continues to do so
- One or more of your hospital outpatient departments performed the procedure on an outpatient basis during part of the reporting period (less than 12 months) and continues to perform the procedure

Respond “yes, but no longer perform these procedures” if:

- Your hospital outpatient departments performed the procedure for all or some of the reporting period, but NO longer performs the procedure

Respond “no” if:

- Your hospital outpatient departments do not perform the procedure

Questions #11-37: Based on your responses to questions #2-10, report on the total (a) adult and/or (b) pediatric volume for each procedure (from questions #2-10) during the reporting period:

Adult Procedures

[Gastroenterology procedures](#): upper GI endoscopy; other upper GI procedures; and lower GI endoscopy

[General surgery procedures](#): cholecystectomy and common duct exploration; hemorrhoid procedures; inguinal and femoral hernia repair; other hernia repair; laparoscopy; lumpectomy or quadrantectomy of breast; and mastectomy

[Ophthalmology procedures](#): anterior segment eye procedures; posterior segment eye procedures; and ocular adnexa and other eye procedures

[Orthopedic procedures](#): finger, hand, wrist, forearm, and elbow procedures; shoulder procedures; spine procedures; hip procedures; knee procedures; toe, foot, ankle, and leg procedures; and general orthopedic procedures

[Otolaryngology procedures](#): ear procedures; mouth procedures; and nasal/sinus procedures

[Urology procedures](#): circumcisions; cystourethroscopy; male genital procedures; urethra procedures; and vaginal repair procedures

[Neurological surgery procedures:](#) spinal fusion procedures

[Obstetrics and gynecology procedures:](#) cervix procedures; hysteroscopy; and uterus and adnexa laparoscopies

[Plastic and reconstructive surgery procedures:](#) breast repair or reconstructive procedures; and skin graft/reconstruction procedures

Pediatric Procedures

[Gastroenterology procedures:](#) upper GI endoscopy; other upper GI procedures; and lower GI endoscopy

[General surgery procedures:](#) inguinal and femoral hernia repair; and other hernia repair

[Ophthalmology procedures:](#) anterior segment eye procedures; posterior segment eye procedures; and ocular adnexa and other eye procedures

[Orthopedic procedures:](#) finger, hand, wrist, forearm, and elbow procedures; shoulder procedures; knee procedures; toe, foot, ankle, and leg procedures; and general orthopedic procedures

[Otolaryngology procedures:](#) ear procedures; mouth procedures; nasal/sinus procedures; and pharynx/adenoid/tonsil procedures

When calculating total **hospital outpatient department volume for (a) adult and/or (b) pediatric patients:**

- Count the number of **patients** discharged from your hospital outpatient department(s) within the reporting period with any one or more of the codes specified for each procedure, subject to the criteria below:
 - Only include scheduled outpatient (i.e., admitted and discharged on the same day) procedures performed at your hospital outpatient departments within the reporting period. Include scheduled outpatient procedures with a planned observation or extended recovery stay.
 - Exclude scheduled outpatient procedures with a planned inpatient admission. Exclude emergent/urgent cases, such as procedures for patients that come through the emergency department.
 - Only the procedure codes provided by Leapfrog should be used to report on the questions in Section 9C.
 - If a patient had more than one of the listed procedures performed on the same visit (i.e., repair of dislocating knee cap (CPT: 27422) and repair of superior labrum anterior/posterior (SLAP) lesion (CPT: 29807)), include the patient in the total volume for both procedures.

See [FAQs](#) for additional information about responding to questions in this section.

Gastroenterology Measure Specifications

For gastroenterology procedures, use the CPT codes available in the Library on the [Survey Dashboard](#) to count **patients** discharged from your hospital outpatient departments who have undergone any of the 3 procedures during the reporting period.

All three procedures apply to **both adult and pediatric patients**:

- Upper GI endoscopy
- Other upper GI procedure
- Lower GI endoscopy

Using the “Gastroenterology_adult” sheet, count the total number of adult (18 years of age or older) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Using the “Gastroenterology_peds” sheet, count the total number of pediatric (17 years of age and younger) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

General Surgery Measure Specifications

For general surgery procedures, use the CPT codes available in the Library on the [Survey Dashboard](#) to count **patients** discharged from your hospital outpatient departments who have undergone any of the 7 procedures during the reporting period.

Five procedures apply to **adult patients only**:

- Cholecystectomy and common duct exploration
- Hemorrhoid procedure
- Laparoscopy
- Lumpectomy or quadrantectomy of breast
- Mastectomy

Two procedures apply to **both adult and pediatric patients**:

- Inguinal and femoral hernia repair
- Other hernia repair

Using the “General surgery_adult” sheet, count the total number of adult (18 years of age or older) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Using the “General surgery_ped” sheet, count the total number of pediatric (17 years of age and younger) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Ophthalmology Measure Specifications

For ophthalmology procedures, use the CPT codes available in the Library on the [Survey Dashboard](#) to count **patients** discharged from your hospital outpatient departments who have undergone either of the 3 procedures during the reporting period.

All three procedures apply to **both adult and pediatric patients**:

- Anterior segment eye procedures
- Posterior segment eye procedures
- Ocular adnexa and other eye procedures

Using the “Ophthalmology_adult” sheet, count the total number of adult (18 years of age or older) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Using the “Ophthalmology_ped” sheet, count the total number of pediatric (17 years of age and younger) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Orthopedic Measure Specifications

For orthopedic procedures, use the CPT codes available in the Library on the [Survey Dashboard](#) to count **patients** discharged from your hospital outpatient departments who have undergone any of the 7 procedures during the reporting period.

Five procedures apply to **both adult and pediatric patients**:

- Finger, hand, wrist, forearm, and elbow procedures
- Shoulder procedures
- Knee procedures
- Toe, foot, ankle, and leg procedures
- General orthopedic procedures

Two procedures apply to **adult patients only**:

- Spine procedures
- Hip procedures

Using the “Orthopedic_adult” sheet, count the total number of adult (18 years of age or older) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Using the “Orthopedic_ped” sheet, count the total number of pediatric (17 years of age and younger) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Otolaryngology Measure Specifications

For otolaryngology procedures, use the CPT codes available in the Library on the [Survey Dashboard](#) to count **patients** discharged from your hospital outpatient departments who have undergone any of the 4 procedures during the reporting period.

Three procedures apply to **both adult and pediatric patients**:

- Ear procedures
- Mouth procedures
- Nasal/sinus procedures

One procedure applies to **pediatric patients only**:

- Pharynx/adenoid/tonsil procedures

Using the “Otolaryngology_adult” sheet, count the total number of adult (18 years of age or older) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Using the “Otolaryngology_ped” sheet, count the total number of pediatric (17 years of age and younger) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Urology Measure Specifications

For urology procedures, use the CPT codes available in the Library on the [Survey Dashboard](#) to count **patients** discharged from your hospital outpatient departments who have undergone any of the 5 procedures during the reporting period.

All five procedures apply to **adult patients only**:

- Circumcision
- Cystourethroscopy
- Male genital procedures
- Urethra procedures
- Vaginal repair procedures

Using the “Urology_adult” sheet, count the total number of adult (18 years of age or older) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Neurological Surgery Measure Specifications

For neurological surgery procedures, use the CPT codes available in the Library on the [Survey Dashboard](#) to count **patients** discharged from your hospital outpatient departments who have undergone the procedure during the reporting period.

One procedure applies to **adult patients only**:

- Spinal fusion procedures

Using the “Neurological surgery_adult” sheet, count the total number of adult (18 years of age or older) patients discharged with any of the CPT codes listed. The CPT code can be in any procedure field.

Obstetrics and Gynecology Measure Specifications

For obstetrics and gynecology procedures, use the CPT codes available in the Library on the [Survey Dashboard](#) to count **patients** discharged from your hospital outpatient departments who have undergone any of the 3 procedures during the reporting period.

Three procedures apply to **adult patients only**:

- Cervix procedures
- Hysteroscopy
- Uterus and adnexa laparoscopies

Using the “Obstetrics and gynecology_adult” sheet, count the total number of adult (18 years of age or older) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Plastic and Reconstructive Surgery Measure Specifications

For plastic and reconstructive surgery procedures, use the CPT codes available in the Library on the [Survey Dashboard](#) to count **patients** discharged from your hospital outpatient departments who have undergone either of the 2 procedures during the reporting period.

Two procedures apply to **adult patients only**:

- Breast repair or reconstruction
- Skin graft/reconstruction procedures

Using the “Plastic_reconstruct surg_adult” sheet, count the total number of adult (18 years of age or older) patients discharged for each procedure with any of the CPT codes listed. The CPT code can be in any procedure field.

Volume of Procedures Frequently Asked Questions (FAQs)

1. How did Leapfrog select these 9 specialties and the procedures in this section of the Survey?

Leapfrog worked with the Healthcare Cost Institute (HCCI) to identify the most commonly billed surgical procedures in ambulatory surgery centers and hospital outpatient departments for commercially insured adult and pediatric patients. Leapfrog’s technical experts then assessed the list of procedures based on their frequency and type of anesthesia used during the procedure. Those selected for the Survey represent the highest volume procedures nationally requiring moderate to general anesthesia (including nerve blocks).

Please reach out to the [Leapfrog Help Desk](#) if you believe additional CPT Codes should be added to the Survey; Leapfrog will take these suggestions to our technical experts.

2. Should we count patients discharged with a G code for colonoscopy?

No. A “G” (HCPCS II) code is used to differentiate between colonoscopies performed for screening purposes rather than for a diagnostic or therapeutic procedure. The Survey only includes major diagnostic and therapeutic procedures.

Safety of Procedures Measure Specifications

Patient Follow-up

Leapfrog obtains data for one CMS Hospital Outpatient measures directly from CMS' [website](#):

- OP-32: Rate of Unplanned Hospital Visits After an Outpatient Colonoscopy

In order for Leapfrog to obtain the data for this measure, hospitals must provide a valid CMS Certification Number (CCN) in the Hospital Profile and submit Section 9 of the Leapfrog Hospital Survey.

Deadlines and Reporting Periods

Data downloaded from CMS will be scored and publicly reported for Hospitals that have submitted Section 9 by	CMS Reporting Period	Available on Hospital Details Page and Public Reporting Website on
June 30, 2023	Most recent 24-month reporting period	July 12, 2023 Details Page July 25, 2023 Public Reporting Website
August 31, 2023	Most recent 24-month reporting period	September 8, 2023
November 30, 2023	Most recent 24-month reporting period	December 7, 2023

Review your CMS data for OP-32

- Once Leapfrog has published your hospital's Survey Results on the [Hospital Details Page](#), hospitals are urged to compare the CMS data Leapfrog has obtained with the data published on CMS' [website](#).
- Dates on which the Survey Results will be available on the Hospital Details page are listed in the "Deadlines and Reporting Periods" table above.

If you find a discrepancy while comparing your facility's data published on the CMS [website](#) to the data published on the Hospital Details page, contact [Leapfrog's Help Desk](#) immediately.

Safe Surgery Checklist for Adult and Pediatric Outpatient Procedures

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

<p>Source: The Leapfrog Group, WHO Surgical Safety Checklist, AHRQ Endoscopy Checklist</p>
<p>Reporting Period: The latest 6-month period prior to submission of this section of the Survey.</p>
<p>Safe Surgery Checklist Workbook (Excel)</p> <p>To complete the data collection and respond to questions #10-12, hospitals should download the Safe Surgery Checklist Workbook (Excel). This workbook includes five tabs: Instructions, Section 3C (Complex Surgery), Section 3C – Data Entry, Section 9D (Outpatient Procedures), and Section 9D – Data Entry. The Section 9D tabs can be used to complete the safe surgery checklist audits for this subsection and to calculate the response to select in the Online Survey Tool for question #12.</p> <p>The workbook is available on the Survey Materials Webpage.</p>
<p>Sampling:</p> <p>For hospitals with one hospital outpatient department that performs the procedures listed in Section 9C and Section 3A and submit both Section 9 and 3: Hospitals can randomly sample 15 patients (who had one of the procedures included in Section 9C) and measure and report adherence to the safe surgery checklist based on that sample.</p> <p>When sampling from a larger population of cases, hospitals that perform procedures across multiple surgical specialties (e.g., general surgery, orthopedics, urology, etc.) included in Section 9C and facilities that perform procedures for both adult and pediatric patients should obtain a representative sample (i.e., include patients who underwent procedures in different surgical specialties and include both adult and pediatric patients, if applicable).</p> <p>For hospitals with multiple hospital outpatient departments that perform the procedures listed in Section 9C and Section 3A and submit both Section 9 and 3: Hospitals can randomly sample 15 patients (who had one of the procedures included in Section 9C) and measure and report adherence to the safe surgery checklist based on that sample. However, hospital must sample across the various locations where the procedures are being performed, including freestanding hospital outpatient departments or surgery centers, in addition to ensuring that the sample includes patients who underwent procedures in different surgical specialties and both adult and pediatric patients, if applicable.</p> <p>For hospitals with one or multiple hospital outpatient departments that ONLY perform the procedures in Section 9C or that ONLY submit Section 9: Hospitals must randomly sample 30 patients who had one of the procedures included in Section 9C and report adherence to the safe surgery checklist on that sample. Hospitals that perform the procedures listed in Section 9C and 3A should follow the guidance above.</p>
<p>Question #10: Did your hospital perform an audit (either in-person or via the medical record or other EHR data) on a sufficient sample of patients who underwent a procedure included in Section 9C and measure adherence to the safe surgery checklist?</p> <p>To respond “yes” to question #10, hospitals must measure and document whether all the elements in questions #7, #8, and #9 were verbalized in the presence of the appropriate personnel for each sampled case. Hospitals that completed the audit should respond “yes” to this question regardless of whether the adherence to the checklist was 100%. Hospitals will report on adherence to the checklist in question #12.</p>

Question #11: What method was used to perform the audit on a sufficient sample in question #10?

Hospitals can only perform a retrospective audit by reviewing medical records or EHR data if adherence to the safe surgery checklist is clearly documented in the medical record or EHR and the documentation clearly demonstrates that each element was verbalized in the presence of the appropriate personnel. If hospitals cannot clearly determine whether (a) the checklist element was verbalized and (b) that the appropriate personnel were present via a retrospective audit, an in-person observational audit must be completed.

Hospitals can perform the in-person audits up to six months prior to and during the reporting period.

Question #12: Based on your hospital's audit (either in-person or via the medical record or other EHR data) on a sufficient sample of patients who underwent a procedure included in Section 9C, what was your hospital's documented rate of adherence to the safe surgery checklist (e.g., what percentage of the sampled cases had all elements in questions #7, #8, and #9 completed)?

Based on the audit completed for question #10, determine the total number of patient audits where **all** elements of the safe surgery checklist (included in questions #7, #8, and #9) were read aloud in the presence of the appropriate personnel 1) before the induction of anesthesia, 2) before the skin incision and/or the procedure began, and 3) before the patient left the operating and/or procedure room.

Included cases:

- The patient audit shows that **all** elements of the safe surgery checklist, at **all** three time points, were read aloud in the presence of the appropriate personnel.

Excluded cases:

- The patient audit does **not** demonstrate that all elements of the safe surgery checklist were read aloud in the presence of the appropriate personnel (i.e., one or more elements of the checklist were not read aloud or the appropriate personnel were not present during the checklist).
- The patient audit does **not** demonstrate that the safe surgery checklist was read aloud in the presence of the appropriate personnel at all three time points (i.e., before anesthesia, before skin incision, and before the patient leaves).

Important Note: If a Safe Surgery Checklist element includes the qualifier "if applicable", hospitals should determine if that element of the checklist was applicable to the patient and procedure being performed. If the element was determined to be "not applicable" to the patient and procedure, it does not count against the total adherence. For example, if your hospital performed a hernia repair, the availability of a device on-site would not be applicable. Therefore, when completing the Safe Surgery Checklist Workbook for this patient, indicate N/A for that element (device on-site) and your hospital would be able to count that case as adherent.

Safety of Procedures Frequently Asked Questions (FAQs)

General Questions

- 1. How should hospitals report on the processes in Section 9D Safety of Procedures if they have more than one hospital outpatient department performing the procedures listed in Section 9C Volume of Procedures?**

Hospitals with more than one hospital outpatient department are instructed to respond to Section 9D based on the location with the fewest processes in place. For example, if one hospital outpatient department uses a safe surgery checklist on all patients, but another hospital outpatient department does not, you should answer “no” to question #6.

Patient Follow-up

- 1. Do hospitals need to do anything for Leapfrog to obtain data for the CMS measures?**

Leapfrog will automatically use your hospital’s data that are reported to CMS for OP-32 if you provide an accurate CCN in the Hospital Profile and submit Section 9 of the 2023 Leapfrog Hospital Survey. For OP-32, if your hospital does not perform adult lower GI endoscopy procedures, you will be scored as “Does Not Apply.” More information is available in the Leapfrog Hospital Survey [Scoring Algorithms](#).

Patient Selection

- 2. What are examples of appropriate tools for assessing cognition as part of patient screening and selection?**

Examples of tools that may be used to assess cognition include the Montreal Cognitive Assessment (MOCA), Mini-Mental State Exam (MMSE), and Mini-Cog. More information on these cognitive assessments, as well as other commonly used tools, may be found here: https://www.americangeriatrics.org/sites/default/files/inline-files/kkaycee_sink.pdf, as well as here: <https://www.aafp.org/family-physician/patient-care/care-resources/cognitive-care.html>.

- 3. Why does a Medical Director need to perform a second screening of high-risk patients?**

If an anesthesiologist and/or CRNA performs the initial screening for high-risk patients, then the second screening should be conducted by a Medical Director, as the Medical Director should take ownership of how the hospital outpatient department screens patients. The Medical Director should also have the clinical expertise to determine whether it is safe and appropriate for a patient to have an invasive procedure or surgery performed on an outpatient basis.

Safe Surgery Checklist for Adult and Pediatric Outpatient Procedures

- 4. Does the safe surgery checklist referenced in Section 9D questions #5-12 apply to all procedures, including colonoscopies, endoscopies, etc.?**

Yes, it applies to all procedures in Section 9C questions #2-10. If your hospital outpatient department(s) do not utilize a safe surgery checklist for colonoscopy and/or endoscopy, respond “No” to question #6.

- 5. Can different elements of the “Before the induction of anesthesia” checklist (question #7) be performed in different places?**

Yes, hospitals may perform some elements of the pre-anesthesia checklist outside of the operating room. Hospitals may read aloud the following elements in a pre-procedure (preop) area: Patient ID, Confirmation of procedure, Patient consent, Site marked, if applicable, and Allergies assessed.

Hospitals should not remove conversation prompts as it is harmful to the purpose of the checklist and should continue debriefing and requiring participation of the surgical team members in key moments.

- 6. Do the Safe Surgery Checklist components included in questions #7, #8, and #9 need to be in one document that would align with the [WHO example](#) or can they be in different documents (i.e., pre-anesthesia notes, surgeon H&P, and pre-surgical checklists, etc.)?**

It is possible to have separate checklists for each phase of the surgical procedure (i.e., before the induction of anesthesia, before the skin incision and/or before the procedure begins, and before the patient leaves the operating or procedure room). However, each individual component included in questions #7, #8, and #9 should be listed on the checklist(s) and hospitals should document whether the components were read aloud at the appropriate time with the appropriate members of the surgical team present.

- 7. How is “whole surgical team” defined?**

“Whole surgical team” is comprised of the surgeons, anesthesia professionals, nurses, technicians, and other operating room personnel involved in surgery. Based off the World Alliance for Patient Safety “Implementation Manual Surgical Safety Checklist (First Edition)”:

https://www.who.int/patientsafety/safesurgery/tools_resources/SSSL_Manual_finalJun08.pdf.

Medication Safety for Outpatient Procedures Measure Specifications

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#).

Reporting Period: 12 months

- Surveys submitted prior to September 1:
 - 01/01/2022 – 12/31/2022
- Surveys (re)submitted on or after September 1:
 - 07/01/2022 – 06/30/2023

Medication Safety Documentation Workbook (Excel)

To complete the data collection for this subsection and respond to questions #3-7, hospitals should download the Medication Safety Documentation Workbook (Excel). This workbook includes seven tabs: Instructions, 2022 Sampling, 2023 Sampling, Home Meds, Visit Meds, Allergies, and Data Entry and can be used to identify patients to sample in order to complete the three clinical record audits, as well as calculate the responses to enter into the Online Hospital Survey Tool for each of the audits.

This workbook is available on the [Survey Materials webpage](#) and should be used when completing this subsection.

Sampling:

For hospitals with one hospital outpatient department that performs the procedures listed in Section 9C:

If you have more than 30 cases that meet the criteria for inclusion in the denominator of the process measures during the time period of the clinical record audit, you may randomly sample 30 of them for the denominator of each documentation guideline, and measure and report adherence based on that sample. When sampling from a larger population of cases, this is the minimum number of cases needed to make a statistically reliable statement of percent adherence to the process guidelines.

For hospitals with multiple hospital outpatient departments that perform the procedures listed in Section 9C:

If you have more than 30 cases total (across all hospital outpatient departments) that meet the criteria for inclusion in the denominator of the process measure during the time period of the clinical record audit, you may randomly sample a minimum of 10 cases from the hospital (including any co-located hospital outpatient departments or inpatient areas performing outpatient procedures) and each free-standing hospital outpatient department or surgery center. Hospitals will need to report on a total minimum sample size of at least 30, and measure and report adherence based on that sample. When sampling from a larger population of cases, this is the minimum number of cases needed to make a statistically reliable statement of percent adherence to the process guidelines.

For example, if you are reporting on your hospital (including multiple areas where outpatient procedures are performed), as well as another hospital outpatient department that is free-standing, you could sample 15 cases from each for a total sample size of 30. If you are reporting on seven locations, you could sample 10 cases from each for a total sample size of 70.

Note: Hospitals that share a CMS Certification Number (CCN) with other hospitals may use the same sample for reporting on a shared hospital outpatient department location (e.g., surgery center, endoscopy center, free-standing hospital outpatient department, etc.). For example, Hospital A and Hospital B share a CCN and both refer patients to the same gastroenterology center. Hospital A samples 15 cases from their hospital and 15 cases from the gastroenterology center. Hospital B can use the sample of 15 cases from the gastroenterology center in addition to sampling from their hospital when reporting on their own Leapfrog Hospital Survey.

Medications: For the purposes of this measure, a **medication** is a substance that is taken into, or placed onto, the body of a person for one or more of the following reasons:

- as a placebo
- to prevent a disease (e.g., flu vaccine)
- to make a diagnosis (e.g., contrast dye)
- to test for the possibility of an adverse effect
- to modify a physiological, biochemical or anatomical function or abnormality (e.g., heparin/heparin flushes, statins, antihypertensives, etc.)
- to replace a missing factor (e.g., blood product)
- to ameliorate a symptom (e.g., aspirin)
- to treat a disease or condition (including topicals, nasal sprays, eye drops, compounds, inhalants, injectables, patches, etc.)
- to induce anesthesia
- to stabilize or hydrate during medical treatment or procedures (e.g., IV fluids, normal saline, lactated ringers, etc.)
- to provide nutrition (e.g., enteral nutrition products, parenteral nutrition products, breast milk [breast milk applies to BCMA measure only])
- as a supplement (e.g., iron for a patient with iron deficiency anemia or calcium/vitamin D for a patient with osteoporosis)

For the purposes of this measure, the following are **not** considered medications:

- Pre-filled saline flushes and pre-filled heparin flushes
- Chlorhexidine and alcohol preparation pads
- Intra-op irrigation solutions

Question #3 (denominator): Number of cases measured (either all cases or a sufficient sample of them).

Your hospital should perform a clinical record audit of either all adult and/or pediatric patients undergoing those procedures included in Section 9C discharged during the reporting period or a sufficient sample of those patients discharged during the reporting period as described above.

This audit of clinical records can be done retrospectively (anytime during the Survey Cycle of April 1 – November 30).

The total number of clinical records included in your audit is reported in question #3.

Excluded cases: Patients discharged from the hospital outpatient departments without having one of the procedures included in Section 9C performed during the reporting period.

Question #4 (numerator): Number of cases in question #3 with a list of **all home medication(s)**, including dose, route, and frequency, documented in the clinical record.

Determine the total number of clinical records included in the audit (in question #3), where a list of all home medication(s), including dose, route, and frequency, was documented in the clinical record either on the day of the procedure or after a pre-screening phone call (i.e., 1-2 days in advance of the procedure). Records that include documentation that the patient had no home medications should be included.

“Home medications” are defined as medications that the patient was taking prior to admission.

The following home medications may be excluded from the clinical record unless they are clinically relevant* (e.g., herbal supplement that is known to interact with anesthesia):

- as needed (PRN) medications
- topical lotions/creams
- saline nasal spray and artificial tear eye drops
- herbals and supplements and vitamins

Home medications that must be included in the clinical record include clinically relevant* PRN medications such as inhalers, nitroglycerin, analgesics (opioid and non-opioid), muscle relaxants, and sedatives.

**Clinically relevant is defined as any PRN medication that treats a medical condition on the patient's problem list and/or condition they are being treated for during the visit (i.e., reason for the hospital stay).*

Included cases:

- The clinical record includes documentation that the patient has no home medications.

Excluded cases:

- The clinical record is missing a list of all home medication(s).
- The clinical record is missing dose, route, **or** frequency for a home medication

Question #5 (numerator): Number of cases in question #3 with a list of **all medication(s) administered during the visit and/or new medications prescribed at discharge**, including the strength, dose, route, date, and time of administration, documented in the clinical record.

Determine the total number of clinical records included in the audit (question #3), where a list of all medication(s) administered during the visit and/or new medications prescribed at discharge, including the strength, dose, route, date, and time of administration, was documented in the clinical record on the day of the procedure.

Local, regional, and general anesthesia medications must only have **total dose, date, and time of administration** documented in the clinical record to be considered complete.

IV solutions must have **strength, dose, route, date, and time of administration** documented in the clinical record to be considered complete. However, if the IV solution only comes in one concentration/strength (such as the LR Injection), the strength can be entered as N/A and the start time and volume of the bag can be documented for dose in order to be considered complete.

New medications prescribed at discharge, but not administered at the facility must only have **strength, dose, route, and date the medication was prescribed** documented in the clinical record to be considered complete.

Excluded cases:

- The clinical record is missing a medication that was administered or prescribed during the visit or at discharge.
- The clinical record is missing strength, dose, route, date, **or** time of administration for a medication administered or prescribed during the visit or at discharge except as described above for anesthesia medications, IV solutions, and prescribed medications.
- The clinical record is missing dose for lidocaine jelly.

Question #6 (numerator): Number of cases in question #3 with a list of **all medication allergies and adverse reaction(s)** documented in the clinical record.

Determine the total number of clinical records included in the audit (question #3), where a list of all medication allergies and adverse reaction(s) was documented in the clinical record. Hospitals should only assess medication allergies (i.e., hospitals do **not** need to assess food or environmental allergies).

Included cases:

- The clinical record includes documentation that the patient reported no known allergies.

Excluded cases:

- The clinical record does **not** include either a list of allergies and adverse reaction(s) **nor** documentation of no known allergies.

- The clinical record does include a list of allergies but does not include documentation of the adverse reaction(s) for each allergy.

Important Note: In addressing allergies and adverse reaction statuses noted as “unknown” in the clinical record, hospitals should assess if:

1. “unknown” is used to indicate that the patient (or patient’s family) was asked for the adverse reaction status, but they indicated it was not known, in which situation the case should be included in the numerator (question #6); or
2. “unknown” is used in the clinical record to indicate that the information is not available because it was not requested or documented by the clinician, in which situation the case should be excluded from the numerator (question #6)

See [FAQs](#) for additional information about responding to questions in this section.

Medication Safety for Outpatient Procedures Frequently Asked Questions (FAQs)

1. How often do home medications need to be updated in the clinical record for Section 9E question #4?

Home medications must be recorded in a pre-screening phone call 1-2 days in advance of the procedure or updated on the day of the clinical procedure (for all procedures included in Section 9C of the 2023 Leapfrog Hospital Survey).

Patients who are returning for a second or follow-up procedure within 12 months of the initial procedure are not required to have an updated home medication list in their clinical record in order for the record to be counted in the numerator of the home medication audit (i.e., included in the count in question #4). However, in cases of frequent repeated clinical visits, the home medications list should be updated at least once every 12 months.

2. For medications that only ever have a single route of administration (e.g., orally only), does route have to be documented in the clinical record for questions #4 and #5?

No. If there is a medication that can only ever be given via a single route, route does not have to be documented to count the case when responding to questions #4 and #5.

3. We do medication reconciliation on patients for certain outpatient procedures. Will the medication reconciliation on the procedure day suffice for the "medication audits" requirement in question #4? Or does it have to be a retrospective audit after the procedure day?

Leapfrog specifies that, unless all medical records are audited, the clinical record audit should be conducted on a random sample of discharged patients. If your facility does not perform medication reconciliation before all patients are discharged to ensure that the correct dose, route, and frequency are documented in the clinical record for all home medications this would not meet the requirements of Section 9E.

Instead, your facility should randomly sample from all clinical records of discharged outpatients (adults and children) that had the procedures included in Section 9C during the reporting period, not just those records that had medication reconciliation conducted during the same day visit. This audit may occur on the same day as the patient visit following patient discharge.

Patient Experience (OAS CAHPS) Measure Specifications

Important Note: Hospitals that share a CMS Certification Number are required to report by facility. Please carefully review [Leapfrog's Multi-Campus Reporting Policy](#)

Source: Developed by Centers for Medicare and Medicaid Services (CMS) using Agency for Healthcare Quality and Research (AHRQ) guidelines. More information available at <https://oascahps.org/General-Information/About-OAS-CAHPS-Survey>.

Reporting Period: The latest 12-month period prior to the submission of this section of the Survey. Hospitals can elect to use either survey return date or discharge date to pull reports for this measure.

This section of the Survey asks hospitals about their results from the [OAS CAHPS Survey](#). The first several questions are designed to learn more about the current administration of the survey. The last four questions collect the [Top Box Score](#)⁵⁰ for each of the following four domains:

- Facilities and Staff
- Communication About Your Procedure
- Patient's Rating of the Facility
- Patients Recommending the Facility

Hospitals using Press Ganey, NRC, or PRC to administer the [OAS CAHPS Survey](#) can use the CMS OAS CAHPS crosswalk below to ensure they are reporting on the correct domains. The crosswalk is designed to help translate the standard vendor report to the CMS domains used in questions #7-10.

CMS OAS CAHPS Crosswalk

CMS Domain Name	Press Ganey Domain Name	NRC Domain Name	PRC Domain Name
Facilities and Staff	Facility/Personal Treatment	About Facilities and Staff	Facility and Staff
Communication About Your Procedure	Communication	Communications About Your Procedure	Communication
Patient's Rating of the Facility	Facility Rating 0-10	Overall Rating of Facility	Overall Facility Rating
Patients Recommending the Facility	Recommend the Facility	Would Recommend Facility	Would Recommend

Question #2: Did your hospital have at least 300 [eligible discharges](#)⁵⁴ during the 12-month reporting period?

This section of the Survey is designed for hospitals that discharged at least 300 eligible patients during the reporting period. Hospitals that discharged fewer than 300 eligible patients should respond "no," skip the rest of the questions, and move on to the Affirmation of Accuracy.

Eligible discharges include discharges for adult patients (ages 18 years and older) who had both medically and non-medically necessary outpatient surgeries and/or procedures. A detailed description of patient sampling criteria, including a list of OAS CAHPS-eligible surgeries and procedures, is available in the Protocols and Guidelines Manual, version 7.0 at <https://oascahps.org/Survey-Materials>.

Question #3: Has your hospital administered, or started to administer, the entire OAS CAHPS Survey, during the reporting period?

The OAS CAHPS survey includes questions about patients' experiences with their preparation for the surgery or procedure, check-in processes, cleanliness of the facility, communications with the facility staff, discharge from the facility, and preparation for recovering at home. The survey also includes questions about whether patients received information about what to do if they had possible side effects during their recovery. OAS CAHPS is designed to be national in scope and requires standardized administration protocols.

If your hospital is not currently administering the OAS CAHPS Survey, a list of approved vendors is available at <https://oascahps.org/General-Information/Approved-Survey-Vendors>.

Question #4: Total number of months in which your hospital administered the OAS CAHPS Survey during the reporting period.

It is recommended that hospitals (or their survey vendor) sample over a 12-month period and ensure an even distribution of patients is sampled over the 12-month period. However, Leapfrog will accept OAS CAHPS results from hospitals that have administered the survey over a period of time less than 12 months if they have at least 100 returned surveys.

Question #5: Total number of returned surveys during the reporting period.

It is recommended that hospitals (or their survey vendor) administer the survey to a large enough sample in order to achieve 300 returned surveys in a 12-month reporting period. However, Leapfrog will accept OAS CAHPS results from hospitals that have at least 100 returned surveys.

Question #6: Do the responses to the questions in this subsection include discharges from more than one location (e.g., hospital and surgery center or free-standing hospital outpatient department, hospital and multiple free-standing hospital outpatient departments, etc.)?

Indicate "yes" if your OAS CAHPS results include eligible patient discharges from multiple locations (e.g., hospital and surgery center or free-standing hospital outpatient department, hospital and multiple free-standing hospital outpatient departments, etc.). Otherwise indicate "no."

Questions #7-10: In questions #7-10, report your hospital's [Top Box Score](#)⁵⁰ (rounded to the nearest whole number) from each of the following patient experience domains from your 12-month vendor report that matches the reporting period selected in question #1. Hospitals should not use domain scores that are publicly reported on the CMS Hospital Compare [website](#) as these scores have been risk adjusted. Hospitals should report results from all hospital outpatient departments.

These four questions capture the Top Box Score for each of the four domains of patient experience: facilities and staff, communication about your procedure, patients' rating of the facility, and patients recommending the facility.

The following questions from the OAS CAHPS Survey are included in each domain:

Facilities and Staff

Q3: Did the check-in process run smoothly?

Q4: Was the facility clean?

Q5: Were the clerks and receptionists at the facility as helpful as you thought they should be?

Q6: Did the clerks and receptionists at the facility treat you with courtesy and respect?

Q7: Did the doctors and nurses treat you with courtesy and respect?

Q8: Did the doctors and nurses make sure you were as comfortable as possible?

Communication About Your Procedure

Q1: Before your procedure, did your doctor or anyone from the facility give you all the information you needed about your procedure?

Q2: Before your procedure, did your doctor or anyone from the facility give you easy to understand instructions about getting ready for your procedure?

Q9: Did the doctors and nurses explain your procedure in a way that was easy to understand?

Q10: Anesthesia is something that would make you feel sleepy or go to sleep during your procedure. Were you given anesthesia?

Q11: (If 'Yes' to Q10) Did your doctor or anyone from the facility explain the process of giving anesthesia in a way that was easy to understand?

Q12: (If 'Yes' to Q10) Did your doctor or anyone from the facility explain the possible side effects of the anesthesia in a way that was easy to understand?

Patients' Rating of the Facility

Q23: Using any number from 0 to 10, where 0 is the worst facility possible and 10 is the best facility possible, what number would you use to rate this facility?

Patients Recommending the Facility

Q24: Would you recommend this facility to your friends and family?

Please note that question numbers are taken from the OAS CAHPS Survey, which you can download at <https://oascahps.org/Survey-Materials>.

See [FAQs](#) for additional information about responding to questions in this section.

Patient Experience (OAS CAHPS) Frequently Asked Questions (FAQs)

1) Why is Leapfrog asking for results of the OAS CAHPS Survey, given that it is not required by CMS and many facilities are not currently administering it?

While we understand that the OAS CAHPS Survey is still a voluntary component of the CMS Quality Reporting Program, this survey is the only nationally standardized instrument designed to compare patient experience in both HOPDs and ASCs. No other survey has been tested and validated for this purpose. All measures included in Leapfrog's programs are predicated on the latest evidence and recommended by Leapfrog's panels of experts. They are also selected because of their importance to consumers, employers, and other purchasers.

Leapfrog will continue to include these questions on the Leapfrog Hospital Survey/Leapfrog ASC Survey and would welcome additional feedback from participating facilities.

2) Can we report OAS CAHPS results to Leapfrog if we don't currently report our results to CMS?

Yes, hospitals can report OAS CAHPS results to Leapfrog even if they are not reporting OAS CAHPS results to CMS.

3) Isn't 300 returned surveys the minimum sample size recommended by CMS?

Yes, however, Leapfrog has received feedback that many hospitals and ambulatory surgery centers have only recently started to administer the survey. In order to ensure as many hospitals and ambulatory surgery centers as possible are able to report on this subsection, we have reduced the minimum sample size for reporting results to the Leapfrog Hospital and ASC Surveys to 100 returned surveys. This will help ensure that hospitals and ASCs that have made the investment to administer the Survey are able to earn credit for doing so. Additionally, this minimum sample size aligns with Section 8A of the Leapfrog Hospital Survey, which asks about the CAHPS Child Hospital Survey, and with the CMS requirement for the CAHPS Child Hospital Survey.

If possible, however, it is recommended that hospitals (or their survey vendor) administer the survey to a large enough sample in order to achieve 300 returned surveys in a 12-month reporting period.

4) We administer our own patient experience survey to collect specific information about our patient's experience. Can we report the results from our hospital's patient experience survey?

No, hospitals can only report the results of the official OAS CAHPS Survey on Section 9F of the Leapfrog Hospital Survey.

However, according to the OAS CAHPS Protocols and Guidelines Manual, survey vendors and ASCs/HOPDs may choose to add up to 15 supplemental questions after the 'core' OAS CAHPS Survey questions that are personalized to the hospital/vendor. More information on these supplemental questions, including restrictions and required approval, may be reviewed on pages 18-20 of the CMS OAS CAHPS Survey Protocols and Guidelines Manual, which is available for download here: <https://oascahps.org/Survey-Materials>. Please note, the responses to these supplemental questions will not be reported on the Leapfrog Hospital Survey.

Endnotes

¹ **CMS Certification Number (CCN)**

A CMS Certification Number (CCN) is issued by the Centers for Medicare and Medicaid Services (CMS) to financial reporting entities, which may be individual hospitals or a group of hospitals, for the purpose of reimbursement. While Leapfrog does ask each campus of a multi-hospital system to submit an individual Survey, hospitals within the system may be assigned the same CMS Certification Number and therefore should have the same CCN reported in this field. CCNs are six digits; with the first two digits representing the state in which the hospital is located. Hospitals that do not receive Medicare reimbursement may not have a CMS Certification Number and should not have a CCN reported in this field. Leapfrog pre-populates this field in the Online Hospital Survey Tool. If the hospital's CCN is different from the one shown online, please contact the [Help Desk](#).

² **National Health Safety Network (NHSN) ID**

A NHSN ID is issued by the Centers for Disease Control and Prevention and is used as a unique identifier for facilities participating in NHSN surveillance activities. Each hospital within a system, even if they share a CCN, should report separately to NHSN and should have their own NHSN ID if they are located separately. Please see the NHSN instructions available at <http://www.leapfroggroup.org/survey-materials/join-nhsn>. NHSN IDs are five digits. Leapfrog pre-populates this field in the Online Hospital Survey Tool for hospitals that provided a valid NHSN ID, joined our NHSN Group, and submitted the 2022 Leapfrog Hospital Survey. If the hospital NHSN ID is different from the one shown online, please update accordingly.

In order to be scored and publicly reported for any of the five applicable infection measures and to have the hospital's teaching status represented, hospitals must: (1) provide an accurate NHSN ID in the Profile, (2) join Leapfrog's NHSN Group by the appropriate [deadline](#), and (3) submit the 2023 Leapfrog Hospital Survey by the appropriate [deadline](#).

³ **Vermont Oxford Network (VON) Transfer Code**

A Vermont Oxford Network (VON) Transfer Code is issued by the Vermont Oxford Network and is used as a unique identifier for hospitals reporting data through the VON Nightingale Internet Reporting System. Each hospital has their own VON Transfer Code, which can be found at <https://public.vtoxford.org/transfer-codes/>. VON Transfer Codes are four, five, or seven digits. Leapfrog pre-populates this field in the Online Hospital Survey Tool Profile for hospitals that provided a valid VON Transfer Code in 2022. If the hospital's VON Transfer Code is different from the one shown online, please update accordingly.

⁴ **Federal Tax Identification Number (TIN)**

Enter the TIN that your hospital uses for billing purposes. *The number is a nine-digit number (e.g., 098765432) and must conform precisely to this format – be sure to enter any leading 0.* If your hospital has more than one TIN, use the one that would most typically be used for UB-04 claims filed with commercial health insurance plans for inpatient hospital stays.

⁵ **National Provider Identifier (NPI)**

The NPI is a Health Insurance Portability and Accountability Act (HIPAA) Administrative Simplification Standard. The NPI is a unique identification number of covered health care providers. The NPI is a 10-position, intelligence-free numeric identifier (10-digit number). This means that the numbers do not carry other information about healthcare providers, such as the state in which they live or medical specialty. Leapfrog pre-populates this field in the Online Hospital Survey Tool. If the hospital's NPI is different from the one shown online, please contact the [Help Desk](#).

⁶ State

Your hospital is assigned to a state based on the CMS Certification Number assigned (or identifier specially issued by the Leapfrog Help Desk) to your hospital. If your hospital is incorrectly assigned to a state, contact the [Help Desk](#) to resolve the discrepancy.

⁷ Tips for entering Web addresses

This address becomes the link attached to your hospital's name in the public release of Survey Results. Enter it exactly as you wish it to be and test it.

- Do not exit out of the Online Hospital Survey Tool to go to the Web page of interest while you are entering data into the Survey or some of your Survey entries may be lost.
- Instead, minimize (but don't close) the Survey window and any other windows that are open, then open your internet browser in a separate window. Find the Web page whose address you wish to enter and Copy/Paste the entire address into the Survey entry. **The http:// prefix needs to be included.**
- If entering the Web page address manually, be careful to type it correctly, without embedded spaces. Forward (/) or backward (\) slashes may be used. Don't forget the "www." if that is part of the address. **The http:// prefix needs to be included.**
- Make sure to use .org, rather than .com, if that's the domain for your hospital's website.
- Although many hospitals elect to enter the address for the home page of their hospital website, consider pointing it to a page specific to patient safety, the Leapfrog safety practices, or other quality improvement activities that you want to communicate to your community.

⁸ Healthcare System

A hospital is considered part of a system if it is owned, leased, or sponsored by a central organization that owns, leases, or sponsors two or more hospitals. If your hospital is submitting a Survey for only one facility in the health care system, you would mark "yes" to this question and indicate the name of the health care system.

⁹ Licensed Acute-Care Beds

If your state does not designate and license bed types, enter the number of staffed beds from question #3. Include short-term, acute-care medical, surgical, obstetrical, and ICU beds, as licensed by your state. Exclude beds licensed or used for psychiatric care, rehabilitation, or sub-acute care (e.g., skilled nursing facility, hospice extended care, sub-acute eating disorder treatment, extended care facility, or residential substance abuse treatment). If the number of licensed beds has changed in the last year, indicate the most recent number for which it is licensed.

¹⁰ Staffed Acute-Care Beds

Include licensed beds regularly in operation, whether currently occupied by a patient or not. If the number has changed over the last year, indicate the average or other number most representative of your operating bed capacity over the last year.

¹¹ Total Adult Acute-Care General Admissions

Include adult (aged 18 years and older) acute-care medical, surgical, obstetrical, and ICU admissions to any inpatient unit. Include transfers from other hospitals as admissions to your hospital. Include any admissions directly to an ICU in your hospital, even if counted in question #9. Include admissions to telemetry/step-down/progressive units.

Exclude rehabilitation, observation, short and long-term psychiatric, or sub-acute care (e.g., skilled nursing facility, hospice extended care, sub-acute eating disorder treatment, extended care facility, or residential substance abuse treatment) admissions.

12 Total Pediatric Acute-Care General Admissions

Include pediatric (ages 17 years and younger) acute-care medical, surgical, and ICU admissions to any inpatient unit. Include transfers from other hospitals as admissions to your hospital. Include any admissions directly to an ICU or neonatal ICU (NICU) in your hospital, even if counted in question #9 or #11. Include admissions to telemetry/step-down/progressive units.

Exclude newborn admissions to the nursery and pediatric patients admitted for maternity care, rehabilitation, observation, short and long-term psychiatric, or sub-acute care (e.g., skilled nursing facility, hospice extended care, sub-acute eating disorder treatment, extended care facility, or residential substance abuse treatment). Exclude admissions for patients that were transferred to another facility.

13 Licensed ICU Beds

If your state separately designates ICU beds, indicate the number of licensed beds in adult and pediatric general medical and/or surgical ICUs and neuro ICUs (medical and surgical). If your state does not designate and license ICU beds, enter the number of staffed beds from question #8. See endnote #34 for more information.

Exclude beds “dedicated exclusively” to patients with specialized conditions (e.g., cardiac, burn, trauma, neonatal) that are distinct and separate from other adult or pediatric general medical and/or surgical ICUs or neuro ICUs unless the same ICU is used for both specialized intensive care patients as well as general medical and/or surgical or neuro intensive care patients. “Dedicated exclusively” means that general medical and/or surgical or neuro patients are not also cared for in these specialized units (except in rare overflow situations).

14 Staffed ICU Beds

Indicate the number of ICU beds from question #7 that are regularly in operation, whether currently occupied by a patient or not. If the number has changed over the last year, indicate the average or other number most representative of your operating ICU capacity over the last year. See endnote #34 for more information.

15 ICU Admissions to Adult and Pediatric General Medical and/or Surgical or Neuro ICUs

Include admissions to adult and pediatric general medical and/or surgical ICUs and neuro ICUs (medical and surgical) from question #8, whether directly admitted to the unit or transferred to the unit from another area of your hospital (e.g., post-operatively). Include transfers from other hospitals as admissions to your hospital. Count the number of hospitalizations that include an ICU stay, not the number of patient trips to the ICU.

Exclude admissions to units “dedicated exclusively” to patients with specialized conditions (e.g., cardiac, burn, trauma, neonatal, etc.) that are distinct and separate from other adult or pediatric general medical and/or surgical ICUs or neuro ICUs unless the same ICU is used for both specialized intensive care patients as well as general medical and/or surgical or neuro intensive care patients. “Dedicated exclusively” means that general medical and/or surgical or neuro patients are not also cared for in these specialized units (except in rare overflow situations). Ignore admissions or transfers to intermediate care or telemetry/step-down/progressive units for this question.

16 Neonatal ICU Admissions

Include admissions to any level neonatal ICU (NICU), even if counted in question #5. Include transfers from other hospitals as admissions to your hospital. Exclude admissions for patients that were transferred to another facility.

17 CPOE Linked to Pharmacy, Laboratory, ADT Information

The ability of a CPOE system to catch the majority of common, serious prescribing errors depends on proper identification of patients (ADT information), current and recent pharmacy orders and drug dispensing history, and access and integration of key laboratory results for the patient. CPOE systems

that are not linked to those other systems or do not reflect that current information accurately about the patient are not likely to catch serious prescribing errors.

¹⁸ **Intensive Care Units**

For the purposes of reporting on Section 2C: BCMA, all adult, pediatric, and/or neonatal ICUs should be included, such as general medical/surgical ICUs, all specialty ICUs, and mixed-acuity units that include ICU patients.

¹⁹ **Medical and/or Surgical Units**

An exact definition on which units would be included in general medical, surgical, or medical/surgical cannot be provided because each hospital is laid out differently. For information about what is considered a general medical, surgical, or medical/surgical unit, please refer to the CDC's definitions of Medical Ward, Medical/Surgical Ward, and Surgical Ward on p. 15-17 to 15-20 of the following link: http://www.cdc.gov/nhsn/PDFs/pscManual/15LocationsDescriptions_current.pdf.

The flowchart on p. 15-3 can also be used to help define units in your hospital.

Telemetry/step-down/progressive units are considered medical/surgical units and must be included when reporting on Section 6D: Hand Hygiene and Section 2C: BCMA. However, step-down/progressive units should be excluded when reporting on Section 6C: Nurse Staffing and Skill Level. Units for patients from a specific service type (e.g., burn, cardiac) should not be included. Observation units should be excluded.

²⁰ **Labor and Delivery Units**

Labor and delivery units should include all antepartum and postpartum units. Nursery units, OR units, and procedural areas should be excluded.

²¹ **Certified Pharmacy Technician**

A certified pharmacy technician would include a pharmacy technician that has earned either the [ASHP Medication History-Taking Certificate](#) or the [Pharmacy Technicians Certification Board's \(PTCB\) Medication History Certificate](#).

²² **Sampling for Medication Reconciliation**

The sample should contain at least 30 patients from a 6-month reporting period. Sampling is limited to medical/surgical units. Patients that were discharged or expired before the Gold Standard Medication History could be obtained should be excluded from the sample. Patients that do not have discharge orders written during the reporting period should also be excluded from the sample. A sampling worksheet is available in the [Medication Reconciliation Workbook](#) for those who would like assistance in obtaining a random sample of patients.

²³ **Gold Standard Medication History**

The Gold Standard Medication History is the list of medications that the patient was taking prior to admission. Within 24 hours after admission, a trained pharmacist, pharmacy resident, or certified pharmacy technician must interview each patient selected for the 6-month sample and obtain the Gold Standard Medication History. Note that this is in addition to, and separate from, any pre-admission medication list that was created as part of normal care. Best practices for collecting the Gold Standard Medication History can be found in the "Other Supporting Materials" for Section 2 on our [website](#).

²⁴ **Discrepancies in Gold Standard Medications**

For each Gold Standard Medication, there may be up to two unintentional discrepancies: a discrepancy in admission orders and a discrepancy in discharge orders. For example, if a medication on the Gold Standard Medication History is ordered for a patient on admission with the incorrect dose, this counts as one discrepancy. If this medication is ordered on discharge for the same incorrect dose, this counts as a second discrepancy. The number of unintentional discrepancies is a count of medication orders where an unintentional discrepancy occurred. You should not count the number of errors associated with the same

medication order (e.g., a discrepancy in the dose and frequency in the same medication in admission orders counts as one discrepancy).

25 Unintentionally Ordered Additional Medications

Count medications where a patient was not taking (and was not supposed to be taking) a certain medication, but the medical team incorrectly thought the patient was taking the medication and therefore ordered it on admission and/or discharge. Count each medication once, regardless of whether it was ordered on admission, discharge, or both.

26 Discrepancies due to Unintentionally Ordered Additional Medications

For each unintentionally ordered additional medication, there may be up to two discrepancies: unintentionally ordered at admission, unintentionally ordered at discharge, or both. For example, if a medication is unintentionally ordered at admission, then this counts as one discrepancy. If the same medication is also ordered at discharge, then this counts as a second discrepancy.

27 Data Completeness Requirement for the STS Mitral Valve Repair/Replacement (MVRR) Composite Score

Participants are excluded from the analysis if they have fewer than 36 isolated MVRR procedures in the patient population. Please find more information in the NQF-endorsed measure specifications, available at <https://www.sts.org/quality-safety/performance-measures/descriptions#MVRRComposite>.

28 Appropriateness Criteria

A procedure-specific set of criteria developed and implemented at the hospital that surgeons use to evaluate whether the patient is appropriate for surgery. These criteria should not be surgeon-specific, but procedure-specific. These criteria should be developed by those performing the procedure at the hospital and are not solely the product of a payment or reimbursement program.

29 High-Risk Deliveries Electively Admitted

Includes deliveries with:

- *expected* birth weight <1500 grams; or
- gestational age at least 22 weeks but <32 weeks.

Not all women at risk for delivery of babies with these conditions are known beforehand to be at risk. Therefore, deliveries in which these high-risk conditions were unknown prior to admission are not considered electively admitted high-risk deliveries.

If your hospital admits deliveries where these conditions are known prior to admission, then your hospital electively admits high-risk deliveries and you should answer “yes” to question #1; otherwise, answer “no.”

30 Co-located

A location within “immediate physical proximity” of the hospital would be considered a co-located location or unit, e.g., a co-located neonatal ICU (NICU) or co-located hospital outpatient location. “Immediate physical proximity” means the two locations must be physically connected, either by a tunnel, an enclosed bridge, or the locations abut each other so that the hallways readily connect.

31 Very low birth weight babies

Complicated newborns are those infants with a birth weight <1500 grams. If your hospital has a neonatal ICU (or is co-located with a hospital that has a neonatal ICU) that admits or accepts transfers of neonates with these conditions, you should answer “yes” to question #2.

32 VON’s Death or Morbidity Measure

This measure is collected and calculated by the Vermont Oxford Network and includes patients who have died or are known to have one or more of the following: severe intraventricular hemorrhage (SIVH);

chronic lung disease (CLD); necrotizing enterocolitis (NEC); pneumothorax; any late infection (bacterial, fungal, or coagulase negative staph); or cystic periventricular leukomalacia (PVL).

³³ **All Critical Care Patients**

“All critical care patients” means all general medical and/or surgical ICU patients and neuro ICU patients in the ICU.

³⁴ **Adult or Pediatric General Medical and/or Surgical ICUs or Neuro ICUs**

Section 5: IPS standard applies only to adult and pediatric general medical and/or surgical ICUs and neuro ICUs (medical and surgical). When responding to Section 5, only include adult and pediatric general medical and/or surgical ICUs and neuro ICUs (medical and surgical). Ignore units “dedicated exclusively” to patients with specialized conditions (e.g., cardiac, burn, trauma, neonatal, etc.) that are distinct and separate from other adult or pediatric general medical and/or surgical ICUs or neuro ICUs unless the same ICU is used for both specialized intensive care patients as well as general medical and/or surgical or neuro intensive care patients. “Dedicated exclusively” means that general medical and/or surgical or neuro patients are not also cared for in these specialized units (except in rare overflow situations). Ignore admissions or transfers to intermediate care or telemetry/step-down/progressive units for this question.

Cardiac critical care patients in a general medical or surgical ICU that are in beds dedicated to cardiac critical care patients and being cared for by dedicated cardiac physicians (e.g., cardiologist or cardiac surgeon) and nursing staff are not included in Leapfrog's ICU Physician Staffing standard and do not need to be managed/co-managed by the intensivist. Cardiac critical care patients in a general medical or surgical ICU bed being cared for by general medical or surgical critical care physicians and nursing staff are included in the standard and do need to be managed/co-managed by the intensivist.

For hospitals that have more than one type of ICU included in this standard, where the ICU physician staffing structure may differ among ICU types, hospitals are instructed to report on the ICU with the lowest level of staffing by physicians certified in critical care medicine when responding to questions #1-15 in Section 5: ICU Physician Staffing. For example, if the pediatric medical ICU is staffed by intensivists at least 8 hours/day, 7 days/week, but the adult medical ICU is not, the hospital would respond to questions #1-15 based on the adult medical ICU.

³⁵ **Managed or Co-Managed**

The intensivist, when present (whether on-site or via telemedicine), is authorized to diagnose, treat, and write orders for a patient in the ICU on the intensivist's own authority. Mandatory consults or daily rounds by an intensivist are not sufficient to meet the managed/co-managed requirement. However, an ICU need not be closed to meet this requirement.

³⁶ **Certified in Critical Care Medicine**

A physician who is “certified in Critical Care Medicine” is a board-certified physician who is additionally certified in the subspecialty of Critical Care Medicine. Certification in Critical Care Medicine is awarded by the American Boards of Internal Medicine, Surgery, Anesthesiology, Pediatrics, and Emergency Medicine.

“Neurointensivists” include any physician that meets at least one of the following paths:

- Physicians who are board-certified in their primary specialty and who are additionally certified in the subspecialty of Neurocritical Care Medicine. Certification in Neurocritical Care Medicine is awarded by the United Council for Neurologic Subspecialties (UCNS) or by the American Board of Psychiatry and Neurology, Inc. (ABPN). Physicians who have not yet passed a certifying exam, either through UCNS or ABPN, are considered to be equivalent to a physician “certified in Neurocritical Care Medicine” for up to 3 years after they are eligible to take either: (1) the UCNS exam (UCNS currently offers a “grandfathering” option for their “Practice Track” for exam eligibility) or (2) the ABPN exam (ABPN currently offers a “grandfathering” or practice pathway

track for exam eligibility, which will last until 2026). These options provide a 3-year grace period for clinicians to take and pass the necessary exams. To qualify for the grace period, hospitals and/or clinicians will need to provide clear documentation of what their eligibility dates were to sit for one or both of these exams.

- Physicians who are board-certified in their primary specialty and who are additionally credentialed by the American Board of Neurological Surgery (ABNS) through their Recognition of Focused Practice in Neurocritical Care. Physicians who have not yet passed the ABNS Neurocritical Care RFP exam are considered to be equivalent to a physician “certified in Neurocritical Care Medicine” for up to 3 years after they are eligible to take the exam. This provides a 3-year grace period for clinicians to take and pass the necessary exam. To qualify for the grace period, hospitals and/or clinicians will need to provide clear documentation of what their eligibility dates were to sit for the exam.
- For the 2023 and 2024 Survey Cycles, physicians who are board-certified in their primary specialty and are additionally certified by the Committee on Advanced Subspecialty Training (CAST) in Neurocritical Care will need to convert their CAST certificate to the ABNS RFP by December 31, 2023 (<https://sns-cast.org/rfp-and-cast-certificate-conversion/>) or take the ABPN Neurocritical Care exam by December 31, 2024 (<https://www.abpn.com/wp-content/uploads/2023/04/2023-and-2024-Exam-Schedule-4.13.23.pdf>). Effective with the 2025 Survey Cycle, this path will be removed and Leapfrog will no longer recognize physicians who hold a CAST certificate in Neurocritical Care as being a neurointensivist.

Expanded Definition of Certified in Critical Care Medicine

On an interim basis, three other categories of physicians are considered by Leapfrog to be equivalent to a physician “certified in Critical Care Medicine” for the purpose of meeting the standard:

- Physicians who completed training prior to availability of subspecialty certification in critical care in their specialty (1987 for Internal Medicine, Surgery, Anesthesiology, Pediatrics and 2013 for Emergency Medicine), who are board-certified in their specialty, and who have provided at least six weeks of full-time ICU care annually. (The weeks need not be consecutive weeks.)
- Physicians who have finished their fellowship in Critical Care Medicine, but have not yet passed an existing board-certifying exam, are considered to be equivalent to a physician “Certified in Critical Care Medicine” for up to three years after completion of the fellowship. This provides the physician an adequate window to take her/his boards and re-take if necessary.
- Physicians who are board-certified in their primary specialty and have completed a critical care fellowship at an ACGME-accredited program, but are ineligible to sit for a board-certifying exam in Critical Care in either their primary specialty or subspecialty because their training occurred under two separate certifying boards, are considered to be equivalent to a physician “Certified in Critical Care Medicine” if they are board-certified in their primary specialty and have provided at least six weeks of full-time ICU care annually. (The weeks need not be consecutive weeks.)

Physicians who have let their board certification lapse are not considered to be “Certified in Critical Care Medicine.”

³⁷ **Ordinarily and Exclusively Present in the ICU**

“Ordinarily present in the ICU” refers to direct on-site presence in the ICU of an intensivist during the 4-hour or 8-hour period. While it need not be the same intensivist for the entire 4-hour or 8-hour period, it is expected that the ICU(s) are primarily staffed by dedicated ICU intensivists who are ordinarily and exclusively present in the ICU(s). “Presence” does *not* mean staffed part-time by multiple physicians who are not ordinarily and exclusively dedicated to the ICU, *nor* does it mean the cumulative time that one or more intensivists spend in the unit visiting, rounding, consulting, or responding to pages.

The standard allows for normally expected intensivist activities outside of the ICU related to their responsibilities in the ICU (e.g., evaluating patients proposed for ICU admission), as long as intensivists are ordinarily present in the ICU and return immediately when paged. An intensivist present in one ICU

immediately adjacent to another can be considered present in both units as long as they can respond to demands in both units as if they would if both units were one larger unit. For the purposes of this Survey, “adjacent” units are those units that can be reached within 5 minutes. While tele-intensivists can be used to meet the presence requirement, some on-site intensivist presence is still necessary to meet the Leapfrog specifications.

“**Exclusively**” means that when the physician is in the ICU, they have no concurrent clinical responsibilities to non-ICU patients.

³⁸ **Intensivist Presence via Telemedicine**

To meet the Leapfrog ICU requirement for intensivist presence in the ICU via telemedicine, a hospital must be able to document that it fulfills **all** the following 10 key features based on a modification of the approach reported in Critical Care Medicine (Rosenfeld, B. et al. “Intensive care unit telemedicine: Alternate paradigm for providing continuous intensivist care,” *Critical Care Medicine*, Vol. 28, No. 1, pp. 3925-3931).

1. A physician certified in critical care medicine (see endnote #36) who is physically present in the ICU (“on-site intensivist”) performs a comprehensive review of each ICU patient each day and establishes and/or revises the care plan. The on-site intensivist must be available by phone to answer any questions from the tele-intensivist related to the established or revised care plan.

The tele-intensivist, who must also be a physician certified in critical care medicine (see endnote #36), has immediate access to information regarding the on-site intensivist’s care plan at the time the management responsibility is transferred to the tele-intensivist by the on-site intensivist.

When care is transferred back to the on-site intensivist, the tele-intensivist must communicate any changes to the care plan to the on-site intensivist. Hospitals relying on electronic hand-offs should ensure that physician sign-in and sign-out of reports is being recorded. In addition, these reports should be monitored as one way to audit compliance with the hand-off process described above.

2. When an intensivist is not on-site in the ICU managing or co-managing all ICU patients, a tele-intensivist is continuously monitoring and able to manage all ICU patients for the remaining 24 hours per day, 7 days per week. “Continuously monitoring” means the tele-intensivist has no other concurrent responsibilities, is immediately available to communicate with ICU staff, and is continuously in the physical presence of the tele-ICU’s patient monitoring and communications equipment. “Manage” means authorized to diagnose, treat, and write orders for a patient in the ICU on the intensivist’s own authority.
3. The tele-intensivist’s care is proactive, with routine visualization and physiological review of all patients at a frequency appropriate to their severity of illness.
4. The tele-intensivist has immediate access to key patient data, including:
 - a) physiologic bedside monitor data (in real-time);
 - b) laboratory orders and results;
 - c) medications ordered and administered; and,
 - d) notes, radiographs, ECGs, etc. on demand.
5. Bedside cameras are physically available in each ICU. If cameras are not mounted in each patient room within the unit, then at least one dedicated bedside camera must be available for every five beds in the ICU, plus a dedicated back-up camera in each ICU. If ICUs are located on different floors of the hospital, each ICU on each floor must have its own set of dedicated cameras if they are not mounted in every room. “Dedicated” means that the cameras are on the unit for the sole purpose of patient care. Personal devices (e.g., personal phones, tablets, etc.) would not meet this requirement.

6. The tele-intensivist must be able to turn on each bedside camera to visualize patients, with sufficient clarity to assess breathing pattern and communicate with on-site personnel at the bedside in real time.
7. Within five minutes of a request for assistance being initiated by hospital staff, the tele-intensivist's patient workload ordinarily permits the tele-intensivist to complete a comprehensive visual and physiological assessment of any patient.
8. Data links between the ICU and the tele-intensivist are reliable (>98% up-time) and secure (HIPAA compliant).
9. Written standards for remote care are established and include, at a minimum:
 - a) tele-intensivists are certified by a national medical specialty board in critical care medicine;
 - b) tele-intensivists are licensed to practice in the legal jurisdiction in which the ICU is located;
 - c) tele-intensivists are credentialed in each hospital to which he/she provides remote care (can be special telemedicine credentialing);
 - d) activities of the tele-intensivist are reviewed within the hospital's quality assurance committee structure;
 - e) there are explicit written policies regarding roles and responsibilities of both the on-site intensivist and the tele-intensivist;
 - f) ICU staff are educated regarding the function, roles, and responsibilities of the tele-intensivist; and
 - g) there is an established written process to ensure effective communication between the ICU staff and the tele-intensivist.
10. The tele-intensivist documents patient care activities and this documentation is incorporated into the patient record.

³⁹ **Quantified Analysis of Response Times**

Hospitals can monitor the response times of intensivists, "effectors," and clinical pharmacists in multiple ways, as long as the data collection process is non-biased and scientific.

As an example, hospitals can have the ICU staff maintain an exception log in the ICU(s) on six randomly sampled days per year. On those days, ICU nurses could record:

- For question #7, the number of calls/pages/texts made to the intensivist when they were not present in the unit (whether on-site or via telemedicine) and the number of times the intensivist's response time exceeded 5 minutes
- For question #8, the number of calls/pages/texts made to a physician, physician assistant, nurse practitioner, or FCCS-certified nurse or intern "effector" and the number of times these individuals did not reach the patient at the bedside within 5 minutes
- For question #13, the number of calls/pages/texts made to the clinical pharmacist on days when they were not rounding on-site in the unit and the number of times the clinical pharmacist's response time exceeded 5 minutes

Hospitals can then estimate whether they meet the 95% timely response standards by dividing the total number of log exceptions by the total number of calls/pages/texts per day.

Hospitals may exclude low-urgency calls/pages/texts, if the notification device system can designate low-urgency calls/pages/texts, or if the hospital has an alternative scientific method for documenting high-urgency calls/pages/texts that are not returned within 5 minutes.

Hospitals that use telemedicine to cover 'call' for the on-site intensivist should review endnote 41.

Hospitals that have an effector that is dedicated 24/7 to the ICU (as defined as being within a 5 min walk to the ICU) should review endnote 42.

⁴⁰ **FCCS-Certified Nurse or Intern “Effector”**

FCCS certificates are awarded to nurses and doctors upon their successful completion of a brief course developed by the Society for Critical Care Medicine to improve/confirm critical care knowledge and skills. For more information visit <https://www.sccm.org/Education-Center/Educational-Programming/Fundamentals/Fundamental-Critical-Care-Support>. At present, this is the only such course recommended by The Leapfrog Group’s expert advisory panel. Intensivists and any other physicians who are certified in critical care medicine (or eligible based on residency training or fellowship) need not also be FCCS certified. Physicians, physician assistants, and nurse practitioners also are not required to be FCCS certified, but they must meet the criteria specified in endnote 42 to serve as the responder/“effector.”

⁴¹ **Use of Tele-intensivists to Cover Calls**

Hospitals that use telemedicine to cover ‘call’ for the on-site intensivist are able to answer “yes” to question #7 if: (1) the telemedicine service meets all ten of the requirements outlined in endnote #38; and (2) the hospital has an ‘effector’ (physician/PA/NP/FCCS certified nurse or intern) on-site during that time period to carry out the tele-intensivist’s orders and can reach the ICU patient within 5 minutes, 95% of the time.

⁴² **Physician/PA/NP serving as the Responder/“Effector”**

Physicians/PAs/NPs (includes Clinical nurse Specialists, Nurse Anesthetists, or NPs) serving as the responder in the ICU should meet the following criteria:

1. Be a graduate with a training license from an ACGME accredited training program or have an active state license to practice as a physician, nurse practitioner, or physician assistant in the state in which the patient is located.
2. Have privileges to provide medical services in the unit (i.e., ICU) and for patients of the age range approved in advance by the hospital’s governing body (e.g., medical staff committee, chief medical officer, chief nursing officer, etc.), as specified by the institutions internal policies (bylaws).
3. Carry out the intensivist’s orders and instructions, under the intensivist’s guidance, when they are serving in a responder role.

If the effector is dedicated 24/7 to the ICU (as defined as being within a 5 min walk to the ICU), then hospitals can indicate “yes” to meeting the response time requirement (5 min response; 95% of the time) in question #8, in lieu of conducting a response time audit.

⁴³ **Modified Intensivist Presence via Telemedicine**

To earn reduced credit on the Leapfrog ICU standard for intensivist presence in the ICU via telemonitoring, a hospital must affirm that its telemonitoring intensivist presence fulfills the following nine key features based on a modification of the approach reported in Critical Care Medicine (Rosenfeld, B. et al. “Intensive care unit telemedicine: Alternate paradigm for providing continuous intensivist care,” *Critical Care Medicine*, Vol. 28, No. 1, pp. 3925-3931). Note that, as with other Leapfrog specifications, these features must be met under ordinary circumstances.

1. When an intensivist is not on-site in the ICU managing or co-managing all ICU patients, a tele-intensivist is continuously monitoring and able to manage all ICU patients for the remaining 24 hours per day, 7 days per week. “Continuously monitoring” means the tele-intensivist has no other concurrent responsibilities, is immediately available to communicate with ICU staff, and is continuously in the physical presence of the tele-ICU’s patient monitoring and communications equipment. “Manage” means authorized to diagnose, treat, and write orders for a patient in the ICU

on the intensivist's own authority.

2. The tele-intensivist's care is proactive, with routine visualization and physiological review of all patients at a frequency appropriate to their severity of illness.
3. The tele-intensivist has immediate access to key patient data, including:
 - a) physiologic bedside monitor data (in real-time);
 - b) laboratory orders and results;
 - c) medications ordered and administered; and,
 - d) notes, radiographs, ECGs, etc. on demand.
4. Bedside cameras are physically available in each ICU. If cameras are not mounted in each patient room within the unit, then at least one dedicated bedside camera must be available for every five beds in the ICU, plus a dedicated back-up camera in each ICU. If ICUs are located on different floors of the hospital, each ICU on each floor must have its own set of dedicated cameras if they are not mounted in every room. "Dedicated" means that the cameras are on the unit for the sole purpose of patient care. Personal devices (e.g., personal phones, tablets, etc.) would not meet this requirement.
5. The tele-intensivist must be able to turn on each bedside camera to visualize patients, with sufficient clarity to assess breathing pattern and communicate with on-site personnel at the bedside in real time.
6. Within five minutes of a request for assistance being initiated by hospital staff, the tele-intensivist's patient workload ordinarily permits the tele-intensivist to complete a comprehensive visual and physiological assessment of any patient.
7. Data links between the ICU and the tele-intensivist are reliable (>98% up-time) and secure (HIPAA compliant).
8. Written standards for remote care are established and include, at a minimum:
 - a) tele-intensivists are certified by a national medical specialty board in critical care medicine;
 - b) tele-intensivists are licensed to practice in the legal jurisdiction in which the ICU is located;
 - c) tele-intensivists are credentialed in each hospital to which he/she provides remote care (can be special telemedicine credentialing);
 - d) activities of the tele-intensivist are reviewed within the hospital's quality assurance committee structure;
 - e) there are explicit written policies regarding roles and responsibilities of both the on-site intensivist and the tele-intensivist;
 - f) ICU staff are educated regarding the function, roles, and responsibilities of the tele-intensivist; and
 - g) there is an established written process to ensure effective communication between the ICU staff and the tele-intensivist.
9. The tele-intensivist documents patient care activities and this documentation is incorporated into the patient record.

⁴⁴ **Individuals who touch patients or who touch items that will be used by patients**

This would include individuals who are formally engaged by the hospital to help support the patient care process. This would include both direct and indirect care providers that are likely to have contact with patients in a patient care unit, enter a patient care unit, touch items that will be used by patients in a patient care unit, or interact with patient fluids (e.g., blood, specimens) in a patient care unit, such as doctors, mid-levels, nurses, pharmacists, environmental services staff, phlebotomists, laboratory techs, etc. This would also include students and volunteers. These individuals should be trained to identify and

perform proper hand hygiene for the specific indications/moments (see [WHO's 5 Moments for Hand Hygiene](#), [CDC's Guideline for Hand Hygiene](#)) that are relevant to their work.

Administrative workers that only perform office duties and do not touch patients or touch items that will be used by patients in a patient care unit would not be included in this definition. Patients and their visitors would also not be included in this definition. While patients and their loved ones are important parts of the patient care process, they are not formally engaged by the hospital for this work. Vendors would also not be included.

⁴⁵ **Professional with Appropriate Training and Skills**

This would include staff formally trained in Infection Control or Infectious Diseases, whose tasks include dedicated time for staff training. In some settings, this could also be medical or nursing staff involved in clinical work, with dedicated time to acquire thorough knowledge of the evidence for and correct practice of hand hygiene.

The minimum required knowledge of the trainer can be found in the [WHO Guidelines on Hand Hygiene in Health Care](#) and the [Hand Hygiene Technical Reference Manual](#).

⁴⁶ **Never Event**

In 2011, the National Quality Forum released a list of 29 events that they termed “serious reportable events,” extremely rare medical errors that should never happen to a patient. Often termed “never events,” these include errors such as surgery performed on the wrong body part or on the wrong patient, leaving a foreign object inside a patient after surgery, or discharging an infant to the wrong person. This is an update of NQF’s original 2002 and 2006 reports. Please see NQF’s “Never Events” list at https://www.qualityforum.org/topics/sres/serious_reportable_events.aspx. Hospitals may not earn credit for this question if they have only implemented a policy that includes the Center for Medicare and Medicaid (CMS) Never Events.

⁴⁷ **Apology to the Patient**

While Leapfrog recognizes that on very rare occasions “never events” can occur that are not the fault of care systems or clinical care staff, given the high level of trust patients place in health care providers, Leapfrog feels it is appropriate for caregivers to apologize when a patient within their care setting suffers a serious event.

As the National Quality Forum identified in their 2002, 2006, and 2011 Serious Reportable Events Report, given the serious nature of these events, it is reasonable for hospitals to initially assume that the adverse event was due to the referenced course of care. And while further investigation and/or root cause analysis of the unplanned event may be needed to confirm or refute the presumed relationship, delaying an apology to the patient is not treating the patient with compassion and sympathy.

⁴⁸ **Reporting Never Events to External Agencies**

If your hospital is not accredited by The Joint Commission or DNV GL Healthcare, is located in a state without a state-wide reporting program for medical errors, AND there is no available Patient Safety Organization to which your hospital can report medical errors, the hospital should report the event to the Board of Trustees. Full implementation of the Never Events policy still requires the hospital to conduct a root cause analysis of the event.

⁴⁹ **Root Cause Analysis**

The National Patient Safety Foundation published a set of best practices and guidelines in its report “RCA² Improving Root Cause Analysis and Action to Prevent Harm.” The report can be found at <http://www.ihf.org/resources/Pages/Tools/RCA2-Improving-Root-Cause-Analyses-and-Actions-to-Prevent-Harm.aspx>.

50 Top Box Score

The percent of survey respondents who chose the most positive score for a given item. Looking at the **top box** is an approach to understand the number of responses with a strong sentiment.

For the CAHPS Child Hospital Survey “Global Rating – Recommend hospital” domain, responses of 9 and 10 are included in the top box score. For the “Global Rating – Recommend hospital” domain, responses of “Definitely yes” are included in the top box score. For all other domains included in Section 8A, the top box score is the percent of survey respondents choosing “Always.”

For the OAS CAHPS Survey “Patients’ Rating of the Facility” domain, responses of 9 or 10 are included in the top box score. For the “Patients Recommending the Facility” domain, responses of “Definitely yes” are included in the top box score. For all other domains included in Section 9E, the top box score is the percent of survey respondents choosing “Yes, definitely.”

51 Operating Rooms

If your state designates and licenses operating rooms, enter the number of operating rooms licensed by your state that are used to perform the outpatient procedures listed in Section 9C. If your state does not designate and license operating rooms, enter the number of operating rooms used to perform the outpatient procedures listed in Section 9C that meet the following definition from the 2018 FGI Guidelines: a room that meets the requirements of a restricted area, is designated and equipped for performing surgical or other invasive procedures, and has the environmental controls for an OR as indicated in ASHRAE 170. An aseptic field is required for all procedures performed in an OR.

More information about the 2018 FGI Guidelines can be found at https://www.fgiguideines.org/wp-content/uploads/2017/08/SLS17_FGI_ExamProcedureOperatingImaging_170721.pdf.

Hospitals should include the total number of operating rooms that are used to perform the outpatient procedures listed in Section 9C, including operating rooms that are used for both inpatient and outpatient procedures.

52 Endoscopic Procedure Rooms

If your state designates and licenses procedure rooms, enter the number of procedure rooms licensed by your state that are used for endoscopies listed in Section 9C. If your state does not designate and license procedure rooms, enter the number of procedure rooms that are used for endoscopies listed in Section 9C that meet the following definition from the 2018 FGI Guidelines: a room designated for the performance of patient care that requires high-level disinfection or sterile instruments and some environmental controls but is not required to be performed with the environmental controls of an operating room.

More information about the 2018 FGI Guidelines can be found at https://www.fgiguideines.org/wp-content/uploads/2017/08/SLS17_FGI_ExamProcedureOperatingImaging_170721.pdf.

Hospitals should include the total number of procedure rooms that are used to perform the endoscopies listed in Section 9C, including procedure rooms that are used for both inpatient and outpatient procedures.

53 Clinician

A clinician refers to a physician, physician assistant (PA), nurse practitioner (NP), certified registered nurse anesthetist (CRNA), nurse (RN or MSN), or respiratory therapist.

54 Eligible Discharges

Discharged adult patients (ages 18 years and older) who had both medically and non-medically necessary outpatient surgeries and/or procedures are eligible to complete the OAS CAHPS Survey. A detailed description of patient sampling criteria, including a list of OAS CAHPS-eligible surgeries and

procedures is available in the Protocols and Guidelines Manual, version 7.0 at <https://oascahps.org/Survey-Materials>.

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